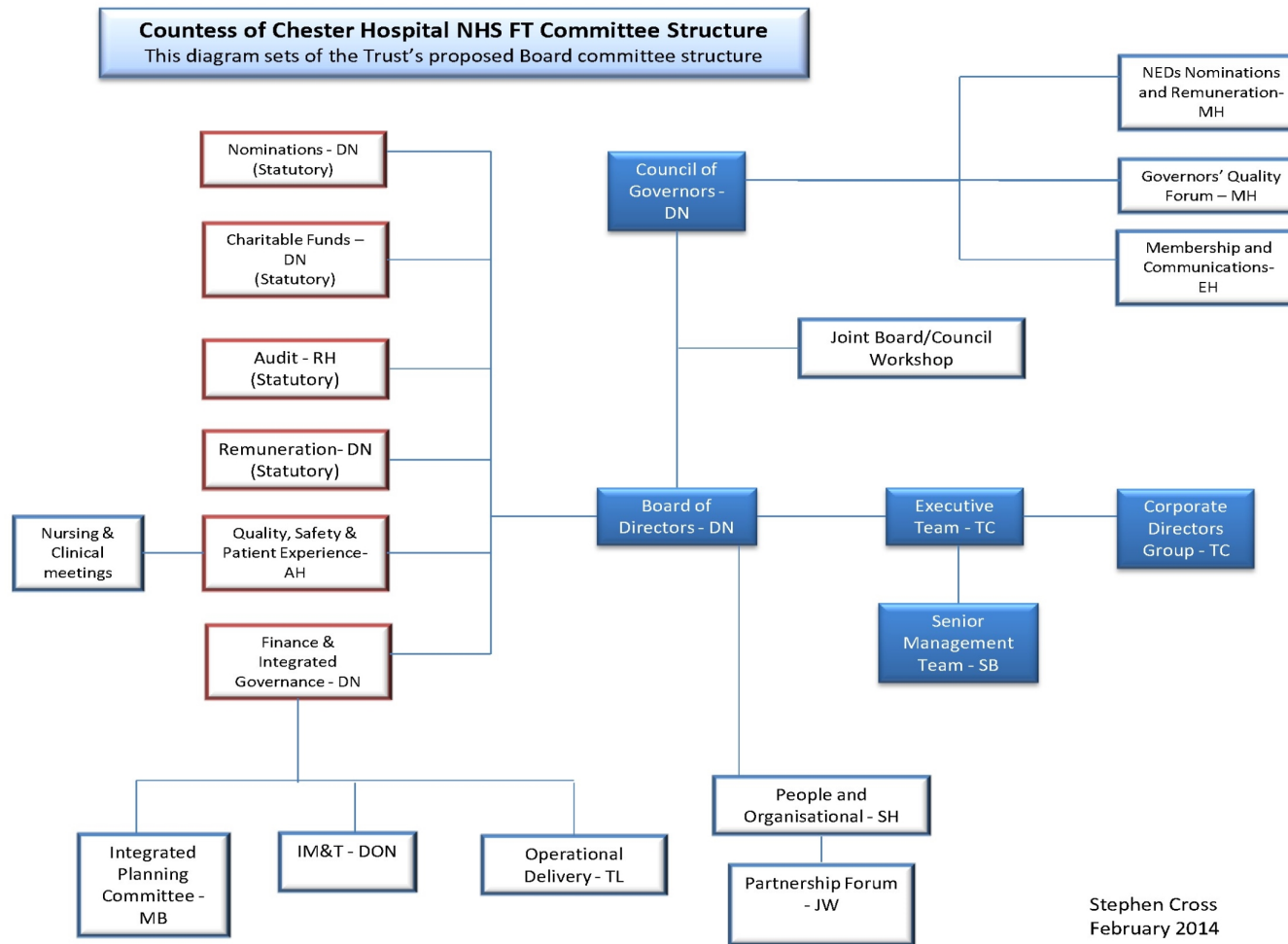


RISK MANAGEMENT STRATEGY & OPERATIONAL POLICY

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APPENDIX A - HIGH LEVEL RISK COMMITTEES REPORTING ARRANGEMENTS TO THE BOARD



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APPENDIX C HOW ALL RISKS ARE ASSESSED

How all risks are assessed

The Trust has 2 key processes that facilitate the management of risks throughout the organisation:

1. Risk Assessment Process (outlined below)
2. Risk Register Process (outlined in the Risk Management Policy)

Risk Assessment Process

Risks are identified through feedback from many sources, such as, incident reports, risk assessments, trends, clinical audit data, complaints, legal claims, patient and public feedback, stakeholders/partnership feedback and internal and external assurance assessments, significant risks from directorate risk registers.

The Trust has adopted the Health & Safety Executive's (HSEs) '5-Steps to Risk Assessment' model which is supported by the NPSA. The '5-Steps to Risk Assessment' uses a numerical principle based on a 5x5 matrix. To calculate and assess all types of risk the following formula and matrix is utilised in incident reporting, risk assessments and risk registers:

Risk Scoring Matrix

Likelihood	SEVERITY/IMPACT RATING				
	1 Insignificant	2 Minor	3 Moderate	4 Major	5 Catastrophic
5 - Almost Certain 1/10	5	10	15	20	25
4 - Likely 1/100	4	8	12	16	20
3 - Possible 1/1000	3	6	9	12	15
2 - Unlikely 1/10,000	2	4	6	8	10
1 - Rare 1/100,000	1	2	3	4	5

RISK	 Very low	 Low	 Moderate	 High
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How risk assessments are conducted consistently

Under the HSE Regulations, risk assessments only have to be repeated when there is either a significant change or reason to believe that the assessment is no longer valid, however it is recommended that all risk assessments are reviewed annually.

The minimum dataset for risk assessments for this Trust are:

Workplace Risk Assessment	All areas
Clinical Risk	All clinical Areas