1	Monday, 18 November 2024
2	(9.50 am)
3	LADY JUSTICE THIRLWALL: Ms Brown.
4	MS BROWN: Yes, we are calling Ms Dodd this
5	morning.
6	LADY JUSTICE THIRLWALL: Ms Dodd, would you like to
7	come forward?
8	MS SHARON DODD (affirmed)
9	Questions by MS BROWN
10	LADY JUSTICE THIRLWALL: Do sit down.
11	MS BROWN: If you could please state your name.
12	A. Sharon Dodd.
13	Q. You provided a statement to the Inquiry dated
14	20 June 2024 and is that true to the best of your
15	knowledge and belief?
16	A. It is.
17	Q. Turning to your qualifications, you qualified
18	as a Registered General Nurse in November 1979 and as
19	a midwife in May 1981?
20	A. That is true.
21	Q. You became a Registered Health Visitor in
22	1987?
23	A. I did.
24	Q. In terms of your career, from 1980 to 1987 you
25	worked as a midwife at the Countess of Chester Hospital?
	1
1	Q. Turning then to your role in 2015/2016, what
2	safeguarding training did you receive for that role?
3	A. For the role of?
4	Q . The role where you were we will come to it,
5	but paediatric liaison nurse but also you were working
6	with CDOP, I think one day a week, the Child Death
7	Overview Panel?
8	A. Okay. As a health visitor you receive as
9	in any of my professional roles I have received quite
10	a lot of safeguarding training. But for that particular
11	role I was needing to, required by virtue of the role
12	that I was doing to actually complete Level 1, Level 2
13	and Level 3 of safeguarding training, which is
14	documented in the intercollegiate document and Working
15	Together to Safeguard Children.
16	Q. Was Level 3, that was the top of the
17	safeguarding training?
18	A. Yes, and in actual fact you can actually do
19	Level 4 training, but I didn't at that time.
20	Q. In terms of how practically that happened, was
21	that in-person training or online training?
22	A. No, so at that time it was all face to face.
23	Q. Can you recall when that was delivered and
24	whether how often you would have updates of that
25	training?
	3

1	А.	Yes.
2	Q.	You subsequently worked as a health visitor?
3	Α.	Yes.
4	Q.	Have you at any point in your nursing career
5	worked or	n a neonatal ward?
6	Α.	Never.
7	Q.	In 2010 you commenced your role as
8	a speciali	st nurse in what is now the Cheshire and
9	Wirral Pa	rtnership NHS Foundation Trust in the
10	safeguard	ling department?
11	Α.	l did.
12	Q.	You stayed in that role until 2019?
13	Α.	Yes.
14	Q.	Are you still working within the NHS?
15	Α.	Yes. In 2019 I retired and then returned
16	part-time	to a slightly different role.
17	Q.	Is that a paediatric liaison role?
18	Α.	Yes.
19	Q.	So that is no longer within the safeguarding
20	departme	nt and no longer within the CDOP?
21	Α.	I am actually based within the safeguarding
22	team but	l am paediatric liaison health visitor, yes.
23	Q.	Do you still have any involvement with the
24	Child Dea	th Overview Panel?
25	Α.	No.
		2
1	А.	You have to have updates regularly and it was
1 2		You have to have updates regularly and it was regularly and actually as part of the
	delivered safeguard	regularly and actually as part of the ling team I used to deliver the Level 3
2	delivered safeguard	regularly and actually as part of the ling team I used to deliver the Level 3 ling training as well at that time.
2 3	delivered safeguard safeguard Q.	regularly and actually as part of the ling team I used to deliver the Level 3 ling training as well at that time. When you say "regularly", how often are we
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2 3 4 5	delivered safeguard safeguard Q. speaking A.	regularly and actually as part of the ling team I used to deliver the Level 3 ling training as well at that time. When you say "regularly", how often are we about? Level 3 training is in that kind of role
2 3 4 5 6 7 8	delivered safeguard safeguard Q. speaking A.	regularly and actually as part of the ling team I used to deliver the Level 3 ling training as well at that time. When you say "regularly", how often are we about? Level 3 training is in that kind of role I be expected to update every year.
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1 recall? 2 Α. Do you want names, or? 3 LADY JUSTICE THIRLWALL: Yes, please. 4 MS BROWN: Yes. 5 Α. At that time in my team there was 6 Satwinder Lotay, Jill Cooper, Karen Pygott, Steve Lee, 7 there was a whole team of us. 8 Q. Was there anybody who was working at the 9 Countess of Chester who was giving you safeguarding training? 10 No, nobody at all. We were completely 11 Α. separate. Cheshire and Wirral Partnership Trust deliver 12 their own training, Countess of Chester deliver their 13 own training, so there was no overlap in training. 14 Within that training, and I am talking then 15 Q. 16 about the training you were receiving but also the 17 training you were giving, do you recall any training making reference to cases such as Beverley Allitt or 18 19 Harold Shipman? 20 Absolutely. Actually, as part of delivery of Α. 21 training we would include relevant serious case reviews 22 and relevant information that we would impart to people 23 that we were training. But also we would be receiving that training from other areas, certainly the LSCBs to 24 25 deliver a lot of training around, you know, serious case 5 1 I think your time was split and you worked four days 2 a week as a paediatric liaison role; is that correct? 3 Α. That's correct, yes. Q. 4 Very briefly, what did that aspect of your 5 role involve? 6 Α. The paediatric development role was developed 7 as a result of the Victoria Climbié Inquiry many years 8 ago and it stated at that time, amongst other things, that district general hospitals should have a paediatric 9 liaison health visitor who would be the conduit between 10 the hospital and the community in order to relay any 11 relevant information around children's attendances. So 12 13 that was the main thrust of the role that I would be 14 looking at, A&E attendances, Accident & Emergency attendances for children under the age of 18 and giving 15 some level of scrutiny to those attendances. And also 16 17 within our Trust we also looked at children who

- 18 potentially might have life threatening illnesses or
- 19 long-term illnesses that might benefit from additional
- 20 support within the community and therefore we would be
- 21 relaying that information as well.
- 22 So any child on the children's ward who may have
- 23 a new diagnosis, say, of a malignancy we would be
- 24 relaying that information quite quickly to their
- 25 community practitioner so that they were aware of that

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- 1 reviews that were relevant.
 - **Q.** You say "serious case reviews", but very
- 3 specifically were you trained and referred to the case4 of Beverley Allitt?
- 4 of Beverley Allitt? 5 **A**. Absolute
 - A. Absolutely, yes.
- 6 **Q.** So were you familiar -- maybe not with the
- 7 number of the recommendation, but were you familiar with
- 8 the recommendation of the Clothier Inquiry into
- 9 Beverley Allitt that all those caring for children
- 10 should be aware of the possibility of malevolent
- 11 intervention as a cause of unexplained clinical events?12 A. Yes.
- 13 Q. That was something that you were familiar with14 as a concept?
 - A. We were familiar with, yes.
- 16 **Q.** In your Child Death Overview Panel role, you
- 17 were looking at the full range of causes of death of
- 18 children: natural, accidental and deliberate harm?
- 19 **A.** Yes.
- 20 Q. I think you have probably given the answer but
- 21 just to confirm was deliberate harm by a healthcare
- 22 professional something that was alert to you as
- 23 a possibility?
- 24 **A.** Yes.
- 25 **Q.** Just looking at how your role was divided,
- 1 and could support the family more robustly.

2 Q. When you are talking about liaison there, the3 hospital you are talking about here is the Countess of4 Chester?

5 **A.** Countess of Chester but also regionally. So, 6 for example, if a child attended an A&E department in,

- 7 say, Devon, they would send me the information around
- 8 that child's attendance and likewise if we had a child
- 9 in the Countess of Chester who lived in Devon, for
- 10 example, we would send it to them.
- 11 **Q.** Yes. Then turning to the one day a week that
- 12 you were worked as a Child Death Overview Panel nurse.
- 13 Did that division then, the four day/one day division,
- 14 did that remain the same from 2010 to 2019 when you were15 in that role?
- 16 A. More or less. Some -- some weeks you would
- 17 actually be doing more of one than the other but they
- 18 did overlap quite nicely because of the -- being
- 19 a conduit between the hospital and the -- and the
- 20 community actually was quite -- quite seamless in that21 respect.
- 22 Q. The Child Death Overview Panel was a minority23 aspect of your role, was it?
- 24 A. It could -- it could be, but some weeks you
- 25 might be doing more of that than paediatric liaison. It

would just depend on what was actually happening at that 1 2 time, so if there was a Rapid Review Meeting, for 3 example, for a child death then you would prioritise 4 that. 5 Just so that we can understand the set-up, the Q. 6 Child Death Overview Panel that you sat on was the 7 Pan-Cheshire Child Death Overview Panel? 8 Α. That's correct. Q. 9 That covered four areas: Cheshire East 10 Cheshire West and Chester, Halton and Warrington? Α. That's correct. 11 12 They were the four areas. Q. 13 That Pan Cheshire Child Death Overview Panel was chaired by Hayley Frame? 14 15 At that time. Α. 16 Q. At 2015/2016? 17 Α. Yes, during that time I sat on the panel I think there were three or four independent chairs but 18 19 at that time, yes, I think Hayley Frame. 20 At that panel, when the panel sat, each of the Q. four areas would be represented by a specialist nurse 21 22 and you were the nurse for the Cheshire West and Chester 23 area? 24 That's actually not quite true because not Α. 25 every area had a specialist nurse. So Warrington didn't 9 1 Q. In terms of what the Child Death Overview 2 Panel were doing, they were not determining the cause of 3 death because that was for the Coroner or the doctor who 4 signed the medical certificate? 5 Α. That's correct, that's right. 6 Q. The Child Death Overview Panel sat and 7 considered a case once all the other processes had 8 happened so after an Inquest or after an investigation 9 and then the Child Death Overview Panel came at the end of that process? 10 11 Δ That's correct and it could actually be quite some time after the, the -- you know the child had sadly 12 passed away, it wasn't always very quick. 13 14 Just looking at the specific role that the Q. Child Death Overview Panel was doing. That was set out 15 in the Working Together policy and I think you refer to 16 17 this in paragraph 9 of your statement. 18 Α. Yes. 19 But what the Working Together policy says is Q. 20 part of the role of the CDOP, the Child Death Overview Panel, was doing was to learn lessons to prevent further 21 22 deaths and to identify any wider public health or safety 23 concerns arising from a death or from a pattern of 24 deaths? 25 Α. That's correct.

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always have a specialist nurse but they did have 1 2 a specialist nurse employed at some point during the time I sat on the panel and Halton didn't have 3 4 a specialist nurse. But when you sat you were sitting specifically 5 Q. 6 in relation to Cheshire West and Chester? 7 Α. That's correct. Q. Also on the panel there were designated 8 doctors from different areas? 9 10 Α. That's correct. 11 Q. Dr Mittal was the doctor from the Countess of Chester? 12 13 Α. That's correct. 14 Q. As well on the panel there were local authority representatives? 15 16 Α. Yes. 17 Q. And also police who sat on that panel? 18 Α. Yes. 19 Q. One of the police members was Detective 20 Superintendent Wenham? 21 Α. That's correct. 22 Q. The panel, when they reviewed child deaths, 23 they would meet quarterly; is that approximately right 24 from your recollection? 25 Α. More or less quarterly, yes. 10 1 Q. I think in your statement, you helpfully 2 paraphrase it and you say it involves establishing and 3 you refer particularly to any emerging patterns of 4 death? 5 Α. That's correct. 6 O. Now in addition to sitting on the panel when 7 that sat approximately quarterly with the other 8 representatives we have spoken about including the local authority and the police, you say in paragraph 11 your 9 role was to disseminate learning and provide training 10 depending on what was identified in the panel. 11 12 Who were you disseminating that learning to, who fell within your remit of people you were giving 13 14 training to? 15 Because I was employed by Cheshire and Wirral Α. Partnership Trust my -- my learning would be very 16 17 definitely disbursed within Cheshire and Wirral Partnership Trust. However, because we were a Pan 18 Cheshire panel, we would have wider training days and we 19 20 would actually have conferences where anybody could attend who worked in that locality and, you know, 21 22 multi-agency, multi professionals and we did actually 23 have a few conferences where it was open for people to 24 attend. It didn't matter what your role was but you could attend those panel -- those training events. 25

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Q. 1 So obviously we are concerned particularly 2 with staff at the Countess of Chester. Would they be 3 people that you would be disseminating your learning to 4 or be attending those conferences --5 I wouldn't specifically disseminate learning Α. 6 to the Countess of Chester but I would support 7 Dr Mittal. If he wanted to do some training sessions 8 then I would support him. But he would be responsible 9 for disseminating learning in the Countess of Chester. 10 Can you just give an indication of one or two Q. of the sort of training or learning you are talking 11 about here so we are talking in actual real events? 12 13 Some -- some of the training packages were Α. about completing forms accurately and well so that the 14 panel could actually review the case robustly really 15 16 because there was there were in the beginning people 17 didn't really fill in forms terribly well. So there was definitely learning needing to be disseminated around 18 19 that so that we could actually review the deaths better. 20 Because sometimes in the early days we didn't have electronic forms so people would have a paper copy and 21 22 maybe just tick a box, there would be no sort of real 23 dialogue in there. 24 So some of the training involved completing forms, 25 the relevance of completing forms and the purpose of 13 1 back and look but I can't remember the specific dates 2 without looking -- around the use of the SUDiC protocol because it had been identified by the CDOP panel that 3 4 the SUDiC protocol was not always used correctly in 5 hospitals. We will come to the SUDiC in a moment. But 6 Q. 7 that -- you recall there being training that you and 8 Dr Mittal did to improve that? 9 Α. We did. 10 Q. At paragraph 12 you talk about the administrative aspects of your role as a Child Death 11 **Overview Panel nurse?** 12 13 Α. Mm-hm. 14 And focusing specifically on deaths on the Q. neonatal ward at the Countess of Chester. I just want 15 to go through the stages of how the notification and the 16 17 forms operated. 18 Now, in very broad overview, and correct me if I get this wrong, Form A was the form that set out the 19 20 details that the death occurred, that was the initial 21 notification form? 22 Α. Form A was the initial notification form and 23 it was actually quite brief. 24 Q. Following Form A, Form B was then the form 25 that would be filled out about the relevant

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- 1 completing the forms, really, which I don't really think
- 2 in the early days of CDOP panels people properly
- 3 understood because --
 - Q. Sorry --
 - A. Go on.
 - Q. You mentioned speaking to Dr Mittal?
 - A. Yes.
 - Q. Can you recall any of the training that you
- 9 did in conjunction with him?
- 10 **A**. He would be part of all of the training
- 11 packages, particularly the ones where we did a whole day
- 12 of training and we one of them specifically we did
- 13 around bereavement as well, he was involved with that.
- 14 He was involved with disseminating the information about
- 15 the Form Bs. I do recall him spending an hour or so in
- 16 the A&E department one morning at the handover for the
- 17 doctors to actually discuss the actual whole child death
- 18 protocol with them so that they fully understood it.
- 19 I don't know what he did specifically in the
- 20 Countess but I also did some training with him around
- 21 the neonatal unit much later around --
- 22 Q. When you say "much later", when are we23 talking --
- 24 A. Probably about the end of 2017, I can't
- 25 remember the date specifically -- I could probably go 14
- 1 circumstances of the death and in the case of a neonatal
- 2 death that would be predominantly by midwifes,
- 3 obstetricians and the neonatal doctors?
- 4 **A.** Yes. The Form Bs from my perspective would be
- 5 completed by health professionals. The Form Bs would
- 6 also be completed by other relevant professionals, so
- 7 they might also be completed by the police, they might
- 8 also be completed by social care or education but my
- 9 role was specifically around the Form Bs being completed
- 10 by health professionals.
- 11 Q. Then the form C was what was filled in after12 the panel had considered the case?
- 13 A. That's right.
- 14 **Q.** I am just going to ask some questions as to
- 15 how that operated in practice and to understand that
- 16 process I think it's important and if you can confirm
- 17 that the Child Death Overview Panel who would consider
- 18 a case that was dependent on where the family lived, not
- 19 where death occurred?
 - A. That's correct.
 - Q. Wales had a similar system but that was
- 22 referred to as the Child Death Review. You may not be
- 23 familiar with that?

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- 24 A. Sorry, say that again?
- 25 Q. Wales had a similar system where Child Death 16

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forward theirs.

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instance?

Α.

Q.

Α.

Q.

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Q.

all be electronic. But at that point it could be paper.

But it might actually be a phone call. So sometimes if

a child had died, for example in Alder Hey, they may

ring me and say this is -- this child has sadly died and

I would fill in a handwritten Form A but they would then

that is what happened in fact in relation to Baby A?

to you in the first instance; regardless of where that

child lived, would they always come to you in the first

instance they might have gone to Dr Mittal. He was the

designated doctor for safeguarding and child death so he

may have received the information before me. I would be

the conduit to inform community practitioners, the LSCB

who was informed first you were -- you were the central

I would get those Form As, yes.

in a moment what is Form B in relation to Child A. And

we can see there at the top we have got Child A, in fact

when that's been added as a redaction for the purposes

you reviewed the deaths at panel they were completely

is the Form B that was subsequently sent to the Child

was the child at the time of the event in the middle of

the form and that's marked as the neonatal unit, and

Death Overview Panel we know on 4 August, we see where

then we see further down the circumstances of the death,

Then HV, so that is the health visitor, and if we

Then the GP, again not aware of any immediate

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circumstances leading to the death but sets out some of

the maternal history and then really the substance of

turn over the page, we can see no additional information

is available, health visitor didn't have an involvement

and we see that the midwifery did fill in a section some

of that's been removed because of sensitivities.

sadly with the baby had died in the hospital.

anonymous so nobody had an idea who that child was or

of this Inquiry, but there would have been a number

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and the designated nurses and my senior managers.

funnel; it would always come via you?

there so it was anonymised?

where they were from.

It is indeed, yes.

We will come to that in moment but I think

Would all deaths, all neonatal deaths that

They might not come to any -- in the first

So as the conduit, even if it was Dr Mittal

So if there was a death in the neonatal unit,

There would have been a number because when

And working down that form, and this of course

occurred at the Countess of Chester, always be referred

Overview Panels, it was the Child Death Review? 1 2 Α. Yes, I think Wales was actually called PRUDIC. 3 Q. I think that was for sudden death? 4 Α. Yes. 5 But in terms of the CDOP equivalent? Q. 6 Α. I -- I can't comment on that because I never 7 had anything to do with --8 LADY JUSTICE THIRLWALL: There is no need for you 9 to --10 Α. -- the process in Wales. LADY JUSTICE THIRLWALL: -- do that. 11 MS BROWN: We are going to go through now, Ms Dodd, 12 the notifications that you received in this case and if 13 I could just remind you not to refer to the actual areas 14 that parents lived in, so we will use the terminology of 15 16 parents living within the catchment area of the Pan 17 Cheshire panel or outside the catchment area. 18 Α. (Nods) 19 So regarding first Form A. How would that in Q. 20 fact how would that information receive you, would it be emailed or --21 22 Α. At that time --23 Q. -- sent to you? 24 Α. -- it wasn't always by email. We had a lot of paper, unfortunately, still in 2015/2016. Now it would 25 17 1 you would be informed of it? 2 Α. The Form As would come to me yes, that's 3 correct. 4 Q. So going through now the specific babies that 5 were on the indictment and that this Inquiry are 6 concerned with, and this is paragraph 29 of your 7 statement, it may be helpful if you have that in front 8 of you? 9 Α. Mm-hm. 10 Q. Baby A we see as you have just alluded to, that in that case you were notified of the death by 11 a nurse and you in fact completed the form? 12 That's correct. She then did send it to me 13 Α. 14 afterwards. Then you subsequently received a completed 15 Q. Form B and then that was sent on to the Pan Cheshire 16 17 Child Death Overview Panel because Baby A did live in 18 catchment? 19 Α. That's correct. 20 Q. I think it would be helpful if we just look at these forms so we can see actually how this was 21 22 operating. So if I could turn to INQ00001942. 23 My Lady, this is tab 7 at your bundle? 24 LADY JUSTICE THIRLWALL: Thank you. 25 MS BROWN: So we are going to have on the form here 19

the form is filled out by the hospital as one would

2

3

4

5

Q.

The form goes on to list -- we don't need to

go to all the pages but it goes on to list details, for

example family details such as siblings, the service

provision, so whether for example the police had been

involved, whether in certain cases a hospice would be

expect with the neonatal death and they set out the 1 2 circumstances and then there is a summary of the 3 hospital report? 4 Α. (Nods) 5 Ensuring that that information was complete, Q. 6 that in a sense was a key part of your role, was it? 7 Α. I would send out, as it's documented in 8 Working Together that the designated person would 9 actually send out those Form Bs and will identify who 10 they should go to from the CDOP panel so the CDOP administrator would often send them out they would come 11 back to me to make sure they were all completed and then 12 13 the whole package would be then sent to CDOP. So we would resend the Form A and the Form B to 14 ensure that the CDOP administrator actually had all the 15 16 information that we could possibly give her. 17 I didn't analyse the forms because they are not my information, so I would ensure that the people that had 18 19 been sent them had returned them and if they didn't 20 return them in a timely manner, we would chase them up. 21 Q. Then you would send it either on to the Child 22 Death Overview Panel of the Pan Cheshire, if that was 23 a within catchment baby, or to the relevant area if it 24 was a without catchment? 25 Δ That's correct. 21 1 filled it in on other forms, can we simply forward that 2 information to you as CDOP rather than filling in yet 3 another form and I think we see from Mr Mittal that he 4 had spoken to you and we have agreed we will not ask the 5 paediatrician to complete Form Bs for cases where 6 a postmortem has been requested, we will instead use the 7 information from the dictated letter? That's right. 8 Α. 9 Q. That was a practice you adopted? 10 Occasionally, yes, because the -- we would Α. find that that information as Dr Gibbs had identified 11 was duplicated. It's a lot of work for the 12 13 paediatricians -- well, for anybody to complete the 14 Form Bs, they really do need to be robust. 15 So actually if they were completing a referral for a postmortem or for a discharge letter, for example, 16 which may go even to the parents, there would be a lot, 17 a lot of information in those referrals or letters and 18 we would -- we did discuss that at CDOP panel and 19 20 actually agreed that some of those letters actually had a lot more information in them than what some 21 22 paediatricians would put in the Form Bs. 23 So we agreed that they could be used in those 24 circumstances and so what would then happen is they quite often used to go straight to CDOP, actually, very 25 23

6 involved and then maybe we could look at page 6, because you have referred to the bereavement services. 7 8 We can see there is a section on bereavement services and that would be filled in and also to 9 10 consider whether that was a positive or a negative 11 experience so that was another section of the form? 12 Α. That's right. 13 Yes, that can go down now, thank you. Q. 14 We have seen and if we can turn to an email, this 15 is INQ 0103110. I'm not sure if we have that on the 16 system. We do. 17 So this is an email, Ms Dodd, you have been shown this but you weren't copied into this email? 18 19 Α. No. 20 Q. But I am taking you to it just because it does 21 refer to you and it's an email exchange between 22 Dr Mittal and Dr Gibbs with some other people copied in 23 and it's discussing the filling out of the Form B and the essence of the email is that Dr Gibbs is saying we 24 25 have all this information elsewhere, we have already 22 1 often they didn't come to me, and they would be 2 considered at panel, when we reviewed that child, all 3 together. 4 Q. We can take that down now, thank you. 5 At this point, so just the dates, so this was the end of September 2015 --6 7 Α. (Nods). 8 Q. -- that Dr Mittal is recording the fact that he had discussed with you about these forms. What was 9 your relationship with -- your working relationship with 10 Dr Mittal? How often would you be communicating with 11 12 him? 13 Α. I -- I would say that my working relationship 14 with Dr Mittal was good. He would let me know if -- if things were going on. He would let me know of child 15 deaths if he knew before I did, vice versa. 16 17 I used to see him at all of the CDOP panels. I didn't see him on day-to-day basis by any means, 18 I might see him once or twice a month maybe but yes, 19 20 I would say that we did have a good working 21 relationship. 22 Q. On this occasion, so in about September he's 23 been discussing with you forms. Did Dr Mittal then in

- 24
- September -- we know now that by that stage there had been four neonatal deaths within a very short period, 25
 - 24

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within a two-month period, in fact three of those deaths 1 2 within an even shorter period. 3 Did Dr Mittal discuss that with you, discuss concerns about the increased mortality or concerns about 4 5 the number of deaths on the neonatal ward? 6 Α. He didn't discuss any concerns as -- as 7 I recall 8 We may have discussed that there were three or four 9 neonatal deaths but we didn't discuss that there were 10 any concerns around those deaths and certainly the information that I received didn't alert me to any 11 concerns around those deaths and I do think he would 12 have said so at the time. I do -- I would have expected 13 him to and I would -- I would have thought he would have 14 15 done. 16 Q. If we can go back, then, to page 6 of your 17 statement, going through the receipt of forms. So we have looked at Baby A's Form B and Baby A was 18 19 communicated to you by phone and Form A completed and in 20 due course Form B. 21 Baby C, the Form A was completed and sent to you 22 but that baby was out of catchment area, so those forms 23 were sent to a different panel? 24 Α. Yes. 25 Q. And Baby D likewise didn't -- you were 25 1 I wasn't there and indeed anybody within our 2 safeguarding team could have done that. 3 Q. If we can go then to paragraph 20 of your 4 statement. You say there and I am just going to read 5 that out: 6 "I kept a log of all forms received for the purpose of my role with CDOP whilst at CWP [Cheshire and Wirral 7 8 Partnership] and as a result I became aware of 19 9 neonatal deaths between June 2015 and June 2016. Of those neonatal deaths, I was informed that 13 neonates 10 11 died at the Countess of Chester Hospital neonatal unit." 12 We have seen on the form that would be something 13 that would be shown the place of death. Two died at the 14 Countess of Chester labour ward, two neonates died at Arrowe Park neonatal unit, one neonate died at Liverpool 15 Women's Hospital NNU and one died at Claire House 16 17 Hospice in Wirral. 18 So you were keeping a log? 19 Α. (Nods) 20 Q. And that's a significant number of deaths, 13, and perhaps particularly significant set against the 21 fact that just two neonatal deaths in Arrowe Park and 22 23 one neonatal death in Liverpool Women's Hospital. 24 You had been in the role since 2010. Had you ever 25

seen that number of neonatal deaths coming from one unit

27

informed but that was a baby that was out of area, out 1

of catchment, so that wasn't dealt with by your CDOP 2

panel?

Α. No

Q. Baby E, again you were informed the combined 5 6 forms were sent and you forwarded those on accordingly?

Α. Mm-hm

Baby I, like Baby A was a within catchment Q.

baby, so Form A you say was completed, Form Bs were 9

10 completed and they were sent to the panel on

11 26 November?

12 Α. Mm-hm.

13 Q. Then Baby O and Baby P, were you informed,

were you the conduit for those? 14

No, I wasn't. I -- I don't know why I didn't, 15 Α.

16 I wasn't informed about those. It may have been that

17 I was on annual leave or it may have been that I was

doing something completely different and my senior named 18

19 nurse Jill Cooper dealt with those. I didn't have

20 anything to do with them.

Q. So you personally didn't deal with those?

Α. No.

> Q. But they went through the same conduit?

Α. They will have gone through the same system,

25 yes, because my colleagues would have covered my role if 26

1 before? 2 No, there was definitely an increase and we Α. 3 actually did discuss that. We -- we as a safeguarding 4 team we were responsible for providing an annual report 5 and we actually did note it in the annual report as well 6 and we made sure that the LSCB and the designated nurses 7 were aware of that but unfortunately none of the --8 Q. Just going back to that, so we can just take 9 it in stages. When did you discuss it, when were you discussing 10 11 the concern? I think we have got the date in here actually 12 Α. that we discussed it with the Commissioners. 13 14 Q. Well, we discuss it much later, we will come 15 to a meeting in March --16 Α. Yes, several months later --17 Q. That was the one you are referring to the documents? 18 Α. 19 Yes 20

Q. But not earlier than that?

21 Not that early on. I mean, I think the Α.

22 timescale was quite broad and certainly as well it

23 spanned over two financial years which potentially may

24 have made us not look at it quite as robustly as maybe

we could have done at that time. 25

3

1	Q. What was the significance of a financial year
2	when you had 13 deaths?
3	A. Because we will have kept our spreadsheets for
4	in a financial year sort of format, if that makes sense.
5	Q. Well
6	A. So we will have gone from 1 April until
7	31 March one year and then the 1 April until 31 March
8	the next year and so CDOP would certainly count those if
9	all of those baby deaths had been reviewed at CDOP they
10	would all have been counted.
11 12	Q. Just just pausing there for a moment. So
12	we have understood that they weren't all reviewed by the same panel because of the catchment area issue. But as
13	far as you were aware, you had a list of 13 neonatal
14	deaths?
16	A. Yes, we did.
17	Q. We have gone through the fact that the Child
18	Death Overview Panel was there, one of its purposes was
19	to spot a pattern. This was a pattern, wasn't it?
20	A. It it without question yes, and certainly
21	with the benefit of hindsight you can look back and say
22	yes, this was very alarming.
23	At the time there was nothing to indicate to us
24	that there was a concern and certainly nobody ever said
25	to me that there was anything to be worried about on the
	29
1	it wasn't.
1 2	it wasn't. We did discuss the child deaths with Paula Wedd,
2	We did discuss the child deaths with Paula Wedd,
2 3	We did discuss the child deaths with Paula Wedd, who was one of the senior Commissioners, with Dr Mittal
2 3 4	We did discuss the child deaths with Paula Wedd, who was one of the senior Commissioners, with Dr Mittal because she was questioning as well why we hadn't picked
2 3 4 5	We did discuss the child deaths with Paula Wedd, who was one of the senior Commissioners, with Dr Mittal because she was questioning as well why we hadn't picked up something and actually we said
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neonatal unit. Nobody ever mentioned that until much,

2 much later on.

- **Q.** Well, just looking at that a moment. The
- 4 Child Death Overview Panel, because it was there to look
- 5 at patterns, and because you have identified you as the
- 6 conduit were the person who could identify that pattern
- 7 you have identified it?
- 8 A. (Nods)
- 9 **Q.** Why were you not then referring that on in
- 10 June 2016 or at some point before that once you started
- 11 to become aware of a pattern as it built four then five
- 12 deaths, and so on? Why were you not referring that, at
- 13 that point, to the Child Death Overview Panel or to14 someone?
- 15 A. That's a very good question and I can't --
- 16 I can't say why we didn't do that at the time. I do
- 17 know that part of the issue was that they didn't all
- 18 live in our local area and therefore maybe we didn't see
- 19 quite as robust a pattern as we potentially could have
- 20 done.
- 21 I think it's very difficult to answer that question
- 22 for what we did at that time. I think now we would
- 23 definitely act differently, I think every child death
- 24 now would be referred to our CDOP panel. At the time
- 25 that wasn't the process and I don't know why potentially 30
- 1 that --2 MS BROWN: Just pausing there a moment, Ms Dodd. You just explained that the Form Bs that came in with 3 4 the details, that that was that something that you considered or was that something that you sent on for 5 6 consideration? 7 Α. We sent them on for consideration. It wasn't 8 my role to analyse the Form Bs. You have been referring to "we" as being 9 Q. 10 aware? 11 You were the conduit and they came to you? 12 Α. Mm-hm 13 Q. Who else was aware of this log that you were 14 keeping? Who else would have been aware of that log? 15 My managers, the designated nurse for Α. 16 safeguarding. 17 Q. Can you give names where possible? 18 Anne Eccles was at that time the designated Α. nurse for safeguarding. She would have been aware of 19 20 every single death, the LSCB would have been aware of every single death. Sian Jones, we used to copy her 21 22 into every -- every single death. 23 Would Hayley Frame as the Chair of the CDOP Q. 24 panel, would she have been aware of what was on your 25 log?
 - 32

No, she wouldn't have been, because that 1 Α. 2 was -- the reason I kept -- we kept a spreadsheet log was purely to -- well, to understand who we were dealing 3 with but also to manage the Form Bs so that we actually 4 5 had a timeframe for the Form Bs to come back to us. So 6 if they hadn't come back we would actually have a sort 7 of a way of managing those because otherwise there is no 8 way that you could possibly keep track of all the 9 requests that you had ever made for all of the Form Bs. 10 So we used to manage that -- we used to manage that spreadsheet purely so that we knew if we had a Form A we 11 now if there was going to be a serious -- a Rapid Review 12 Meeting, we knew who may have been invited, we knew who 13 we had sent the Form Bs to and when we expected them 14 back so that we could follow them up. 15 16 Did you, once you had observed this as you Q. 17 have accepted I think pattern and the list -- the growing list of numbers, did you -- was that something 18 19 you debated with Dr Mittal at any point? 20 We did discuss can with Dr Mittal, yes, and it Α. 21 was --22 Q. In March 2017, but we are talking about in 23 2016? 24 I don't recall discussing a cluster of deaths Α. 25 with him. I don't -- I may -- I think we may have said 33 1 exclamation marks: 2 "Brilliant role ... Sharon has developed the role. 3 Really good system, health visitors will call Sharon 4 about any worries." 5 That is what you started off your evidence with 6 explaining the liaison role you did with the hospital 7 and the community in terms of any concerns relating to 8 children. 9 Then if we could go over the page it seems then the interview turns to look more in relation to your CDOP, 10 the Child Death Overview Panel, role and it says there 11 12 four lines down: 13 "Although nurse for CDOP, not good system for child 14 death." 15 You then go on to talk about bereavement support. 16 Can you recall what you were referring to there 17 when you are saying "not a good system for child death"? 18 This, this meeting was really brief, really Α. brief and what they were asking me about specifically 19 20 was my paediatric liaison role and I actually informed them about the CDOP role. They didn't ask me about it, 21 22 I informed them. And so they basically were just asking 23 me what the -- what sort of -- what I did about it. 24 Q. And what do you think, when you say "not 25 a good system" do you think you said that and if you

1 oh, not another neonatal death or something like that.

2 But we never ever had the information that there was any

3 concerns. So all of the Form As that we received didn't

4 alert us to any concerns.

5 **Q.** They alerted you [to] a pattern but you say 6 not to a concern.

7 A. Yes, and I think at the time because they

8 didn't alert us to concerns, they didn't alert us to

9 a pattern, if you see what I mean.

10 Q. Well --

11 A. I know that there was a number of deaths but

12 we didn't see an emerging sort of pattern of deaths.

13 Q. Can we go forward then to September 2016 when

14 you are interviewed by the RCPCH and this is INQ0014605

15 and that's tab 9, my Lady, in your bundle.

16 So what's going to come up on screen here are the

17 notes that were made by Sue Eardley of the RCPCH and

18 this was an interview she had with you on

19 2 September 2016 and we can see your name -- sorry have

20 we got the right -- sorry, it's page 19 of that

21 document.

22 We can see your name "Sharon" and your role

23 "Paediatric Liaison CDOP Pan-Cheshire". Then I think

24 the interview it seems starts with discussing your

25 liaison role and in fact towards the end we see with the 34

did, what did you think was not a good system at the
 panel?
 A. Not a good system for child death. I don't
 know what that. I really -- I don't know why they have

4 know what that, I really -- I don't know why they have
5 said that, I --

6 Q. Did you at the time have reservations at all7 about the CDOP system?

8 A. I don't know what that really refers to, to be9 honest:

10 "Although nurse for CDOP, not good system for child

11 death. She doesn't do it but gets school nurse and

12 health visitor to do that."

13

14

I honestly don't know what that means.

Q. Did you though at the time have concerns about

15 the CDOP system that you might have been referring to?

16 A. No, I don't think so. I -- I don't know what

17 that -- I honestly can tell you now I don't know what

18 that refers to. I don't know what it means. It is very

19 sort of -- it doesn't have any context to it, does it?

20 Q. You go on to talk about bereavement. Just

21 very briefly, what was your view of the bereavement

22 services at the time in relation specifically to parents

23 who had a child who died on the neonatal ward?

24 A. I personally don't think that the bereavement

25 services at that time were very good and we really,

The Thirlwall

really tried within the CDOP process to improve 1 2 bereavement services and we developed partnerships with some other agencies, particularly Alder Hey. We just 3 didn't have --4 When was that, when were these partnerships 5 Q. 6 being developed? 7 Α. Was it what, sorry? 8 What timing are we talking about? You said Q. 9 you developed partnerships, when was that? 10 It was throughout the time that I was on the Α. CDOP panel really and we actually did have -- we did go 11 to Alder Hey to one of their bereavement panel -- one of 12 their bereavement training sessions so that we could try 13 and replicate it. 14 We just we don't have specific bereavement services 15 16 and we rely on charitable or other organisations to do 17 that. Our community teams will visit families and offer support and we -- you know, if we have a child that dies 18 19 in a -- you know an educational age, then I know that the Sky team will now provide help and support and 20 21 signposting for schools, but at that time the 22 bereavement services in my view at that time were not as 23 good as they potentially could have been. LADY JUSTICE THIRLWALL: Do you think that might be 24 25 what that note is about? 37 1 Α. I thought that I knew that the hospital had had an internal Inquiry because CDOP had been informed 2 3 about that. 4 Q. What was that Inquiry? 5 Α. Around the cluster of deaths and my 6 understanding was that the review was on the back of 7 that. 8 Q. So you are being interviewed about the cluster 9 of deaths and you are saying --10 Α. I was being interviewed. 11 O. Higher than average? I was being interviewed at that time about the 12 Α. paediatric liaison role. That is what they asked me to 13 attend for. They didn't ask me to attend in my CDOP 14 role but I told them about it. 15 16 And looking then just further down that note, Q. having spoken about the higher than average neonatal 17 deaths, you say they could speak to Hayley Frame, so you 18 are referring them to speak to her if they wish? 19 20 Α. Yes, because I think they -- I think I indicated to them that -- that the deaths wouldn't 21 22 have been reviewed at panel at the time. So that the 23 panel may have had the Form A and Form Bs but the deaths

24 may not have been reviewed at panel and therefore that 25 may be why I suggested to her that she spoke to

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Inquiry	/ 18 November 20
1	A. I don't know, maybe that potentially is, yes.
2	It doesn't make sense to me that sentence and I never
3	got the minutes for this meeting and only saw I only
4	saw the completed report months afterwards. And
5	I literally was in and out of that meeting honestly in
6	about five minutes.
7	MS BROWN: Because you go on to talk about
8	bereavement so it's possible, is it, that what you felt
9	was not a good system was related to the bereavement or
10	maybe you can't assist.
11	A. Possibly, yes.
12	Q. And if we go on then at the bottom of that
13	page you talk about the Form A and B explain Form A
14	arriving quickly and then information on Form B is
15	robust.
16	Then there is an entry "across Cheshire", an upward
17	arrow, so increase in number of deaths April 15 to 16.
18	A. Mm-hm.
19	Q. Then if we could go over the page:
20	"Neonates seem to have had higher than average but
21	none congenital abnormalities."
22	This is you informing the RCPCH?
23	A. Yes.
24	Q. What did you understand the RCPCH review was
25	doing? 38
1	Hayley Frame.
2	Q. We see then down the note:
3	"CDOP not worried nor is Sharon."
4	Do you think, looking back now, you should have
5	been worried at that point by the number of neonatal
6	deaths?
7	A. I don't think I would ever have said that,
8	that I wasn't worried, I I really don't think I would
9	have said those words and I don't know why that's been
10	documented. I I I never I had never saw the
11	minutes for this meeting until I had them for the
12	Inquiry.
13	Q. Did the meeting and fact that the RCPCH were
14	looking at a cluster of deaths and you are being
15	interviewed and you end up discussing CDOP, did that not
16	act as an alert to you that maybe this is something that
17	CDOP should be looking at because there was a pattern
18	here and it was worrying?
19	A. Absolutely and it did get discussed at CDOP.
20	Q. But not until March and this was September?
21	A. I can't I honestly can't remember the dates
22	that we did discuss things at CDOP without without

24 potentially not. But, again, even this, even the

23

Royal College review didn't -- nobody ever said to me: 25 40

looking at the actual CDOP minutes specifically but

we are very worried about these deaths, would you not be 1 2 worried about them? Nobody ever said anything about 3 being concerned about events on the neonatal unit. 4 O. Then going down on that as well, it seems then 5 there was a discussion about unexplained, we see are: 6 "Any CDOP neonatal deaths unexplained?" 7 Then there is reference to the Pan Cheshire SUDiC 8 protocol. There is a comment: 9 "Will look back and see what were UE [it is not 10 clear whether that is unexpected or unexplained] from Form As." 11 Is that a reference to you going back to see if 12 13 some of those deaths were unexplained or unexpected? I would imagine that must be what it meant. 14 Α. But it's just -- there's no context to the -- to the 15 16 minutes, are there? 17 I -- I assume these are the -- the only minutes 18 that they have got. 19 Q. These are just the notes from --20 Α. Yes. 21 Q. -- Sue Eardley. 22 Α. Because at the time, I didn't see them, 23 I wasn't given them and only saw them when you sent them 24 to me. 25 LADY JUSTICE THIRLWALL: Honestly, we do understand 41 1 never ever indicated at panel meetings either that there 2 was. 3 Q. If we can just go to then see what the actual 4 report said? 5 Yes. Α. 6 Q. So if we could go to INQ 0009618 at page 21, 7 so this is an extract --8 Α. Of the actual report, yes. -- from the RCPCH review, the October 2016 9 Q. final copy confidential version. We see that they 10 address -- it will come up in a moment -- the child 11 12 death process. 13 It says there in relation to unexpected -- under 14 the heading "Child Death Process": "Where an unexpected paediatric death occurs the 15 paediatrician contacts the senior investigating officer 16 for neonates. The designated doctor is notified. He is 17 responsible for advising Pan Cheshire Child Death 18 Overview Panel." 19 20 So that's Dr Mittal --21 Α. Yes -- advising the Child Death Overview Panel 22 Q. 23 whether the deaths are expected or unexpected. 24 Was that operating in practice? 25 It should do, yes. Α.

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that point. 1

Α. Yes.

2 3 LADY JUSTICE THIRLWALL: I think they were in 4 manuscript as well then have been typed up. So it's very difficult looking at this now to 5 Α. 6 say what these minutes actually completely meant. We 7 can make assumptions, but I don't think we can --LADY JUSTICE THIRLWALL: But I think you probably 8 9 did say you would go back and see what were --10 I potentially did. Α. 11 LADY JUSTICE THIRLWALL: That looks likely, yes. And I probably did, actually, I probably would 12 Α. have done because that's sort of person that I am, 13 I would go and check something, I would robustly look at 14 15 something. 16 MS BROWN: Do you think you would have reported 17 back to the panel about this interview and the concerns that now are not only on your log but we are now -- you 18 19 are now also aware that the RCPCH are carrying out 20 a review in the hospital of the cluster of deaths? 21 Α. I potentially might have spoken to Dr Mittal 22 about it and actually said to him, you know, is there 23 anything we should be concerned about? Is there 24 anything going on? 25 He never ever indicated to me that there was and he 42 1 Q. Did it in fact operate in practice as far as 2 you were aware? 3 Α. I don't ever recall him having any concerns 4 and saying anything. In actual fact, I don't know 5 whether Dr Mittal was directly informed by the 6 paediatricians of every unexpected death. I can't 7 answer for him. 8 That is the process and that is what should have happened or should happen. I don't know whether in 9 reality it did because unfortunately these -- you know, 10 the neonatal deaths occurred in the Countess. Dr Mittal 11 12 worked in the Countess, I didn't. 13 Q. Then if we can go down, we see at 4.4.25: 14 "The RCPCH Review Team was concerned that CDOP did not appear to be alert to the cluster of neonatal deaths 15 and for at least some there should have been a Rapid 16 17 Response Meeting for notification." 18 Then: 19 "In their recommendation CDOP should consider 20 whether its processes could have detected the cluster of deaths and initiated external review more swiftly." 21 22 Now, Ms Dodd, you were aware of the cluster of 23 neonatal deaths. Do you think -- do you accept that 24 that an external review should have been initiated more swiftly as a result of that knowledge of the cluster? 25

Absolutely. Looking back now, absolutely. 1 Α. 2 Q. There is also mention there in that report of 3 the unexpected notification Rapid Response Meeting where 4 there was an unexpected death. Turning to SUDiC, were 5 you familiar with the SUDiC protocol? 6 Α. Yes. 7 Q. What was your understanding as to whether the 8 SUDiC process applied to the death of a neonatal baby 9 born in hospital? Who died unexpectedly? 10 Α. Well, it would apply. You were clear about that and throughout the 11 Q. period of 2015 to 2016 as far as you are aware there was 12 an unexpected death in hospital, the SUDiC process 13 should have been initiated? 14 15 Absolutely. The SUDiC protocol at that time Α. 16 was documented by the then LSCB. It was clear. It was 17 quite a lengthy document and I understand that, you know, if you were on any kind of department or A&E it 18 19 might -- you might need to spend some time looking 20 through it. However, the actual process was clear. 21 There was a flowchart which people could easily follow. 22 Q. If we can look now to a meeting that happened 23 then on 16 September, so this was a panel meeting that happened shortly after your interview with the RCPCH, so 24 25 at this stage you are aware of the number of deaths on 45 1 Q. Yes. Designated rep, you are quite right. 2 On the following page, if we can go then to page 2 3 just to see what was discussed, because at that under 4 review of children's cases, the second action point 5 there is that you closed a case there and that's relations to Child I? 6 7 Α. Mm-hm 8 Q. And it says the child case referred to once 9 the College report is presented by Dr Mittal? 10 Α. (Nods) 11 Q. Was it unusual that a case was being closed when the RCPCH report hadn't been received? 12 No, it would -- normally we wouldn't close 13 Α. 14 cases until absolutely everything was received. 15 Q. Right. 16 Α. And actually what we would do if we didn't think we had everything, we would refer back and get 17 that information. 18 You weren't at this meeting? 19 Q. 20 Α. No. 21 Q. So we have to ask other people about that. 22 But it also then says action item to be added to 23 the November meeting, subsequent meeting to consider 24 that unexpected deaths in hospitals should be referred for RR meeting, so Rapid Response Meetings? 25

- 1 the neonatal unit, you are aware that the RCPCH are
 - 2 conducting a review and you have had this discussion
 - 3 with them? 4 **A**. (N

5

20

21

- A. (Nods)
- **Q.** Now, that's INQ00178115.
- 6 Now, in fact, Ms Dodd, this was -- we will see the
- 7 attendance log -- a meeting that in fact you didn't
- 8 attend, you were absent for this meeting. But I just
- 9 want to look, so we see your name there a few lines down
- 10 and we can see that you were absent at that meeting, if
- 11 one works along.
- 12 But this was chaired by Hayley Frame, we can see
- 13 the other attendees where there is an R next to a name
- 14 so for example Nigel Wenham is there with an "R". Does
- 15 that mean they attended remotely or does R mean
- 16 something else?
- 17 **A.** No, we wouldn't have anybody attending
- 18 remotely there. He might have been a representative for
- 19 somebody else.
 - Q. R means they --
 - A. He may have been representing Serena Kennedy.
- 22 Q. So "R" means you were there but you were
- 23 representing someone else?
- 24 A. I think some of the minutes do actually
- 25 document what those abbreviations stand for.
 - 46
- 1 **A.** Mm-hm.

Q. Do you think that action came up because the
RCPCH had been asking you about whether -- whether the
SUDiC system had been followed, whether there were Rapid
Response or SUDiC protocols being followed, do you think
you referred that to the panel?
A. It -- it may have been that or it may have

- 8 been that Dr Mittal actually highlighted that -- the
 9 same sort of issue. I can't -- can't comment on which
- 10 one it was, but I would assume it was either of those,11 yes.
- 12 **Q.** If we can then go to a panel meeting that you
- 13 were at and this is the one you have referred to
- 14 a number of times, the one in March, so that's
- 15 INQ0012008. So just to situate that in the timeframe,
- 16 this clearly is quite a few months after the RCPCH have
- 17 interviewed you?

18

A. (Nods)

19 **Q.** We see in terms of attendees we have got

- 20 Hayley Frame who's chairing it, you are attending, we
- 21 have -- I can't see now but, yes, Dr Mittal, and
- $\ensuremath{\text{22}}$ Nigel Wenham who are all at this meeting and if we can
- 23 then turn to page 3.
- 24 We see there that at this point, in March, it does
- 25 seem that there is a concern about the trends. It says:

1	"The meeting discussed is don't the death the	1
1	"The meeting discussed in depth the death, the	1
2	geographical area the children lived and the CDOP	2 3
3 4	processes and what could be done within the CDOP process to ensure that trends can be or should be identified by	3
4 5	the Pan Cheshire panel."	5
6	So I think it's at this meeting, is it, that they	6
7	are recognising that the trends hadn't been picked up?	7
, 8	A. Yes.	8
9	Q . They make the point that the panel itself	9
10	wouldn't have been seized of this but as we have	10
11	discussed, there was an awareness of the number but the	11
12	panel would only have been discussing the deaths within	12
13	their areas.	13
14	It said going down:	14
15	" Gill Frame asked can the CDOP be improved,	15
16	suggested this Form A could be amended, a place of	16
17	residence as well as a place of death would be	17
18	collated."	18
19	But in fact all the Form A material was already	19
20	being collated by you, wasn't it, so although it was	20
21	being pushed out to other areas, the Form A material	21
22	A. We did have a log of them, yes.	22
23	Q. It goes on to say so they discussed the trends	23
24	and the fact they hadn't been identified.	24
25	At that meeting, did anybody raise the issue of 49	25
1	received, which didn't ever indicate that there was	1
2	a concern and only indicated that the babies that we	2
3	were informed about were very sick.	3
4	Q. Then looking, looking a bit further down,	4
5	because there's one concern is is the trend that's	5
6	clearly was discussed, or the failure to spot the trend	6
7	and then it talks about the SUDI, Sudden Unexpected	7
8	Death in Infancy and Childhood, it says:	8
9	"The panel discussed SUDI deaths within hospital	9
10	and whether it was felt that deaths were not always	10
11	treated with the same concern. If there was a concern	11
12	over the death a SUDI protocol could be followed."	12
13	I mean, it's not whether there was a concern, it's	13
14	when it was unexpected, the SUDiC process?	14
15	A. It should have been followed, absolutely.	15
16	Q. It seems to be saying there that there was	16
17	a concern that that wasn't happening, is that your	17
18	recollection of the meeting?	18
19	A. Yes, that's right.	19
20	Q. Knowing that the SUDiC procedure hadn't been	20
21	followed, did that cause anyone to think: we very much	21
22	need to look at this pattern because if that process	22
23	hasn't been followed, the checks and balances that	23
24 25	should follow an unexpected death are absent? A. I I think at the time we were concerned	24
25	A. 1 1 think at the time we were concerned 51	25

Letby? 1

Α. No.

- Q. At this point, were you aware of allegations
- or concerns about Nurse Letby?
- I wasn't aware about the allegations about Α.
- 6 Lucy Letby for quite some time. In fact, it was when
- the media were at the hospital, that was the first time 7
- I heard her name ever mentioned. 8

Q. So the first time you heard the name was after 9 10 the police were involved?

Α. Yes.

Did anyone discuss at this meeting -- we have 12 Q.

seen who were the attendees, did anyone discuss concerns 13

that a nurse or a healthcare professional might be 14

- involved? 15
- 16 Α. No.
- 17 Q. Having spotted at this stage, we have spoken
- about your awareness earlier, but in terms of a panel 18
- 19 discussion at this stage discussing a trend, and we have
- talked about the training you had had in relation to 20
- Beverley Allitt, did anyone say: one of the areas we 21
- 22 should look at is whether a healthcare professional
- 23 might be involved?

24 Α. No, they didn't. And I -- I think that was as

- 25 I say probably borne out of the information that we 50
- 1 that the SUDiC wasn't followed because of lack of
- knowledge and lack of education primarily and it was 2
- after that that we did do some training around use of 3 the SUDiC protocol. 4
- 5 I don't know why the SUDiC protocol wasn't followed 6 because I would have thought that the paediatricians who
- 7 were -- who were, you know, certifying a death or
- 8 whatever it would be classed as should have spoken to
- Dr Mittal because he was the -- the designated doctor 9
- for safeguarding and child deaths and so he was the one 10
- who potentially could have said: you need to do a -- you 11
- need to follow the SUDiC protocol and have a Rapid 12
- 13 Response Meeting.
- 14 Was it any part of your role if you saw the Q.
- word "unexpected" or "unexplained" death, was it any 15
- part of your role to check whether SUDiC processes were 16
- 17 followed or not?

18 I would have spoken to Dr Mittal about that. Α.

- There was some -- some areas in their SUDiC protocols 19
- 20 actually have a clause that say something around if
- a child dies in hospital and they have previously been 21
- 22 unwell, that you may not need to impose the SUDiC
- 23 protocol but in Cheshire West that wasn't the case. Our
- 24 SUDiC protocol, the LSCB SUDiC protocol in Pan Cheshire
- did specify that an unexpected death in hospital should 25 52

be -- should be followed, you should follow the SUDiC 1 2 protocol. 3 Q. So although you weren't reviewing the Form Bs 4 for the content, so to speak, as opposed to whether they 5 were completed if you saw that alerted you to unexpected 6 or unexplained you would have said? 7 Α. I would have said to Dr Mittal what's -- you 8 know, do we need to have a Rapid Review Meeting for this 9 baby? You would have done without question. We had 10 done that before. There was -- there were times where there was some confusion around unexpected or an 11 unexpected death, not especially for the neonatal unit 12 but just talking generally and we would discuss it and 13 we would have, you know, that kind of dialogue between 14 professionals and certainly it wasn't something that 15 16 wasn't discussed generally. 17 Q. Do you recall raising whether SUDiC had been properly followed in relation to any of the indictment 18 19 babies, any of the babies' forms we have looked through? 20 A. I think at that meeting we probably had quite a long discussion around this. I mean, it doesn't 21 22 indicate how long the time is that this discussion went 23 on for, but I suspect that we would have actually said 24 what did the Form As say. 25 Q. Because at that point, the realisation had 53 1 initiated here. Do you know why that was? 2 No. I can, I -- I -- I don't know why that Α. 3 would be. I can only assume that at the time the 4 paediatrician who certified the death must have made no 5 indication that it was completely unexpected. 6 It would appear just reading that that maybe they 7 had put on the Form A that the child was unwell prior to 8 that, not -- not expected to die. 9 But having just had a panel discussion that Q. SUDiC wasn't being followed, having come to a conclusion 10 that it wasn't, wasn't that the point to say: we need to 11 now refer it? 12 13 Α. Yes, you would think that -- that we should 14 review that back, we absolutely should have done. And if we can just go on just to complete the 15 Q. picture to INQ 0001944, so this is the -- we look 16 17 finally at Form C, so this is the end of A. So we had Form B where the information was sent and there was 18 obviously a long -- Child A was one of the cases where 19 20 there was a long pause before you came to consider it, you were considering it now it was considered on 21 22 24 March and we see case summary there and that in fact 23 is just in fact copy pasted, it's from the Form B, it's 24 the summary from Form B. 25 If we could go then to page 3, we see "Panel 55

1 occurred to the Child Death Overview Panel, had it,

2 that --

3

4

5

- A. Something was going.
- Q. -- their process to spot patterns had failed?
- A. It -- it had and actually even I -- I don't
- 6 know even if we would have sent every single Form A to
- 7 Pan-Cheshire, I don't know whether the trend would have
- 8 been noticed from a little while because of the
- 9 timeframe of child death reviews. It's a difficult
- 10 question to answer that.
- 11 Q. Let's just follow through what happened to
- 12 Child A because as well as these concerns about the
- 13 trend and about the SUDiC process not being followed,
- 14 this meeting actually looked at Child A because by the
- 15 time -- this time the other investigations, the
- 16 Coroner's process had ended.
- 17 So if we could go to page 11, we have the short
- 18 summary of the cases that were considered and we have
- 19 got Child A, so page 10, there and we see cause of death
- 20 at the top: "unascertained" and at the bottom:
- 21 "Form C completed. Case closed. Unexpected22 death."
- 23 So there's an acknowledgement there by the panel
- 24 having considered it's unascertained and unexpected and
- 25 yet there was no SUDiC process for A and neither was one 54
- 1 discussion". The case had been delayed coming to panel,
- 2 part of the neonatal review at the Countess of Chester.
- 3 However, the outcome of the review did not find any
- 4 issues with the death. The Coroner has given a verdict
- 5 of unascertained and therefore the panel agreed the case
- 6 could be closed.

7 Again, this was not ringing alarm bells at this8 stage?

- 9 A. I think potentially it may not have running
- 10 alarm bells at that time. I mean, it's a difficult
- 11 thing to look back but this will have been quite a long
- 12 discussion. The -- the cases will have been presented
- 13 by a paediatrician. The way that the panels worked was
- 14 that if it was a neonatal death, for example, it would
- 15 be one of the paediatricians who presented the case to
- 16 panel and went through the Form As and the Form Bs and
- 17 they will have -- my guess now is that some of the
- 18 information from the Countess review would have also
- 19 been available at that time and I suspect that the
- 20 reason we didn't go back with that case to question the
- 21 unexpected death was because it had been through that
- 22 review process. And as it clearly says on here that
- 23 they didn't find any issues with the death and so we
- 24 have clearly concurred with them having looked at the
- 25 Form As and the Form Bs with the information that was 56

available at that time. 1 2 Q. If we could just go on to page 5 then which is 3 the final page of that form, we see how the Child Death 4 Overview classified this death because -- and clearly 5 the categorisation was important because that's also 6 part of spotting patterns --7 Α. (Nods) 8 -- to get the correct classifications and we Q. 9 see there that it has been classified as a perinatal 10 neonatal Event 8? 11 And that would have --Α. 12 Q. Why was it not 10, sudden unexpected, 13 unexplained death? That will have been because of the information 14 Α. that we had received. So if the information we had 15 16 received on the Form A and Form Bs had not said "Sudden 17 Unexpected Death", then that's not how it would have been -- and the actual CDOP had to categorise the death 18 19 as the highest category that it was at the time. 20 Well, we don't need to flick back, but the Q. 21 review we have just looked at --22 Α. I know it does actually say "unexpected 23 death". 24 Q. Unascertained and unexpected? 25 Δ I don't -- I can't answer why at that point as 57 1 care, with everybody, usually within 48 hours of the 2 death. So that doesn't happen after the CDOP panel. Because the CDOP panel meeting might be -- it could even 3 4 be a year, it could be a long time, especially if there 5 is going to be an Inquest, it could be a very long time 6 after death. 7 Q. So the situation is, isn't it, Ms Dodd, that 8 the CDOP panel at no point during this process either 9 spotted a pattern or referred any of these the deaths that they were specifically considering Child A or 10 Child I to the SUDiC process? 11 12 Α. They wouldn't have done it at that point 13 because the SUDiC process should have been implemented 14 as soon as the child died. So doing it that far down the line is, is probably -- it may still be valuable, 15 actually. When you think about it like that, it may 16 17 still be valuable, but it's --18 Well, it may be valuable but at this point, Q. the police hadn't become involved? 19 20 Α. No and actually -- so I guess the police are there at the SUDiC -- at the CDOP panel meetings, the 21 22 police are aware of -- of those deaths. It is 23 a multi-agency meeting which is discussed in 24 a multi-agency, a multi-professional way. So it's not down to one person to say what's happened, it's down to 25

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- 1 a panel of 12, 15 people we came up with that. I --
- 2 I can't answer that.
 - The only thing I can think is that it was discussed
- 4 in detail, it would have been presented by
- 5 a paediatrician, we would have looked at the
- 6 Royal College information as well, we would have
- 7 scrutinised the Form As and the Form Bs and that would
- 8 have been the reason for the categorisation.
- 9 But I understand what you are saying. And
- 10 I understand that potentially it could have been 10.
- 11 I think that the guidance for categorisation comes with
- 12 whether is highest. So I assume that at the time having
- 13 reviewed all the information, that was what we
- 14 categorised it as because it was the highest category.
- 15 **Q.** Of course the category is significant because
- 16 if it's been identified as a SUDiC, then there was the
- SUDiC process, which would have potentially involved thepolice.
- . 19 **A**.

20

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Q. So --

Yes.

- 21 **A.** But the SUDiC process should have been long
- 22 before the CDOP panel, it would not have been
- 23 afterwards. The SUDiC process should actually be
- 24 implemented at the time of death and that child death
- 25 reviewed at a joint meeting with the police, with social 58
- 1 that whole group of people.
- 2 **MS BROWN:** Yes, I have no further questions.
- 3 LADY JUSTICE THIRLWALL: Are there any other 4 questions?
- 5 **MS BROWN:** I believe there are no questions from 6 the Core Participants.
 - Questions by LADY JUSTICE THIRLWALL
- 8 LADY JUSTICE THIRLWALL: No, very well. There was9 just one thing.
- 10 Just one question, if I may, Ms Dodd, and it was
- 11 going back to your discussions with Dr Mittal quite
- 12 early on when you were at sort of three or four deaths
- 13 and you say that "we may have discussed that there were
- 14 three or four deaths", but I just wondered: do you have
- 15 any memory of what you would have been discussing and
- 16 why you would have even been discussing it?
- 17 A. I think -- well, I -- we did work very closely18 together.
- 19 LADY JUSTICE THIRLWALL: Yes.
- 20 A. And obviously we would be meeting for the CDOP
- 21 panel meetings and within the hospital -- the hospital's
- 22 small.
- 23 LADY JUSTICE THIRLWALL: Yes.
- 24 A. And, you know, any child death is devastating
- 25 but actually to have three or four on our neonatal

unit -- well, I say "our" neonatal unit, it's not mine, 1 2 generically speaking. It would be tragic. 3 And so I would probably say to him: oh you know, 4 Rajiv, what's happening? You know, it's really sad, you know another -- another baby has died and that would 5 6 probably be the context of the conversation. It 7 wouldn't be a scrutiny from my part at that point. 8 LADY JUSTICE THIRLWALL: No. 9 Α. I think it would probably just be that we are 10 acknowledging that we have got some very sick babies and it's tragic that we have lost another one. 11 12 LADY JUSTICE THIRLWALL: Just stepping back now and 13 doing the best that you can, I appreciate the looking back is always very difficult and putting yourself back. 14 But you mentioned knowing about Beverley Allitt and the 15 16 recommendation of the Clothier Inquiry? 17 Α. Mm-hm. 18 LADY JUSTICE THIRLWALL: Have you ever been in 19 a situation where it actually has come into your mind 20 that maybe something's going on? 21 Α. In my whole nursing career, I -- I don't think 22 so. I have occasionally worked with people where I have 23 thought their competence could be improved. 24 LADY JUSTICE THIRLWALL: Sure, yes. 25 And may have discussed that with my manager or Α. 61 1 LADY JUSTICE THIRLWALL: All right. That is very 2 helpful. 3 Α. Yes, I can't think -- as I say, I would --4 there might be some competence issue, there might be 5 something that would alert me to an issue with 6 a practitioner that I might actually go to my manager or 7 go to their manager and raise that, but I have never, 8 ever thought that somebody is doing something 9 unthinkable in an area that might have been working at 10 that particular time. 11 LADY JUSTICE THIRLWALL: All right. Thank you very 12 much indeed, Ms Dodd. 13 Do you have any other questions? 14 MS BROWN: No. LADY JUSTICE THIRLWALL: No. Well, then I am happy 15 to say, firstly, that we will take the break and come 16 back at 25 to 12 and, secondly, that you are -- thank 17 you very much for coming to give your evidence and you 18 19 are free to go. 20 Α. Thank you. 21 (11.18 am) 22 (A short break) 23 (11.35 am) LADY JUSTICE THIRLWALL: Mr De La Poer. 24 25 MR DE LA POER: My Lady, our next witness is 63

their supervisor. Even at the time of this -- of this 1 2 time, I don't think at the time we ever thought that anybody could be doing something like that and --3 4 LADY JUSTICE THIRLWALL: No, it is rather why I was 5 asking if it ever occurred to you. 6 Α. I think really that was also sort of supported 7 by the fact that the information we received didn't indicate that there were any concerns. So whilst we had 8 a lot of neonatal deaths, none of the information that 9 10 we immediately received or we immediately had to hand gave us any indication that there was anything wrong and 11 certainly nobody ever spoke about it, nobody ever said. 12 13 LADY JUSTICE THIRLWALL: You mentioned that and 14 I absolutely understand --15 A. Yes. LADY JUSTICE THIRLWALL: -- what you say about that 16 17 I was just wondering if there were any circumstances in which you ever thought the thing that may be 18 19 unthinkable. 20 I have -- I have been aware of it but I have Α. 21 never thought about that in my -- there's never been 22 a situation in my career where I have been seriously 23 worried about a practitioner --24 LADY JUSTICE THIRLWALL: Yes. 25 Δ. -- who may be causing harm. 62 1 Ms Hayley Frame and I wonder if she might come forward, 2 please. 3 MS HAYLEY FRAME (sworn) 4 Questions by MR DE LA POER 5 LADY JUSTICE THIRLWALL: Thank you very much, do 6 sit down. 7 MR DE LA POER: Please could you give us your full 8 name? 9 Α. Hayley Frame. Ms Frame, is it right that you provided to the 10 Q. Inquiry a witness statement dated 30 June of this year? 11 12 Α. Yes Q. Is the content of that witness statement true 13 14 to the best of your knowledge and belief? 15 Α. Yes. Q. By way of your background, did you qualify as 16 17 a social worker in 1995? 18 That's true. Α. Between 1995 and 2008, did you work in local 19 Q. 20 authority children's social care? 21 Α. Yes 22 Q. Were you predominantly working in child

A. Yes.
Between 2008 to 2011, were you the child death 64

protection or safeguarding?

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1	manager for Nottingham City Local Safeguarding Children	1	Nottingham City CDOP and we met jointly as
2	Board?	2	a cross-authority CDOP twice yearly. We also looked at
3	A. I was.	3	annual Thematic Reviews of neonatal deaths.
4	Q. As part of that role, did you develop the	4	Q. In 2011, did you begin work as an independent
5	Child Death Review process in that area?	5	Consultant?
6	A. Yes.	6	A. Yes, I was self-employed for 11 years.
7	Q. Pausing there for a moment. In that role, how	7	Q . As an independent Consultant, were you
8	did you find the cross-boundary working, by which I mean	8	appointed to be the independent chair of the
9	the areas surrounding that and the liaison between	9	Pan-Cheshire Child Death Oversight Panel in 2015?
10	theirs and yours?	10	A. Yes.
11	A. Nottingham City is a unitary authority and	11	Q. What you say in your witness statement was
12	then in terms of the cross-border, cross-authority it's	12	that your experience in Nottingham was key to that
13	with Nottinghamshire County Council, so the two councils	13	appointment?
14	work very closely together across the whole safeguarding	14	A. Yes, and when I became self-employed in 2011,
15	agenda, they have joint safeguarding procedures.	15	the bulk of my work that I was completing during that
16	When the requirement in 2008 was made for there to	16	period of time was I was the independent reviewer for
17	be the Child Death Review process, it was agreed that	17	serious case reviews, domestic homicide reviews and
18	there would need to be very close working between	18	safeguarding adult reviews and I was also the
19	Nottingham City and Nottinghamshire County Council	19	independent reviewer for drug-related deaths in
20	because most of the deaths would have occurred in the	20	Nottingham City.
21	city because that's where the hospital was, the larger	21	So it wasn't just around the child death manager
22	teaching hospital.	22	post that I had previously, it was in terms of my work
23	So the all of the procedures were agreed	23	in the reviewing of death and learning lessons and those
24	jointly. Even deaths that occurred outside of the	24	statutory review processes.
25	Nottingham City area would be referred into the 65	25	So that that was my experience that I took when 66
1	I went to interview for the independent chair role.	1	Q. Presumably scrutinising materials before
2	I was also at that period of time providing expert	2	a meeting took place?
3	witness assessments in Family Courts. So I suppose the	3	A. Yes.
4	point that I am I am stressing is that the	4	Q. Are you able to give us any estimate of the
5	independent chair role was one of many roles that I had	5	approximate number of hours outside of meetings that you
6	during that period of time. It wasn't a full time job,	6	were spending on a weekly or monthly basis?
7	it was chairing of meetings.	7	A. A day a month would probably be a fair
8	Q. How many hours per week or per month were you	8	estimate.
9	spending as the independent chair of the Pan-Cheshire	9	Q. You have told us about the backlog and we will
10	CDOP?	10	come back to that in a moment. But bearing in mind what
11	A. When I first was recruited to that role there	11	you have reported to be a very positive experience in
12	was a very large backlog of child deaths that hadn't	12	Nottingham about the cross-border cross-boundary working
13	been through the process, the CDOP process, going back	13	relationships, how did you find the Pan-Cheshire CDOP
14	years. So it was agreed that we would meet, it was	14	cross-boundary cross-border working relationships?
15	quarterly was the frequency of the meetings, but it was	15	A. Well, I suppose by virtue of the fact it was
16	agreed that we would meet every other month to try and	16	the Pan-Cheshire CDOP so that was four LSCB areas comin
17	clear some of the backlog.	17	together, that was to recognise the fact that in
18	Q. So you have a meeting every other month.	18	isolation the numbers of Child deaths would be so small
19	Outside of that meeting, how much time were you devoting	19	that it would be very difficult to identify any patterns
	to it, did you have an allocation of time or was it as	20	and trends from such a small cohort and also a number of
20	the job required?	21	the statutory services worked across those boundary
20 21	-	21 22	the statutory services worked across those boundary areas so that is why the Pan Cheshire came together from
20 21 22	the job required?		
20 21 22 23 24	the job required? A. As the job required. It was mainly, you know	22	areas so that is why the Pan Cheshire came together from

I refer to it in my statement. I never attended the 1 2 meeting which is why I am probably not remembering. 3 Q. North West regional? 4 Δ Yes, thank you. So that -- that was in place. 5 We didn't -- at that point the requirements under 6 Working Together to Safeguard Children had changed so 7 whereas when I worked in Nottingham there was 8 an expectation that you would be -- the CDOP would be 9 notified of all deaths in that area, regardless of home 10 address. That changed. So in the Pan-Cheshire CDOP we were only notified of deaths of children whose parents 11 were resident in that area. So any child that would 12 13 have been outside of the CDOP -- Pan-Cheshire CDOP boundary we wouldn't have been notified of. So there 14 was minimal liaison. 15 16 Q. Well, bearing in mind that change, doesn't 17 that change reinforce the need for very strong communication to surrounding areas? 18 19 Α. Yes. 20 Q. Was that something that as chair, you focused 21 upon or tried to develop in any way over the time that 22 you were chair? 23 Α. No. And I -- the reason for that was that when I was appointed as the independent chair it was 24 25 because there was a significant amount of work to do to 69 1 So there was a lot of work to do to correct those 2 processes internally before thinking wider than that. 3 Q. When you say the Rapid Review process wasn't 4 being instituted, was that across all sudden unexpected 5 child deaths, so in the community, in hospital or were 6 there particular pockets or areas that didn't seem to be 7 triggering that process? 8 Α. It was the issue around home visits. So the 9 Rapid Response process is that the -- a relevant health professional, preferably a Consultant paediatrician, 10 would do a joint visit with the police following an 11 unexpected death. 12 Q. If I can just stop you there. You will 13 14 appreciate the Inquiry's particular focus is upon 15 hospitals? Α. 16 Yes. 17 Q. So I hear what you say about where -- where that identified problem area was. Can I invite you to 18 consider whether the issue about SUDiC and hospital 19 20 deaths was that an issue that you perceived as existing 21 when you took over as chair? 22 Α. No, not when I took over, no, obviously there 23 were discussions in relation to that into some of the 24 panels that I chaired but no, not at the point that 25 I took over.

get the CDOP to be fit for purpose in many ways. That 1 2 was around -- a lot of that was around the backlog. 3 The child death co-ordinator had only just started 4 in post, there was a lot of work that needed to be done in terms of Terms of Reference, attendance, that --5 6 there were certain things that the CDOP or the Child 7 Death Review process didn't do. So, for example, it was well known from the outset that they weren't compliant 8 in terms of Rapid Response arrangements at that point. 9 10 Just pause there. Is that under the SUDiC Q. process when you say Rapid Response? 11 Yes, yes, I think -- I think the terminologies 12 Α. changed. I haven't worked in the field of child deaths 13 since 2017 so I think it's like a joint response, Isn't 14 15 it. 16 It is the Joint Agency Response, JAR? Q. 17 Α. Yes. Back then it was called a Rapid 18 Response. 19 The profile of the CDOP the quality of the 20 information being submitted on the Form Bs, there was a lot of work that needed to be done to just try and get 21 it up to speed. The CDOP didn't review deaths of babies 22

23 less than 23 weeks, even if they had taken a breath,

24 which again wasn't compliant with the statutory

25 guidance.

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1 Q. What was your understanding about whether or 2 not there was a need for a Rapid Response Meeting under 3 the SUDiC protocol if a baby was born in hospital and 4 died on the neonatal unit? 5 If death was unexpected, then the same process Α. 6 applies. We had had these discussions in my previous 7 role in Nottingham and we agreed that it would need to 8 be looked at on a case-by-case basis and be a proportionate response, but that the process would 9 still be followed nonetheless. 10 11 O. So would that mean that as a very minimum that for every Sudden and Unexpected Death the designated 12 doctor would be notified of that? 13 14 Α. Yes, yes. 15 And is it at that point that you were Q. envisaging that there would be a discussion about 16 17 whether or not that Rapid Response Meeting involving the other agencies should occur? 18 19 Α. Yes 20 Q. Now, we have already had explained to us the purpose of the Child Death Overview Panel. Can I just 21 22 invite you to consider this in summary: was one of its 23 main functions to look for trends or patterns?

- 24 **A.** Yes.
- 25 Q. In the two years that you were chair, were 72

there any -- and you don't need to list them, but were been -- taken 18 months to come through, that you were 1 1 2 there any trends or patterns identified by the Child 2 looking back to that earlier period to consider whether 3 **Death Overview Panel?** that death, at that time, formed part of a pattern; do 3 4 Δ Not specifically in relation to neonatal 4 you see? deaths, no. We had a campaign around safe sleeping, we 5 5 Α. Yes, I do. 6 had lots of discussions around maternal smoking and 6 Q. Do you see what I am saying? 7 obesity, so there -- definitely we were identifying some 7 Α. I do 8 learning. But there wasn't -- it was very difficult in 8 Q. Yes, and did that process occur? 9 terms of like identifying trends because we were dealing 9 No. it didn't. Α. with a backlog as well so we weren't reviewing the 10 10 Q. Bearing in mind the obvious challenge of deaths in a timely way. clearing a backlog, do you think on reflection that 11 11 12 So that obviously skews the data as well. should have been something that was occurring as 12 13 The fact that you were dealing with that an additional part of the process of clearing the Q. 13 backlog and the confusion that that might lead to or the 14 backlog? 14 uncertainty that might do, did that require quite 15 15 Α. Yes, I think that the driver was to just try 16 a systematic approach where you started to populate 16 and at least be -- there are statutory timescales in 17 things on a year-by-year basis so that you could make 17 terms of the length of time it should take to review sure that you were getting a clear view of deaths in 18 a death, and some of these deaths were like two years or 18 19 context? 19 more, so that the driver was to really try and get on 20 I had to do a quarterly report, a quarterly 20 top of it so that we could concentrate on the new Α. 21 21 chair's report which included the performance data. notifications and complete those in a timely way. 22 Q. But if I can just stop you there. I am not 22 There was, there wasn't -- there was no -- there 23 just asking about reporting on the deaths that you were 23 wasn't an action log in place, for example. So when considering in that guarter but about putting those a child death was reviewed and there might be actions 24 24 25 deaths into their proper context so that if they had 25 there was no mechanism to actually track those. So that 73 74 1 was another thing that I brought into place. 1 modifiable factors of -- I can't remember which year it 2 In terms of the drivers that you have spoken 2 was, it might have been 13/14, we looked at all of the Q. 3 about, to clear the backlog? 3 modifiable factors in terms of the actions relating to 4 Α. Yes. 4 those to see what -- so the "so what" question: what 5 Q. Just looking back on it reflectively, do you 5 happened? We made these recommendations as a CDOP and 6 think there's any possibility that during the period 6 what action was taken to try and evidence the learning. 7 7 that you were chair, there was perhaps too much focus on Q. Bearing in mind that the panel's function was 8 clearing the backlog so you could have a clean start and 8 to look for trends or patterns --9 do it properly as you would want to as opposed to 9 Α. Mm-hm. perhaps being as systematic as should have been the case 10 10 Q. -- was it necessary for concerns to be raised as part of the forms being filled in or did the panel 11 with each of the backlog? 11 I believe that we still reviewed each death also have a function to stand back and say: well, there 12 Α. 12 with the amount of attention that it needed, based on 13 13 are perhaps too many deaths in this area compared to our 14 the information that CDOP was provided with. So it 14 expectation, so in other words the raw data itself wasn't like it was rushed, hence why we increased the rather than the detail of each case, was that something 15 15 frequency of meetings. 16 16 the panel was concerned with? 17 17 But I suppose it did mean that the number of Α. The panel received that -- that data as part neonatal deaths was higher that we were reviewing as of my quarterly reports, so that had -- it had that data 18 18 a CDOP because neonatal deaths fall into the greater there in terms of the number of deaths reviewed. At no 19 19 20 category, the largest category anyway and so that would 20 point were there any concerns raised in terms of have felt like we were reviewing a lot of neonatal 21 clusters or -- or any patterns, no. 21 22 deaths and one of the things -- I am sure it's in one of 22 Q. But a cluster might be self-evident if you get 23 the annual reports that I wrote -- talking about doing 23 a large number of deaths. Even if in the case of any 24 a Thematic Review, learning from what had happened in my 24 given one of them, there is no specific concern raised, previous roles in Nottingham and we also looked at the provided the panel is sighted on all of the deaths in 25 25 75 76

(19) Pages 73 - 76

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1	that cluster, might that be something that the panel
2	notices and says we have had a surprising number of
3	deaths?
4	A. Yes.
5	Q . That in itself is something that we need to
6	investigate?
7	A. Yes, if they were notified of them all,
8	absolutely.
9	Q. So in other words you are not solely reliant
10	upon people raising individual concerns, you do have
11	a step back and look at the overall
12	A. Yes.
13 14	Q picture A. Yes.
14	A. res. Q role.
15	Now, a point you make a couple of times in your
17	witness statement is that because of the way that the
18	panel system was working at that time, not all of the
19	deaths from the neonatal unit during the period we are
20	focused on were deaths that the panel was scrutinising?
21	A. Yes, that's right.
22	Q. We know that in fact it is only Child A and
23	Child I who were the subject of a panel meeting?
24	A. Mm-hm.
25	Q . The Inquiry has heard evidence from
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1	I didn't have, like, agency records, I haven't had
2	anything to go back to. So I have been trying to think
3	about this and obviously reading through the documents
4	that I have been sent, because there was mention of the
5	review when we were considering one of those two
6	children because there was a discussion around that
7	child being part of the cohort of children that were
8	subject to the review. So that must have been the first
9	time I knew about it, thinking about it.
10	Q . So if I can just help you with that, that's
11	a meeting that took place on 16 September and we are
12	going to look at the detail of that meeting?
13	A. Okay, so that must be the first mention so
14	I think. So that must have been when I first became
15	aware that there was going to be a review. To be
16	honest, I am just putting myself in my own shoes back
17	then, given that more Thematic Reviews of neonatal
18	deaths were seen as in a positive way because often with
19	neonatal deaths, especially in cases of extreme
20	prematurity, there hadn't any modifiable factors, there
21	isn't huge amounts of learning, it's entirely due to
22	a child's prematurity, so to look at them thematically
23	in a larger cohort, and I think probably that is what
24 25	I thought was happening at that stage. And then I became aware I think it was around
20	79
	· -

Sharon Dodd this morning about the fact that all the Form As, regardless of whether or not the child ultimately was considered by the panel, passed through her hands, I think the exception being Child O and Child P, and that she kept a log or a tally. Now, her evidence was that she didn't tell you about that. Do you have any recollection at all about those Form As and any tally being kept or record? Α. No. Q. Is that something that you should have been told about, do you think? I think it's difficult, isn't it, because Α. there was no longer the statutory requirement for the CDOP that covers the area where a child died to be notified. I think if -- if the concern is that there's actually a spike, then absolutely, then the CDOP should have had that discussion and I should have been notified. But there wasn't -- there wasn't a framework for that information to be routinely passed to the CDOP. Q. In terms of your awareness of when there was -- that there had been an unexpected increase in the number of deaths on the Countess of Chester neonatal unit, when do you believe that was? Because I -- because I was self-employed Α. 78 the time that the report -- the report was going to be published because they had made recommendations in it for the CDOP, so there was email correspondence there before the meeting in March when we went through it, yes. Q. Again we will come to that. But we will add some detail around your answers there very shortly.

just like to take you, please, to an interview that 9

Before we get to that stage of the chronology, I would

- Ms Dodd conducted, or was the subject of, with the 10
- RCPCH? 11
- 12 Α. Okay.
- 13 Q. You have seen these notes already as part of
- 14 your preparation but we will bring them up, INQ0014605
- and we will go to page 21, please. 15
- Now, Ms Dodd has given her best recollection of 16
- what these notes may mean or what she says about them so 17
- I am not going to ask you to interpret them, just to 18
- treat them on their face. 19
- 20 Firstly, we can see five lines down a reference
- I think to you, it is a misspelling but as chair of the 21
- 22 CDOP and it would appear that Ms Dodd has suggested that
- 23 you could be spoken to.
- 24 Do you think that the RCPCH, knowing what you do
- now, should have spoken to you as the chair of the CDOP? 25

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Yes, and I think I would go further than that. Α. I think that the CDOP and the wider LSCB should have been part of the Terms of Reference. It's -- the fact that that review was done completely in isolation and not in a wider partnership arrangement doesn't make sense to me. Now, again, we have heard what Ms Dodd's Q. evidence is about this so I am not going to repeat that. But "CDOP not worried", just treating that on its face. At this point, right at the beginning of September 2016, so before that meeting involving Child I, was CDOP -- you, the chair of CDOP, aware of the increase in mortality? No. So I can only assume that's what that Α. means, "CDOP not worried". Well, we didn't know we had anything to be worried about. That -- that's my assumption. It's strange that -the way it's set out, could talk to me, but then it talks about "CDOP not worried" as though they are two quite separate things. So I don't understand that but that can be my only sort of assumption. Q. All that you can say is that it is literally 23 right that CDOP wasn't worried but the reason for that 24 is you didn't know? Α. Yes. 81 have expected to have had a discussion following that with Ms Dodd so that she could tell you about the discussion that she had had and the fact that CDOP had come up? Α. Yes, and we may well have done. I just can't, I can't recall that we did. I have not worked in the, in the field of -- of Child Death Review since 2017 so in many ways, you know, I -- I don't have the recollection of whether we did or whether we didn't and I don't have any agency records to go back to look to see if we had that conversation. I don't remember 12 having a conversation with her. Q. Because if a review is being conducted which 14 encompasses a number of deaths, would it not be quite important for CDOP to know that so that there could be a very clear understanding about whether any particular death that has already passed through CDOP, whether that was subject to the review at any future death that is going to pass through? Α. Yes, because thinking about it, you know, we perhaps should have shown more curiosity in terms of who

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- 22 are the children that are subject to this review and we
- 23 didn't ask those questions.
- 24 Whether I think we should have done, it's difficult
- with sort of hindsight bias, isn't it, because we didn't 25

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Q. Thank you very much indeed. We can take that down.

- 3 Just casting your mind back, did you know that
- Ms Dodd, who wasn't in fact interviewed in her capacity 4
- as her role on CDOP, but in fact an internal --5
- 6 a paediatric liaison role that she had.
- 7 Α. Okay.
- 8 Q. Did you know that she had been interviewed by the RCPCH? 9
- 10 Α. I don't believe I did. no.
- Is that something that you should have been 11 Q.
- told as CDOP chair, do you think? 12
- 13 Not necessarily. I suppose we were just Α.
- awaiting the findings of the report. We weren't 14
- involved in the -- you know, the mechanisms of the 15
- 16 review. As I say, you know, now thinking about that we
- 17 perhaps should have been, but we weren't and there was
- 18 nothing, no one had raised that there was any concern.
- 19 So I suppose I didn't know that there was anything
- 20 that needed to be scrutinised any further.
- 21 0 Now, once Ms Dodd had been interviewed and it
- 22 was plain that the RCPCH were there at the hospital
- 23 investigating or conducting a review which arose out of
- an increase in the neonatal mortality rate, and it would 24
- 25 appear that there was some discussion of CDOP, would you 82

1	know that	there was any reason to ask those extra
2	questions.	But looking back now it would have been,
3	yes, if we	had would have been involved in the Terms of
4	Reference	, if we knew the children, how many had come
5	through Pa	an Cheshire CDOP, how many had gone to other
6	CDOPs, th	nen absolutely, that would have been helpful.
7	Q.	We will look now at the meeting on
8	16 Septen	nber, INQ00178115
9	Now	, against your name for this meeting there is an
10	R which, if	we go over the page, signifies designated
11	rep?	
12	Α.	(Nods)
13	Q.	Does that mean that you were representing
14	somebody	else or somebody else was representing you?
15	Α.	Dr Mittal chaired in my absence, I wasn't
16	present.	
17	Q.	I think we can see that from the next line
18	just below	
19	The	fact that you weren't there, would you still
20	have read	the material ahead of the meeting?
21	Α.	Yes, and agreed the agenda.
22	Q.	Agreed the agenda?
23	Α.	Mm-hm.
24	Q.	Do you recall if you had any discussion with

25 Dr Mittal ahead of the meeting given that he was

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Q.

Α.

Q.

have done.

that you probably didn't?

representing you? 1 2 A. I don't recall and I don't know why I wasn't 3 there, if it had been -- if it was due to sickness and, 4 you know, an urgent matter then probably not. But sometimes I couldn't attend because I was due to give 5 6 evidence in court. So it -- I don't recall 7 a conversation with him. 8 Did you have a responsibility following the Q. 9 meeting even if you didn't attend to read the minutes 10 and to understand what had happened? 11 Yes. Α. 12 As chair if you were concerned about anything Q. 13 that you saw on the minutes, would it be your place to raise that and say: I just need to understand what 14 happened at the meeting because I don't agree with it or 15 16 I can't --17 Α. Yes. I can't fathom it? 18 Q. 19 Α. Yes 20 Q. Now, in section 3 on this first page, we can 21 see Child I's case was closed and: 22 "The child details to be sent to the Coroner for 23 review of case notes. The child case to be referred to once the Royal College report is presented by 24 25 Dr Mittal." 85 1 Q. I mean, did you understand the Coroner to have an assurance role after a CDOP case was closed? 2 3 Α. No. We were -- we had worked guite hard on 4 improving our relationships with the Coroner's office 5 and we had representation -- I wonder if we did at that 6 one. No -- yes, in attendance Christine Hurst. Without 7 being present I don't know if there was a conversation 8 between Dr Mittal and Christine about what the Coroner 9 could do to help, I don't know. But I am assuming it would be for a review for assurance. 10 11 O. What also seems clear from the face of the 12 minutes, and in fact we know, is that the College hadn't issued its report which incorporated its consideration 13 14 of the period that Child I died during? 15 Mm-hm. Α. 16 Q. Do you think that in fact it was inappropriate to close Child I's case whilst there was still a review 17 that might shed some additional light which was ongoing? 18 19 Α. Yes. 20 Q. Because as we have understood it, CDOP, as in the panel meeting, represents the very end of the Child 21

- 22 Death Review process?
- 23 A. (Nods)
- 24 Q. And absolutely every investigation should have

25 taken place and been resolved insofar as it could be by

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Now, this case, as we will see on the Form C, was 1 2 closed at this meeting, effectively as I understand it, meaning that the all of the data had been taken that 3 4 needed to be taken for the Form C and that could then be entered on to the database for the pattern recognition; 5 6 is that right? 7 Α. In part. And the reason I say that is because 8 there were actions. So those actions would have then 9 gone into the action log which was considered at every 10 meetina 11 So the actions you would send to the Coroner for review of the case notes, that would have led to an 12 action being logged on the action log. So although the 13 case was closed, that should have been ongoing. 14 15 Q. What would you expect to happen? If the 16 child's details were sent to the Coroner for review of 17 case notes, what in practice was that going to lead to? 18 Α. I supposed -- just for assurance. 19 So there was an expectation that the Coroner Q. 20 would receive that child's notes from CDOP regardless of whether they had otherwise seen anything about Child I's 21 22 case and review them and comment upon them? 23 I assume so. It's difficult for me to --Α. 24 I wasn't party to that discussion. But I assume that's 25 what the thinking was. 86 1 the time that the panel closes the case; is that right? 2 Yes, that's correct. Α. 3 Q. So on the face of it, that isn't what happened 4 here. 5 Now, when you received these minutes, do you have 6 a recollection of noting that point and wondering about 7 it or having some curiosity about why a case has been 8 closed in circumstances where firstly the Coroner appears to be looking at it, and the RCPCH still hasn't 9 provided its report? 10 11 Δ. I don't, I don't recall and I know that's not particularly helpful but I absolutely don't. But that 12 -- that would have been of concern, that is of concern. 13 14 So I would have hoped that I would have queried that. Without seeing the action log, I don't know what -- what 15 happened as a result of that. 16 17 But that would be unusual for the case to be closed 18 and maybe I was assured by the action log, I don't know.

It's unusual and sitting there now, although

I -- I don't know. If I didn't then I should

some time later, you don't have any recollection of

having followed this up. Do you think that suggests

Page 5, please, we will see --88

(22) Pages 85 - 88

1	LADY JUSTICE THIRLWALL: Have you finished with
2	this point, Mr De La Poer?
3	MR DE LA POER: Of course my Lady.
4	LADY JUSTICE THIRLWALL: Are you continuing with
5	the same point?
6	MR DE LA POER: No.
7	LADY JUSTICE THIRLWALL: I wonder if I might ask
8	something. I'm sorry, would you mind just putting that
9	page back up again? I just want to ask a question for
10	clarification.
11	It's slightly odd to say if you look at the
12	section 3 "Review of Children Cases", and then "3.
13	Action: case deferred" and then we have "Action: closed
14	case" rather than "case closed".
15	And are you there looking at or are they there
16	looking at a case which has been closed because it then
17	says "child's details to be sent to Coroner" etc, etc
18	and if we look further down "Action: closed",
19	a different child "the CCG to be contacted to ensure
20	that the RCA report" et cetera. Then the following one
21	"closed case" "The conclusion of the Inquest report to
22	be added to the Form C".
23	What's not clear to me, having looked at them all
24 25	while you are being asked about questions of the others,
25	is what's being described there, information that's 89
1	LADY JUSTICE THIRLWALL: Just so I understand it,
2	although a case was closed, in all of these examples
2 3	although a case was closed, in all of these examples further action was to be taken to complete the file in
2 3 4	although a case was closed, in all of these examples further action was to be taken to complete the file in some way or another?
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	 although a case was closed, in all of these examples further action was to be taken to complete the file in some way or another? A. Yes, there might still be actions, yes. LADY JUSTICE THIRLWALL: Yes. Well, if Mr De La Poer wants to explore that any further obviously he will do, but that is sufficient for my purposes, thank you. MR DE LA POER: My Lady, I certainly had in mind to ask Dr Mittal about that. LADY JUSTICE THIRLWALL: Yes, I thought you might. Yes. MR DE LA POER: Page 5, please, we will look at what is said about Child I. We can see a little more detail about the Royal College. In fact it says in terms: "This child's death is subject to review at the Countess by the Royal College." So not just the period during which that child died, but that child's death itself? A. Mm-hm. Q. Is that even greater cause for concern for you

going to be put into the files, where the cases have
--

2 already been closed or do you always go closed and then

3 add things to them?

A. No. I think it's -- it's the case was closed.

5 That was the --

- 6 LADY JUSTICE THIRLWALL: That was the action in
- k 7 that meeting?

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- 8 **A.** Yes, yes, close the case.
- 9 LADY JUSTICE THIRLWALL: So all of those cases --

10 **A.** Yes.

11 LADY JUSTICE THIRLWALL: -- the cases were closed

12 but with something else that has to be done?

13 A. So it might be where there was information

- 14 hadn't been put in the right form, so "the conclusion of
- 15 the Inquest report to be added to the Form C". So
- 16 that's obviously information that we had hadn't been
- 17 input to the Form C.
- 18 LADY JUSTICE THIRLWALL: But when was that going to
- 19 be done, after the case was closed or before the case
- 20 was closed?

23

21 A. Yes, so that would be what the CDOP

22 administrator/co-ordinator would do.

- LADY JUSTICE THIRLWALL: Would have done.
- 24 **A.** In fact, yes, the actions -- those actions are 25 for her.

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1 in circumstances where simultaneously the panel is closing the case? 2 3 Α. I suppose there's two -- two parts to that, 4 isn't there? We didn't -- I didn't know as the Chair of 5 the panel and it wasn't discussed within panel, so my 6 assumption is that most panel members equally were 7 unaware that there was a concern. 8 So this child's death being subject to that review, given that there wasn't a concern about the review, 9 probably meant that that didn't trigger any further 10 discussion or scrutiny. 11 However, the point is the same; that if a child's 12 13 death is being subject to a parallel process, a review, 14 then it shouldn't have been closed. 15 We can see that there is an action which Q. includes an item for: 16 17 "The next panel meeting to discuss if unexpected deaths in hospital should be considered for an RR 18 meetings, the child to be referred to once the 19 20 Royal College report is presented by Dr Mittal"? 21 Α. Mm-hm 22 Q. Now, you have told us that in your mind there 23 should always be an initiation of the SUDiC process --24 Α. Yes. 25 -- in the event that the death is unexpected, Q. 92

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even in a hospital. Does it surprise you that that 1 2 appears to need to be a subject for discussion as 3 opposed to: this is what the protocol is, we all just 4 need to get on with it? I think seeing it in the context of even in 5 Α. 6 unexpected deaths, the Rapid Response -- in the 7 community, the Rapid Response process wasn't being 8 followed. 9 It just -- it just felt for me that there was so 10 much that wasn't in place that should have been in place. You know, in other areas they will have a rota 11 of on-call paediatricians so in the event of a -- you 12 know, an unexpected death in the community or in 13 hospital, that person will be contacted out of hours. 14 15 That starts the process. 16 There was lots of discussions and a business case 17 put together with various different options for to be taken to the CCG, as was, around how we were going to 18 19 meet that need within Pan-Cheshire because it was 20 a funding issue. 21 So there was so much that wasn't in place that 22 should have been and was in other areas. So I suppose 23 was I surprised that the Rapid Response process didn't happen for unexpected deaths in hospital? Probably not, 24 25 because it wasn't happening robustly in the community 93 1 face of it and item 10, do you agree, appears to fit 2 that? 3 Α. Yes. 4 Q. Now, a point that Ms Dodd made and I seek your 5 help on, if you look right at the top it says: 6 "The classification is hierarchal. Where more than 7 one category could reasonably be applied, the highest up 8 the list should be marked." 9 Α. Yes. 10 Q. What was your understanding of how this form operated and how it was in a hierarchy and what was it 11 trying to get at by ranking these different descriptors? 12 Well, these were national descriptors and set 13 Α. 14 out in that order. So that would have been Department of Health at the time, I suppose. 15 16 When you look at it, it reads almost -- you start with what's potentially a safeguarding or a crime, don't 17 you? It's deliberate inflicted injury, abuse or 18 neglect. And then sort of go down in terms of moving 19 20 more into conditions relating to the child. But if that was the case, if we were going from, 21 22 you know, conditions related to the child, chronic 23 medical conditions, cancer, up to trauma and inflicted, 24 you would think that sudden and unexpected, unexplained

25 death would be higher up, wouldn't you, because we don't

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- 1 either. Well one, that, that element of a home visit,
- 2 a joint home visit not being completed.
- 3 **Q.** Well, at all events it appears apparent from
 - this record that in the context of Child I's case there
- 5 is a discussion about the SUDiC protocol and we will
- 6 just briefly look at the Form C for Child I, INQ0001946.
- 7 We can see the start of the case summary has that8 word "Sudden"?
 - A. Yes, yes.
- 10 Q. So on the face of it, a clue, would you agree,
- 11 that this child's death ought to have been the subject
- 12 of SUDiC?
- 13 **A.** Yes.
- 14 **Q.** If we look at page 3, we can see under the
- 15 issues identified the same terms which appear in the
- 16 summary there about the RRM.
- 17 Then finally if we go to page 5, I am just going to18 ask you a question that Ms Dodd was asked about, this19 tick box?
- 20 A. It's wrongly categorised.
- 21 Q. Well, exactly so.
- 22 Now I appreciate this isn't your meeting in the
- 23 sense that you weren't there, but we have seen that
- 24 there was plainly a discussion about RRM and sudden and
- 25 unexpected, we can see the word "sudden" appears on the 94
- 1 know why that child's died? It is always at the bottom
- 2 and that doesn't really make a great deal of sense
- 3 looking at it now.
- 4 What Sharon said is right, absolutely, the highest
- 5 up the list should be marked but it's the wrong
- 6 category. It should be -- it should be 10.
- 7 **Q.** If one thinks about it in terms of the taking
- 8 a step back role that CDOP had, lots of sudden,
- 9 unexplained, unascertained deaths might be thought to be
- 10 a major red flag; do you agree?
 - A. If there were lots of them.
- 12 **Q.** If there were lots?
- 13 **A.** Yes.

- 14 **Q.** But if consistently, as you point out, you are
- 15 moving up the hierarchy because you might reasonably
- 16 describe it as one higher up, you are going to miss that
- 17 big picture because that -- that box 10 isn't going to
- 18 be ticked in necessarily every case it could be?
- 19 A. I suppose the other thing to remember, though,
- 20 is by this point, because it is at the conclusion of the
- 21 Child Death Review process, whether that was expected or
- 22 unexpected death, we should know the cause of death so
- 23 that's what this -- this -- this category is around.
- 24 So you may have an unexpected death but the process
- 25 itself, the Rapid Review process, has determined that it

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was due to infection or it was due to a chronic medical 1 2 condition that people weren't aware of. So that -- that 3 would be -- if you knew the cause you would then tick 4 that box. 5 Q. Well --6 Α. You wouldn't because every unexpected death, 7 the purpose of the process is to establish the cause. So that might not be the case, you wouldn't tick that 8 9 box for every single unexpected death. 10 If I can just pause you there. In Child I's Q. case, at this time, there was a cause of death that was 11 given, we have seen that in the form? 12 13 Extreme prematurity was part of it, I think, Α. wasn't it? 14 Q. 15 Yes? 16 Α. Yes, so that would be why the panel will have 17 lended themselves to 8. Thank you. We can take this down, we are 18 Q. 19 going to move forward a few days to 26 September. And on this date, there was the Cheshire West Local 20 Safeguarding Children's Board meeting and I believe you 21 22 presented a draft CDOP annual report to that meeting, 23 and I just want to ask you, please, about the 24 circumstances before that meeting. 25 These minutes were produced presumably within 97 1 Α. I didn't know. 2 I mean, had you known is that something that Q. 3 you would have included in the report? 4 Α. Probably not. Only as much as I would -- we 5 would need more information and the annual report is 6 talking about the previous year's performance as opposed 7 to any current. 8 Q. Well it's 2015 to 16, the sudden unexpected increase in the neonatal mortality rate began in 9 June 2015, so will have fallen within the period for 10 your draft annual report? 11 Had I known that then yes, it would have been 12 Α. 13 included. 14 Q. Moving forward to 3 October. INQ0012781. Again we don't need to look at all of the detail of this 15 because you have had an opportunity to refresh yourself 16 17 and I would like it deal with it by way of summary. 18 We can see that Ms Eardley has sent an email to -presumably that is the CDOP general inbox that it's gone 19 20 to? We don't see the whole of it there but --21 Α. (Nods) 22 Q. -- it's not with your name on it? 23 Α. No, it's the inbox that Ann McKenzie had 24 control over. 25 Q. And it is addressed to Ms McKenzie/you on the

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a relatively short period of time of 16 September, was 1

2 that the process?

Α. It -- it didn't take the administrator huge

4 amounts of time to create the minutes, no.

5 Q. I suppose the question really comes down to 6 this: would you have expected to have seen those minutes

7 by the time you went to that safeguarding meeting?

What's the time difference between the two 8 Α. 9 meetings?

10 Q. We have got 10 days.

11 Probably not. Α.

12 Q. Probably not?

> Α. No.

14 Q. So does it follow from that that you don't

think that you would necessarily have been aware of what 15

16 was said at the meeting you didn't attend about the

17 Royal College report?

Α. 18 Yes.

19 Q. In which case we can shortcut this. The draft

20 report that you presented makes no mention --

21 Α. No.

22 Q. -- of an increase in mortality. Is the

23 explanation for that based on what you have just told us

that you at that point, 26 September of 2016, didn't 24

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1 face of it?

know?

Α. Yes.

3 Q. And in that email, Ms Eardley points out that 4 the RCPCH has been looking at the relatively high number 5 of deaths during that period, June 15 to July 2016, and 6 asking for you to share the CDOP report. 7

Of course, that CDOP report doesn't make any

8 mention of it because you didn't know anything about it? 9 Α. No.

10 And also flagging in the second paragraph the Q.

11 need for a more systematic approach to neonatal deaths.

We know that that turned out in the report as saying you 12

need to follow the SUDiC protocol? 13

Α. (Nods)

15 If we just go over the page to put the balance Q. of the email in. And we can see there a little bit more 16

information about what is effectively going to the SUDiC 17

issue because it's about the definition of what is 18

an unexpected death. 19

20 And asking this question: how deeply the CDOP

considers these deaths in terms of investigation 21

22 recommendations given that many are unlikely to have

23 a direct safeguarding element?

24 Α. Mm-hm.

> Q. Flagging that there are 11 deaths which 100

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Α.

Q.

Α.

Q.

SUDiC process?

Α.

Q.

Α.

Q.

Α.

Q.

Α.

Q.

Α.

Α.

Α.

this meeting.

Α.

Q.

Α.

Q.

in agreement with that.

Yes.

Yes.

Sorry?

Yes.

Mm-hm.

Meeting needed to be convened?

what you described in Nottingham.

you have described that you did in Nottingham?

LADY JUSTICE THIRLWALL: Yes.

the first page. We can see that Dr Mittal is present at

the fact that effectively a discussion ought to take

and Unexpected Death and that there should be

a discussion about the Rapid Review Meeting?

place with him for Chester every time there was a Sudden

I don't recall what his views were in that

meeting. But based on the -- based on the minutes of

the discussion I would make, I would assume that he was

raising?

Yes

report, didn't it, not the other issues.

(Nods)

Yes

And was that a satisfactory response that

But we can see that one of the things that

Well, we will see that theme developing?

It was mentioned, as you will recall, in the

We can see that you do attend this meeting

"Should a Rapid Response Meeting be held each time

-- about whether or not the Rapid Response

LADY JUSTICE THIRLWALL: That sounds rather like

LADY JUSTICE THIRLWALL: Is that rather like what

MR DE LA POER: If we go back up the page just to

Do you recall what his point of view was about

102

Let's move forward -- thank you, we can take that

down -- to the meeting on 20 November, INQ0017817.

As that's coming up, you will recall at the

previous meeting they parked the SUDiC issue to this

towards the top right-hand corner. On page 2, some

discussion about the SUDiC issue and it's headed

specifically item 4 "SUDiC within hospital".

No. It -- it just dealt with the annual

Ms Eardley is raising is the RCPCH's concern about the

16 September meeting that you didn't attend.

meeting, which is the one that follows?

(Nods)

Ms Eardley received to all of issues that she was

presumably at the time you would have recognised as 1 2 being a significant number coming from the Countess of 3 Chester? 4 Α. Yes. I don't believe I ever saw this email. 5 We are going to come to that. But in terms of Q. 6 the face of it, that seems to be what Ms Eardley is 7 asking about. 8 Α. Yes. 9 Q. If we go back up a page, we can see that 10 Ms Eardley follows up on the 17th to the same inbox asking whether there is a chance to have a look at it 11 and it also appears that she's telephoned because she 12 13 says: I have also left a message. 14 Now, that telephone number, is that just a generic inbox, mailbox? 15 16 Α. It would be the number for Ann McKenzie. 17 Q. And the following day, a response from Ms McKenzie talking about just one part of her email, 18 19 namely the annual report, saying it hasn't been signed 20 off yet, but not dealing with a number of other matters raised by Ms Eardley? 21 22 Α. No 23 Q. Now, you have just said a moment ago that you don't believe you saw this email. Should you have been 24 25 shown this email? 101 1 there is a Sudden Unexpected Death within a hospital? 2 The meeting felt that the response should be on 3 a case-by-case basis and the safeguarding doctor should 4 be involved in the discussion with a designated doctor 5 and a Rapid Response should be arranged if deemed 6 appropriate. The meeting felt this process should be 7 reflected in our procedures with clarification of best 8 practice." 9 So again, you talked about the other problems with the SUDiC process in the community but this appears to 10 be a discussion focused upon SUDiC in hospitals? 11 12 Α. Mm-hm 13 Do you have a recollection of this discussion? Q. 14 Α. I am just reading around it to see if it ... 15 Yes, because I am sure we were talking about awaiting the Kennedy report and updating the procedures. 16 17 Q. The Kennedy report, being the updated RCPCH --18 Α. Yes. 19 Q. -- guidance which came out in late 2016? 20 Α. '16, yes. 21 But to your mind was there in fact on the Q. 22 existing guidance any doubt that at the very least the 23 designated doctor should be contacted? 24 Α. No. 25 Q. There should be a proper discussion --

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Now, at the previous meeting, the fact that 104 (26) Pages 101 - 104

there had been an increase in the number of deaths on 1 2 the neonatal unit was flagged because there was 3 reference to the Royal College report. I mean, at this 4 meeting was there any discussion directly with Dr Mittal about well, what did you do during that spike? Were you 5 6 involved? Did you talk about Rapid Review? 7 Α. I still don't think even at this stage that we 8 knew that there was a -- that there was cause for 9 concern 10 We were just waiting for the report and it did -when I think back that probably was quite passive, we 11 were waiting for this report, I suppose we trusted in 12 the process that it had been, you know, obviously 13 experts in the field would be completing this review and 14 we were just waiting for that. 15 16 So no, there weren't any the conversations took 17 place after the -- after the report was received. 18 Q. Now, you have characterised that as being 19 perhaps a bit passive and you have also made the point 20 that there were no concerns flagged to you. 21 But isn't a cluster in itself something that you 22 should have been absolutely focused upon? So cluster 23 that's taken place in your area, some of the babies that you are going to look at or have looked at form part of 24 25 that cluster? Just help us to understand why it is that 105 1 towards the second half of the page, firstly, that there 2 is a concern that CDOP did not appear to be alert to the 3 cluster of neonatal deaths and for at least some there 4 should have been a Rapid Response Meeting within 5 five working days of notification, so's effectively 6 a criticism of the failure to provide the SUDiC process? 7 Α. Yes 8 Q. And that there is a recommendation directed 9 effectively to you and your panel that: "CDOP should consider whether its processes could 10 have detected cluster of deaths and initiated external 11 review more swiftly"? 12 13 Α. Yes. 14 Q. Now, a number of questions around that recommendation. Firstly, upon the evidence, it would 15 appear that some of the Executives at least within the 16 17 Countess of Chester received this report before Christmas of 2016, so in other words it wasn't published 18 for at least a couple of months after they had it? 19 20 Α. (Nods) 21 Q. From your point of view as CDOP chair, how 22 important was it that you knew about this recommendation 23 made directly to you as soon as possible? 24 Α. Hugely important. 25 Q. Because this was in fact the very thing that 107

1 you think that that wasn't something that was being 2 discussed? Α. 3 Because the action was for there to be an independent review commissioned. So we were assured 4 by that action. So -- and that that review would 5 6 identify the cause of the cluster. So that's why we 7 were waiting. 8 So let's come to that report now. We can take Q. that down. We know in early February 2017 what is 9 10 called the dissemination copy of the Royal College report was published by the Countess. For your purpose 11 you don't need to worry about the difference between the 12 13 two versions that existed. 14 Was that a report that you saw at the time that it was published or that was drawn to your attention? 15 16 I saw I think I saw it around February time. Α. 17 Q. Early 2017, that is when it was published, yes? 18 19 Α. Yes, because I remember -- I don't know if Ann 20 had sent it to me but it was a really poorly scanned copy so then I went online to try and find it myself on 21 22 the website and it was the same poorly scanned copy so 23 yes, it had been published, not long after it had been 24 published. 25 Q. INQ0001954, page 20. Now, we can see here 106 1 your panel had been discussing in September and November and this was separate and independent confirmation that 2 3 there was a problem --4 Α. Problem. 5 Q. -- with the approach that the Countess was 6 taking. 7 Did you ever follow up this particular 8 recommendation in terms of looking at your processes and 9 how it might detect a cluster and why it didn't? Yes, that was discussed at the next CDOP panel 10 Α. meeting. 11 12 So we will come to that in March. Q. And I think I had a conversation with 13 Α. 14 Fiona Harvey as well, didn't I, around that time. 15 I'm sorry, Fiona? Q. 16 Α. Is it Fiona Harvey? No, it's not. Fiona ... 17 Reynolds. 18 Q. And what was your discussion with Fiona Reynolds? 19 20 Α. Around that -- the recommendation. 21 Bearing in mind that you will have been aware Q. 22 in general terms that the review had taken place -- the 23 visit for the review had taken place in September 24 because we can see that in the minutes of the

25 16 September the first discussions taking place, did you 108

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wonder why it had taken the best part of six months for 1 2 this report to be produced into the public domain and 3 into your hands? 4 Α. No, I didn't. Do you mean upon receipt of the 5 report? 6 Q. Yes, upon receipt of the report? 7 Α. Sorry. 8 Did you think: gosh, that's taken a very long Q. 9 time, I probably ought to find out why it's taken that 10 long? Α. No. I didn't. 11 So let's move forward to the CDOP meeting on 12 Q. 13 24 March. INQ0001953. I do recall thinking -- remembering -- why 14 Α. haven't they come and spoken to us? 15 16 Q. Who do you mean by "they"? 17 Α. The Review Team because obviously they were talking about the process and talking about the role of 18 19 CDOP but no discussions had with myself. 20 Even at this stage were you unaware that Q. Ms Dodd had had a short conversation with them and 21 22 discussed CDOP? 23 Α. I don't believe I was aware of that. 24 I am not suggesting that should have been the Q. 25 totality, I think your evidence was that you should have 109 1 that's an assumption. We see the initials "GF" and at times 2 Q. "Gill Frame"? 3 4 Α. Yes. 5 Q. Now, who was she and why was she at the 6 meeting? 7 Α. So Gill Frame was the independent chair of the 8 Local Safeguarding Children Board and she had had some conversations, I believe, with the Medical Director of 9 the hospital and she was aware of this recommendation 10 11 around the CDOP processes, so she wanted to come along to this -- have this discussion around what action we 12 13 needed to take. 14 There was a suggestion that because the -- the review hadn't looked at the children's individual case 15 notes that the Child Death Overview Panel would do that, 16 but then Gill Frame had -- who was not related to me in 17 any way by the way, Gill Frame had I believe spoken to 18 the Medical Director and that was already being 19 20 completed. 21 So the discussion was around we would want to have 22 sight of that as a CDOP to provide independent scrutiny. 23 So it's a result of the report, its recommendations, any 24 action we needed to take that Gill suggested that she came to that panel. She wouldn't ordinarily be there. 25

been spoken to? 1 2 Α. Yes. 3 Q. So this is the meeting on 24 March. We can 4 see that you are present, Dr Mittal is present, as are a number of other people including, as he was, detective 5 6 chief superintendent Nigel Wenham? 7 Α. Mm-hm 8 Q. In fact I think is Detective Superintendent 9 there 10 If we go over the page to page 3, we can see that most of the page is devoted to the neonatal review and 11 was this the first time that you gained further detail 12 around what had taken place in the review and why it had 13 been commissioned, or had you had any prior 14 notification? 15 16 Α. I had had the report but this was the first 17 time there was a discussion. My copy's a bit blurry, I don't -- here. 18 19 Q. I think that that's as we hold it. so 20 I apologise --21 Α. Okay. 22 Q. -- but we will do our best with it. Do you 23 recall who made this presentation? 24 Α. It's so unfortunate that it's not minuted 25 particularly well. I am assuming it was Dr Mittal. But 110 1 Q. She made a request of you in the last 2 sentence, it would appear to: 3 "... write to Alison Kelly outlining the 4 recommendations the panel have made and confirm that the 5 panel would not have had oversight of the death and an 6 update to be added to the chair's report"? 7 Α. Mm-hm. 8 Q. Can you shed any light on that and what, if 9 anything, happened as a result? 10 Okay, this was as a result of the CDOP not Α. being notified of all of the deaths so we didn't have 11 12 that information over that period of time. 13 If that action was there for me to write to 14 Alison Kelly, I will have written to Alison Kelly. The -- I -- it would be the CDOP administrator that 15 would have copies of those letters because I -- you 16 know, I didn't even have a secure email account at 17 this -- you know, I wasn't a member of staff so I can't 18 look to see if that letter was written and sent but I am 19 20 assuming that it -- it would have been because I wouldn't have left that action outstanding. 21 22 Q. We can see over the page that there is at

- 23 item 7 further discussion about SUDiC in the context of
- 24 a hospital and an unexpected death, so again it appears
- 25 that that was also something that was under discussion 112

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separately at the meeting? 1 2 Α. Yes. 3 Q. Then finally for this meeting, if we go to page 10 --4 I mean, there is another action there on 4 for 5 Α. 6 to write a letter. I remember writing that one, I don't 7 know why I can't recall writing the other, but I am certain that I would have done. 8 9 LADY JUSTICE THIRLWALL: Is that the one at the top 10 of the page, the action? There was -- no, it was relating to something 11 Α. else, it was around child protection concerns. 12 13 MR DE LA POER: Now, at the top, this is Child I's(sic) case, as I understand it. We can see the 14 cause of death is unascertained and that there was an 15 16 inquest and further this case has been delayed coming to 17 panel as it was part of the neonatal review of COSH and the case was closed unexpected death. 18 19 Just a few points coming out of that. We know that 20 the Inquest for Child A took place on 10 October of 2016 which means that following that there was an opportunity 21 22 at least to discuss Child A's case on 20 November when 23 CDOP met and on 20 January of 2017, when CDOP met. But it's not in fact until this meeting that Child A's case 24 25 was discussed. 113 1 Q. Let's look briefly at the Form C for Child A. 2 INQ0001944. LADY JUSTICE THIRLWALL: Just while that's coming 3 4 up, Mr De La Poer, when you introduced this document you 5 said it was Child I. MR DE LA POER: Did I? 6 7 LADY JUSTICE THIRLWALL: Just for the shorthand 8 writer. 9 MR DE LA POER: No, that is entirely my error. 10 This is Child A. LADY JUSTICE THIRLWALL: Yes, you have made it 11 12 clear since. It's just for the record. MR DE LA POER: Thank you very much, yes. 13 14 So this is the Form C. Do you know when this form would have been completed? 15 So what would happen is Ms McKenzie would 16 Α. pre-populate some of this, but it would be, the Form C 17 would be completed in the meeting. But the cause of 18 death, the case summary that would have already been 19 20 completed and the list of anonymised documents will already have been -- she, she would collate everything 21 22 into a combined Form B, so the front sheet essentially. 23 So we have got the cause of death Q.

- 24 "unascertained" at the top and if we go to page 3, we
- 25 will see that panel discussion as before under "Issues

1	Do you know the reason for that?
2	A. Cases didn't come to CDOP until the Form B was
3	fully populated, so that would probably be the reason.
4	Q. Isn't the Form B populated before the inquest
5	takes place at the time of death?
6	A.
7	Q. So the inquest had taken place on
8	10 October 2016. The death was in fact in October 2015.
9	A. Yes.
10	Q . I am just wondering
11	A. Why the delay.
12	Q. Why why did it take effectively until the
13	third meeting after that inquest had been completed that
14	CDOP was discussing the case, whether you have any
15	insight into that?
16	A. I I don't know the I don't know.
17	I I would assume it would be around information
18	not being gathered from all of the organisations, from
19	all of the agencies. Often we had to send things back,
20	the information wasn't sufficiently detailed or there
21	was gaps. So it would take a long time to get something
22	ready to and perhaps there might be because obviously
23	it's the modifiable factors might be held within
24	agency records that wouldn't necessarily form part of an
25	inquest so I suppose that's why. 114
	114
1	identified", a confirmation of that verdict,
2	unascertained, and following that:
3	" and therefore the panel agreed that the case
4	could be closed."
5	Now just help us to understand the approach of the
6	panel. We have got: in the case of Child A, it's gone
7	all the way through the inquest process, the cause of
8	death is "unascertained". It was a Sudden Unexpected
9 10	Death. You know that the SUDiC process was not applied as it should have been in Child A's case because you
11	know by this stage that the Countess wasn't doing that.
12	You have had no further light shed on why Child A died
13	by the Royal College review.
14	Was it the right thing to do to close Child A's
15	case at this point or should the panel have thought
16	about whether further information should be sought and
17	if so from whom?
18	A. I suppose again the panel would be reliant
19	upon a concern being raised with them. So if the
20	Coroner had raised concerns, had the designated doctor
21	raised concerns, I mean obviously the police were
22	present in that meeting too, weren't they? I I think
23	that in cases such as this a neonatal death would be
24	assumed to be the the cause.

25 I'm assuming that's what's ticked on the next page. 116

1	Q.	Well, if we go to page 5, we can see
2	Α.	Yes.
3	Q.	"perinatal neonatal event" and let's just
4	have a lo	ok at the text of that:
5	"Dea	ath ultimately related to perinatal events."
6	And	some examples are given and what is included in
7	that defin	tion is also given. Now, the Coroner had
8	conducte	d a full inquest and had reached a narrative
9	verdict the	at said death was cause of death was
10	unascerta	ined. I mean, in reality, was that a box that
11	could be t	icked in this case because there was no
12	informatio	n to say that it did relate to perinatal
13	events?	
14	Α.	So if that was the professional judgment of
15	the panel	
16	Q.	But
17	Α.	but in terms of categorisation, I mean it's
18	stated, is	n't it, "unascertained" in 10.
19	Q.	Well, it's repeated throughout the
20	documen	tation this is an unascertained death.
21	And	so I appreciate this is a panel decision, but
22	it needs to	o be hung on something, doesn't it? There
23	needs to	be something within the information that the
24	panel can	say, "This was a perinatal event that caused
25	ماد ما ما ما	
25	this death	
25	this death	. 117
23	this death	
1	for the de	117
		117
1	for the de	117 aths?
1 2	for the de A.	117 aths? Mm-hm. Was that something that you were ever told?
1 2 3	for the de A. Q.	117 aths? Mm-hm. Was that something that you were ever told? Never, until the meeting that I attended at
1 2 3 4	for the de A. Q. A.	117 aths? Mm-hm. Was that something that you were ever told? Never, until the meeting that I attended at tal.
1 2 3 4 5	for the de A. Q. A. the hospit	117 aths? Mm-hm. Was that something that you were ever told? Never, until the meeting that I attended at
1 2 3 4 5 6	for the de A. Q. A. the hospit Q.	117 aths? Mm-hm. Was that something that you were ever told? Never, until the meeting that I attended at tal. Which we will come to in a moment, 27 April. (Nods)
1 2 3 4 5 6 7	for the de A. Q. A. the hospit Q. A.	117 aths? Mm-hm. Was that something that you were ever told? Never, until the meeting that I attended at tal. Which we will come to in a moment, 27 April.
1 2 3 4 5 6 7 8	for the de A. Q. A. the hospit Q. A. Q.	117 aths? Mm-hm. Was that something that you were ever told? Never, until the meeting that I attended at al. Which we will come to in a moment, 27 April. (Nods) Should you have been told that?
1 2 3 4 5 6 7 8 9	for the de A. Q. A. the hospit Q. A. Q. A.	117 aths? Mm-hm. Was that something that you were ever told? Never, until the meeting that I attended at tal. Which we will come to in a moment, 27 April. (Nods) Should you have been told that? Yes.
1 2 3 4 5 6 7 8 9 10	for the de A. Q. A. the hospit Q. A. Q. A. Q. A. Q.	117 aths? Mm-hm. Was that something that you were ever told? Never, until the meeting that I attended at tal. Which we will come to in a moment, 27 April. (Nods) Should you have been told that? Yes. Why do you say you should have been told that?
1 2 3 4 5 6 7 8 9 10	for the de A. Q. A. the hospit Q. A. Q. A. Q. A. Q. A. Should ha	117 aths? Mm-hm. Was that something that you were ever told? Never, until the meeting that I attended at al. Which we will come to in a moment, 27 April. (Nods) Should you have been told that? Yes. Why do you say you should have been told that? The Child Death Overview Panel needed
1 2 3 4 5 6 7 8 9 10 11 12	for the de A. Q. A. the hospit Q. A. Q. A. Q. A. Should has should has	117 aths? Mm-hm. Was that something that you were ever told? Never, until the meeting that I attended at tal. Which we will come to in a moment, 27 April. (Nods) Should you have been told that? Yes. Why do you say you should have been told that? The Child Death Overview Panel needed twe been notified of all of the deaths and
1 2 3 4 5 6 7 8 9 10 11 12 13	for the de A. Q. A. the hospit Q. A. Q. A. Q. A. Should ha should ha the police	117 aths? Mm-hm. Was that something that you were ever told? Never, until the meeting that I attended at tal. Which we will come to in a moment, 27 April. (Nods) Should you have been told that? Yes. Why do you say you should have been told that? The Child Death Overview Panel needed we been notified of all of the deaths and we been notified of the concerns. Obviously
1 2 3 4 5 6 7 8 9 10 11 12 13 14	for the de A. Q. A. the hospit Q. A. Q. A. Q. A. Should has should has the police How	117 aths? Mm-hm. Was that something that you were ever told? Never, until the meeting that I attended at tal. Which we will come to in a moment, 27 April. (Nods) Should you have been told that? Yes. Why do you say you should have been told that? The Child Death Overview Panel needed ve been notified of the concerns. Obviously sit on the Child Death Overview Panel.
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15	for the de A. Q. A. the hospit Q. A. Q. A. Q. A. should ha should ha the police How been info	117 aths? Mm-hm. Was that something that you were ever told? Never, until the meeting that I attended at tal. Which we will come to in a moment, 27 April. (Nods) Should you have been told that? Yes. Why do you say you should have been told that? The Child Death Overview Panel needed ve been notified of all of the deaths and ve been notified of the concerns. Obviously sit on the Child Death Overview Panel. ever, there were other people that should have
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	for the de A. Q. A. the hospit Q. A. Q. A. Q. A. should ha should ha the police How been infor	117 aths? Mm-hm. Was that something that you were ever told? Never, until the meeting that I attended at tal. Which we will come to in a moment, 27 April. (Nods) Should you have been told that? Yes. Why do you say you should have been told that? The Child Death Overview Panel needed ve been notified of all of the deaths and ve been notified of the concerns. Obviously sit on the Child Death Overview Panel. ever, there were other people that should have
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1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	for the de A. Q. A. the hospit Q. A. Q. A. Q. A. Should has should has the police How been info those com police at t informed,	117 aths? Mm-hm. Was that something that you were ever told? Never, until the meeting that I attended at tal. Which we will come to in a moment, 27 April. (Nods) Should you have been told that? Yes. Why do you say you should have been told that? The Child Death Overview Panel needed ve been notified of the concerns. Obviously sit on the Child Death Overview Panel. vever, there were other people that should have med before me, so that, you know, if there was cerns they should have been reported to the he time, the local authority should have been
1 2 3 4 5 6 7 8 9 10 11 2 13 14 15 16 17 18 19	for the de A. Q. A. the hospit Q. A. Q. A. Q. A. Should ha should ha should ha the police How been info those com police at t informed, Officer wo	117 aths? Mm-hm. Was that something that you were ever told? Mever, until the meeting that I attended at tal. Which we will come to in a moment, 27 April. (Nods) Should you have been told that? Yes. Why do you say you should have been told that? The Child Death Overview Panel needed ve been notified of all of the deaths and ve been notified of the concerns. Obviously sit on the Child Death Overview Panel. ever, there were other people that should have med before me, so that, you know, if there was cerns they should have been reported to the he time, the local authority should have been so that their Local Authority Designated
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- 24 My -- I would have wanted to know because of my
- 25 role in terms of chairing the Child Death Overview

And having had your chance to look at it all now 1 2 and focus upon it, can you point to any perinatal event that applied in Child A's case? 3 Can we go back to the first, the front sheet, 4 Α. 5 please? 6 Q. Yes, page 1. 7 Α. The panel was very reliant on the designated doctors that were part of that panel to interpret 8 medical information to us. 9 10 But, the cause of death was unascertained, so that should have transferred through to the final box on 11 the -- the form. 12 13 Now, we've got one more matter to discuss Q. briefly but before we come to it, I would just like to 14 15 pause now. 16 As part of this meeting where Child A's death 17 was -- case was closed and where the Countess was discussed, we saw on that page that Ian Harvey was to be 18 19 invited to the next --20 Α. Yes. 21 Q. -- CDOP meeting, which was due to take place 22 in June. If I can just provide you with a bit more 23 information, some of which you may already know. 24 We know that the Consultants raised in June of 2016 25 their concern that a member of staff may be responsible 118 1 Panel. 2 And whose responsibility was it, was there any Q. 3

- particular person with any particular role who had a responsibility to tell you? Α. To tell me? 6 Q. To tell the panel, yes, about these concerns? Α. I don't know how much the designated doctor was aware because obviously he was a panel member. Well, if I just pause you there. We will hear Q. 10 from Dr Mittal on Wednesday. But do I infer correctly from your answer that what 11 you are saying is that the designated doctor is the 12 13 conduit that you would expect such information to be 14 passed to the CDOP? 15 Α. Yes. Q. Well, we will hear from Dr Mittal about what 16 17 he did and didn't know. However, that doesn't take away the need to 18 Α. escalate if you are feeling that your concerns aren't 19
- 20 being heard or are being blocked.

4 5

7

8

9

- 21 You know any, every -- Working Together to
- 22 Safeguard Children is really clear in terms of
- 23 safeguarding being everybody's business and those
- 24 concerns could have been reported to the police and the
- local authority by anybody working within that hospital. 25

Q. We are going to come now just to the final 1 2 meeting that I am going to ask you about. You tell us 3 in your witness statement that you had a telephone 4 conversation with Mr Harvey on 20 April? 5 Yes. Α. 6 Q. And I will just remind you of what you say: 7 "Mr Harvey stated that he was keen to learn lessons 8 and wanted to be transparent in terms of the neonatal 9 deaths within the hospital, hence contacting me in my 10 role as CDOP chair. I believe we had a conversation about the modified Rapid Response for unexpected deaths 11 in the hospital going forward. I recall Mr Harvey 12 talked about the RCPCH report and they were assured that 13 there was nothing to be concerned about but going 14 forward the hospital would escalate any concerns to the 15 16 CDOP in a timely way." 17 Α. Yes, because they hadn't. Because they hadn't. 18 Q. 19 Now, in that meeting, did he tell you what concerns 20 hadn't been escalated in a timely way? 21 Α. No. It was a very brief phone call. 22 Q. But did he give you to understand that there 23 were some concerns that he wanted to tell you about? 24 Α. It -- no. It's as a result of the 25 recommendation in the report about the hospital not 121 1 health, police, local authority. I may well be the 2 independent chair but I am a social worker. 3 So that would seem, if we are going to be talking 4 about any concerns in relation to deaths, we would have 5 the three key agencies there. 6 So that's why I asked if Nigel would come with me. 7 Q. As you know, we have Detective Superintendent 8 Wenham's notes of that meeting. Have you had a chance 9 to look over those? 10 Α. Yes. 11 Q. And do they accord with your recollection of 12 what was said? 13 Α. I don't remember any reference to angel of 14 death and I think, I think I would. It may be that that happened at the end of the meeting and I wasn't ... 15 16 I don't know. But I don't, I don't recall that. 17 But other than that detail, do those notes Q. represent your recollection? 18 It's far more detail than I recalled because 19 Α. I suppose the meeting very much was reassuring that, you 20 know, there had been this thorough investigation and 21 22 review they had looked at the case notes as well now; 23 nothing of concern. 24 And then it shifted when there was this -- it was stated that there was -- they have looked at rotas, 25

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escalating. The conversation that I had had with 1 2 Fiona Reynolds, which again was around the hospital not 3 escalating the concerns, and the discussion that had 4 taken place in the Child Death Overview Panel. 5 So it was on the back -- it was, that's what he was 6 referring to; that they hadn't raised any concerns with 7 the CDOP around the cluster of deaths in a timely way and that they would do so going forward. 8 Q. 9 Were you then asked to attend a meeting on 10 27 April? 11 Α. Yes. And were you asked if you wished to bring 12 Q. anybody else from the panel with you? 13 14 Yes, because it was just a meeting that I was Α. invited to initially. 15 16 Q. And did you decide to bring Detective 17 Superintendent Wenham? Α. 18 Yes. 19 Q. And, briefly, why did you choose a police 20 officer? 21 Firstly, as a member of the Child Death Α. 22 Overview Panel because the question was whether I wanted 23 to bring anyone else from the Child Death Overview Panel and I suppose given that it was in respect of child 24 25 deaths, from my point of view the three key agencies are 122 1 staff rotas and that there was one member of staff who 2 was on shift during each collapse and it was a note --3 and then of course you're thinking: What, what are we 4 being told here? This, this is gravely concerning. 5 So all of Nigel's notes, which obviously I am 6 assuming were contemporaneous notes that he was taking, 7 around everything before that I don't remember that. 8 What I remember vividly is that conversation and then it being clear that the reviews that had taken place so far 9 10 hadn't ruled out anything untoward. 11 What you tell us in your witness statement is Q. that Dr Jayaram -- well, you tell us. What was 12 Dr Jayaram's position in that meeting about whether 13 14 further investigations were required? 15 Yes, he felt that they, they did. Α. 16 Q. And what was agreed between you and Detective 17 Superintendent Wenham about what would happen next by the end of the meeting? 18 It was agreed that -- so Nigel spoke about how 19 Α. 20 this was very much a matter for his officers, that they needed to secure case files and then I think he was --21 22 he had another meeting some time after that and that 23 obviously started the investigation. 24 But I was clear coming out of that meeting that 25 there was something very worrying and Nigel had the same

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1	view and the fact that this was the first time that we
2	knew this.
3	Q. Were you given any explanation as to why you
4	had not been told until that point?
5	A. Not that I recall.
6	MR DE LA POER: Ms Frame, thank you for answering
7	my questions. My Lady, those are all that I have for
8	this witness.
9	LADY JUSTICE THIRLWALL: There are no more from
10	anyone else?
11	MR DE LA POER: No.
12	Questions by LADY JUSTICE THIRLWALL
13 14	LADY JUSTICE THIRLWALL: No, and I have no
14	questions no, there was one thing actually, sorry, and it's really an administrative point.
16	You have said a number of times that you were
17	an independent chair, so self-employed and you had no
18	access to the systems that everybody else was using.
19	A. No.
20	LADY JUSTICE THIRLWALL: So how were you given
21	information?
22	A. So I think the it was like a secure portal.
23	I'm sure it was called Cryptshare. So the papers for
24	the meeting would be sent to me, I could then read them,
25	but then they so I wouldn't be able to access them
	125
1	something.
2	LADY JUSTICE THIRLWALL: And so in terms of
2 3	LADY JUSTICE THIRLWALL: And so in terms of A. That was a challenge.
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1	now beca	use it would time out in terms of the secure
2	link to it.	So that's how I received papers.
3	Ofte	n I would travel to Sandbach and meet with Ann
4	and we we	ould go through things face to face. But, yes,
5	that was a	an ongoing challenge because I wasn't
6	an employ	/ee, I didn't have a secure email address.
7	LAD	Y JUSTICE THIRLWALL: That's what I was about to
8	ask you.	Could you receive emails?
9	А.	As long as it didn't contain any identifying
10	informatio	n, then everything else would go via the
11	Cryptshar	e. So this is why I have sort of struggled to
12		nd see did I send that letter, because it would
13	0	a system in Chester's local authority.
14		Y JUSTICE THIRLWALL: Yes, I understand that
15	А.	Yes.
16	LAD	Y JUSTICE THIRLWALL: from the perspective of
17		ut together a statement. But in terms of
18		role, was that a disadvantage, not having, you
19	know	
20	A.	Yes.
21		Y JUSTICE THIRLWALL: the usual communication
22	channels?	
23	A.	Yes, I think so and not being able to look at
-• 24		ppose. You know, not being able to it
25		pre at my fingertips, I would have to request
		126
1		MS PAULA SINDALL (affirmed)
2		Questions by MS BROWN
3	LAD	Y JUSTICE THIRLWALL: Do sit down.
4	А.	Thank you.
5	MS	BROWN: Could you please give your full name?
6		It's Paula Margaret Sindall.
7	Q.	I think at the time of the events we are
8		, your name was Paula Lewis?
9	A.	That's correct.
10	Q.	You have provided a statement to the Inquiry
11		May 2024, is that true to the best of your
12		e and belief?
13	A.	Yes, it is.
14	Q.	Turning to your qualifications, you qualified
15		stered General Nurse in 1985 and worked on as
16	-	n medical and surgical wards and on intensive
17		at correct?
18	Care, is th A .	That's correct.
19	Q.	Did you ever work on a neonatal unit?
19 20	Q. A.	No.
20 21	А. Q.	You qualified as a health visitor in 1992?
21 22	Q. A.	Yes.
22	~.	Tes.

- 23 Q. And then worked in the community as a health24 visitor and that I believe involved working with
- 25 families where there were some safeguarding concerns? 128

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1	A. Yes.	
2	Q. In terms of your employment with the Countess	
3	of Chester Hospital, in 2009 you started working at the	
4	Countess as a full time Band 6 nursing post, I think	
5	later promoted to Band 7.	
6	' That role was to support Karen Milne, who was the	
7	named lead for safeguarding children and domestic abuse?	
8	A. That's correct.	
9	Q. If we could just very briefly look at the	
10	safeguarding team at the Countess of Chester at that	
11	time. Alison Kelly the Director of Nursing, she was the	
12	Executive lead for safeguarding children?	
13	A. Yes.	
14	Q. What was the extent of the contact you had	
15	with Alison Kelly in relation to safeguarding issues?	
16	A. She was always the Chair at the Safeguarding	
17	Strategy Board meetings, so I knew her then, but apart	
18	from that my direct management was responsibility, my	
19	manager was Karen Milne.	
20	Q. Yes, so you would if you had an issue it	
21	was Karen Milne you would be speaking to; you wouldn't	
22	be discussing day-to-day safeguarding issues with	
23	Alison Kelly?	
24	A. No.	
25	Q. Do you yourself ever refer an individual case	
	129	
1	Q. I think he was the doctor on the Child Death	
2	Overview Panel and also had responsibility for the	
2 3	Overview Panel and also had responsibility for the Sudden Unexpected Death in Childhood process?	
2 3 4	Overview Panel and also had responsibility for the Sudden Unexpected Death in Childhood process? A. Yes, he did.	
2 3 4 5	Overview Panel and also had responsibility for the Sudden Unexpected Death in Childhood process? A. Yes, he did. Q. Then Dr Isaac had responsibility she was	
2 3 4 5 6	Overview Panel and also had responsibility for the Sudden Unexpected Death in Childhood process? A. Yes, he did. Q. Then Dr Isaac had responsibility she was the named doctor for safeguarding children and had	
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to Alison Kelly? 2 Α. I'm sorry? Do you yourself ever referring an individual Q. case to Alison Kelly? The only time I may have referred something Α. 6 directly or informed Alison Kelly indirectly about something was in Karen Milne's absence. If she was not available and there was what I considered to be 8 an important issue occurring in the hospital, I would 9 10 email Alison Kelly to inform her. 11 But there's -- just to be clear, there is no Q. issue related to what we are looking at in this Inquiry 12 where you contacted Alison Kelly about? 13 14 Α. No, none. 15 Q. In terms then, so Alison Kelly as the 16 Executive lead, then Karen Milne you have spoken about, 17 who was the designated nurse? She was the named nurse and named 18 Α. 19 professional. 20 Q. She was your immediate boss? 21 Α. She was my immediate manager. 22 Q. In terms of the paediatricians there was 23 Dr Mittal who was the designated doctor for safeguarding? 24 25 Α. Correct. 130 that she had those additional skills and knowledge. O. That was Vivien Beswick? Α. That's correct. Q. Then in terms of other individuals with some 5 involvement, there would have been -- we don't need to 6 go through the names but people who had some involvement in domestic violence, children in care, adult safeguarding, those would be the other sort of people other roles in safeguarding that would be attached to 10 your unit. 11 Α. In safeguarding but the adult safeguarding was separate to the children's safeguarding team. 12 So in terms of the children's safeguarding 13 Q. 14 team, we have got the two paediatricians, Dr Mittal, Dr Isaac, yourself and Karen Milne and Alison Kelly as 15 Executive lead, so a relatively small team? 16 17 Α. Yes. I think so. 18 Did you consider that that was a sufficient Q. sized team to manage the caseload that you had to 19 20 manage?

- No, it would be my opinion really that it Α.
- 22 wasn't. I think we were an unusual set-up within the
- 23 Countess in that Karen Milne had a dual role as both the
- 24 named midwife for safeguarding and the named
- professional for safeguarding. In other Trusts they had 25 132

two distinct roles with the named midwife and the named 1 2 professional but Karen carried out responsibilities of 3 both of those roles on four days a week and I thought 4 that that was -- that put a lot of pressure on her and 5 on the team really in terms of resource. 6 Q. So what did you consider was in fact required 7 in terms of staffing levels within the safeguarding 8 team? 9 Α. Well, I left my role in the safeguarding team 10 in 2022 and the size of the team at the point that I left was greatly increased from how it was in 2015 and 11 has continued to increase since. 12 13 So just talking about when you left, "greatly Q. 14 increased", what do you mean by that, doubled? 15 So three times the number of hours in the Α. 16 safeguarding children team as it stands now compared to 17 how it was in 2015. 18 Q. Given the size of the team when you were 19 there, what was the extent of the discussions between 20 the four of you, did that mean there were a lot of 21 discussions, were you a close team? 22 Α. Well, I shared an office with Karen, we 23 were -- Karen was responsible for my supervision and we had day-to-day informal supervision, if you like, if --24 25 if I had any queries, we worked very closely together. 133 1 people throughout the Trust as well as nursing staff, 2 occasionally I would have a query from one of the 3 paediatricians. The community paediatricians would more 4 frequently make contact to discuss concerns. 5 But it was mainly the nursing staff and the other 6 professionals apart from the medical staff. 7 Q. Was that because doctors would tend to go to Dr Isaac; is that your understanding? 8 9 I don't know but, but that would be my Α. 10 assumption. 11 O. In practice, would that be nurses coming to your office or would you be going to the ward when they 12 raised a query? How would it work in practice? 13 14 Α. Well, we had a formal referral process. But we also -- so we would pick up daily referrals, we also 15 had a telephone, a designated telephone with an answer 16 17 phone facility, so 3 am messages were not unheard of. We also carried a bleep as well. But we were actually 18 only on site in office hours. 19 20 Q. You say as well in your statement you had an open-door policy and that you and Karen Milne strove to 21 22 maintain a very visible presence across the hospital. 23 In practice, how were you doing that, how were you 24 maintaining a visible presence? 25 It was -- well, I used to call and pick up the Α. 135

The paediatricians, the named and the designated 1 2 nurse -- doctors weren't -- weren't in the same office as us, they were situated with the paediatricians. 3 4 But they were accessible, we could walk to their office and discuss any issues but we tended to 5 6 communicate with them mainly by email. How often would you meet as a group or would 7 Q. you meet as a group, the four of you? 8 9 Really the only time I think that we met as Α. 10 a group was at the Safeguarding Strategy Board meeting. Did that cause a problem in terms of you being 11 Q. kept up to speed with safeguarding issues, looking back 12 13 now? 14 A. I don't think so. I don't know what I don't 15 know. So I am fairly sure that -- you know, that 16 Dr Isaac and Dr Mittal would -- you know, they were 17 mainly responsible, I think, for supporting the paediatricians and I wasn't party to how that took 18 19 place. 20 And in terms of your -- now looking at your Q. 21 day-to-day role, you refer in your statement to 22 responding to enquiries and concerns raised by staff, 23 would that be safeguarding concerns raised by either 24 doctors or nurses? 25 Α. Mainly nurses. Other therapists, although 134 1 referrals from A&E every morning, we tried to call into 2 the children's unit every morning as well. 3 Q. Just stopping there for a moment, obviously we 4 are very interested in the neonatal ward. How often 5 would you visit the neonatal ward? 6 Α. We -- we visited -- I didn't -- we didn't 7 visit the neonatal unit unless somebody raised a concern 8 but we were geographically situated very near to both the children's unit and the neonatal unit. 9 10 I can't remember but I think in 2015 we were 11 actually on the corridor between the two, between the 12 children's unit and the neonatal unit. We moved 13 upstairs but we were still in the women and children's 14 building. 15 So whereas you would go to the paediatric ward Q. on a regular basis, on a daily basis --16 17 Α. Yes. Q. -- the neonatal was as and when you were 18 requested to go there? 19 20 Α. Yes. 21 Q. Approximately how often would that be, do you 22 think? Once a month, once a week? 23 Α. Probably at least once a week. And we tended 24 to attend meetings regularly on the neonatal unit in relation to babies that were being discharged home where 25

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(34) Pages 133 - 136

family and to make sure the baby was being safely 1 2 discharged into their care. 3 You say in your statement that you would visit Q. the A&E and the children's unit in response to 4 safeguarding concerns which is obviously a reactive--5 6 when you were called --7 Α. Yes 8 -- you went, but you are saying that you would Q. go as a matter of course every day, would you, in any 9 10 event or would you just go when there was a requirement? 11 To the neonatal unit? Α. 12 No, to the A&E and the children's ward? Q. 13 In 2015 the referral process to our team from Α. A&E was a paper-based process, so we had to go into 14 the -- to pick up those referrals. It's not now, it's 15 16 electronic, but 2015 it wasn't. 17 Q. What about poster campaigns, other ways in which you would raise the profile of safeguarding, were 18 19 any of those means employed? 20 Every clinical area was required to have Α. a Safeguarding Board and the neonatal unit had one, the 21 22 paediatric unit had one, A&E, and all the adult wards as 23 well on which was detailed what to do if you feel a child's being abused. The flowchart -- I don't know 24 25 if you have seen that, and the policy --138 1 families, so neonatal staff would be Group 3? 2 Α. Group 3, yes. 3 Q. In terms of the training, you say that 4 Karen Milne, she would do some of the training as well; 5 is that right? 6 Α. Well, Karen Milne designed the training and 7 the content of the training but she wouldn't have had 8 the capacity. We used to deliver training separately to the midwives and midwifery and Karen would deliver that 9 training and then the rest of the -- the Group 3 staff 10 across the Trust, I would deliver that. We called that 11 the generic safeguarding children training. 12 13 Q. You say it was Karen Milne who was responsible 14 for the content of the training, what input did you have 15 as to deciding the content of the training? 16 Α. Well, when -- Karen updated the training 17 annually and -- and I always understood the rationale between what was included in that training and could 18 make suggestions if I -- if I was -- if I wanted to, if 19 20 I felt there was an issue that I had become aware of 21 that needed to be included, but it was Karen that 22 determined the content. 23 Q. But I think it was Karen Milne, your boss, and 24 she gave the safeguarding training to the senior Executives, to Ian Harvey and Tony Chambers. Why was 25 140

there were some level of safeguarding concern. Q. The sort of safeguarding concern that you were coming across most frequently in terms of the neonatal ward, what would that have been? Α. Sometimes it was about babies that we knew there were safeguarding concerns antenatally and those babies were now born and on the neonatal unit so we would be helping the staff to manage the safeguarding aspects and the safe discharge from the neonatal unit. But sometimes it would be that there were babies that weren't known to our team but a concern had arisen on the neonatal unit, for example there may have been some interactions between parents that had caused concern or some aggressive behaviour or something like that and the neonatal unit would seek support from us in -- in dealing with that from a safeguarding perspective. Q. So broadly the safeguarding concerns were ones that were concerns about parenting of the child when discharged to that home environment? If the -- sometimes we had babies on there who Α. weren't going to be going home with parents and occasionally we used the neonatal unit as a safe place for this child to remain until the local authority could obtain the necessarily legal orders for discharge but -but otherwise it was mainly about concerns within the 137 Q. Yes, we are aware of that. That was on there, we would put regular Α. updates on there, contact numbers. We also sent out communications electronically about new initiatives within safeguarding that we felt the staff needed to be aware of. Q. In terms of those notice boards was there ever any notices that you put up that dealt in particular with concerns that individual may have about a member of staff, healthcare staff, working with children? Α. Allegations? Yes. Q. Not that I -- not that I recall. Α. Q. Training, if we can turn to that. One of your roles was delivering face-to-face safeguarding training from staff. It appears from your statement that that was in fact a major part of your role; is that fair? Yes. There's a mandatory requirement for all Α. staff across the hospital to have a level of safeguarding children training. So our Group 3 staff had face-to-face training --Q. Just --

23 A. -- in addition.

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24 **Q.** So we understand that Group 3 staff, that

25 those are charged with regular contact with children and 139

she delivering that training, not you? 1 2 Α. It would have just been that she had the 3 capacity to do so and that was the best use of the 4 resources at that particular time. 5 But the training would have been in a sense Q. 6 standardised training, the standard safeguarding 7 training, would it? 8 A. I -- I am not -- I have seen only from the 9 package what was in the training that went to the 10 Executives and I think that was -- I think the Executives have a Level 1 training, it wasn't a Group 3 11 training. 12 13 Q. In terms of Dr Isaac and the training, we have 14 seen some PowerPoints that you, it appears, delivered some of the training with Dr Isaac. She was -- was she 15 16 generally providing the training to doctors and you 17 generally to nurses, was there that divide or --18 Usually, yes. Some of the paediatricians did Α. 19 attend the generic training events that I put on as 20 well, but mainly I think it was Dr Isaac that delivered to the paediatricians. 21 22 Q. From looking at the PowerPoints in broad 23 terms, it appears that the training given to doctors and the training given to nurses, say for example doctors 24 25 may be referred to the GMC, for example, but broadly the 141 1 Α. For Group 3 staff, yes. 2 In terms of training to people who were not Q. 3 healthcare professionals, so for example Non-Executive 4 Directors or volunteers, were you involved in training 5 in terms of safeguarding any of those individuals or 6 were you just focused on healthcare professionals? 7 Α. Just focused on healthcare professionals. 8 Q. Just so we can have an overview of the training that was compulsory at this time, you address 9 this in your statement and you say Group 3 staff, so 10 that would be the staff working on the neonatal unit, 11 whether nurses or doctors, they had to have Level 3 12 13 annual face-to-face training? 14 They had to have Level 3 but in addition they Α. had to do the e-learning Group 2 because there is 15 a requirement within the intercollegiate document for 16 17 them to have so many hours of training so they completed the Group 2 e-learning and in addition annually Group 3. 18 19 Q. The e-learning, that was once every three 20 years, that was a more --21 That was once every three years. Α. 22 Q. In terms of the frequency that you were 23 putting on this training, how often were you putting on 24 the training, how far often was there an opportunity to 25 go --

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training was the same for Group 3; was that your 1 2 understanding? 3 I wasn't always aware of the content of the Α. training that Dr Isaac was delivering, it wasn't --4 I think we had delivered joint training a couple of 5 6 times only but in between, I -- I wasn't aware of what 7 training. 8 When you did deliver joint training, was the Q. 9 training that she was giving consistent with the 10 training that you were giving broadly to nurses? 11 It was definitely in my opinion more focused Α. towards paediatricians and their responsibilities 12 towards non-accidental injuries. The training that 13 I delivered to staff didn't just feature -- didn't 14 feature that as heavily and can I just say the -- the 15 16 training that I delivered had to be delivered to a broad 17 group of people who would be attending and so it would be that there might be bits in the training that were 18 19 relevant to some staff, yet other staff would need more 20 in certain areas and so, you know, I would always have 21 to signpost staff to where they could get additional 22 training as per their clinical role. 23 Q. But the Group 3 staff, so those involved with 24 children on a day-to-day basis, they would be given 25 specific training for their -- for Group 3 staff? 142 1 Α. Monthly. 2 O. Was that because of the turnover of staff? 3 Α. The turnover of staff and the fact that 4 obviously staff work weekends, nights and what have you. 5 So they had to have an opportunity for it to fit in with 6 their -- their working patterns, really. 7 Q. In terms of compliance, so the fact that staff 8 had to do this training, you say that in terms -- in 2015/2016, the Group 3 staff, compliance targets were 9 80%. Now, 80% doing the training of course means 20% 10 were not. So one in five were not. Did you consider 11 80% was an appropriate target? 12 At the time, I -- I didn't question it and it 13 Α. 14 was subsequently raised by the CCG. It was a target set 15 by the CCG for us to achieve. 16 Q. You say you didn't question it at the time. Looking back now, do you think 80% was adequate for --17 this is training for staff who are working on a regular 18 basis with children? 19 20 Α. I think it had to take into consideration people who were on maternity leave, people who were on 21 22 long-term sick leave, turnover of staff, I thought at 23 the time 80% was -- was reasonable. 24 Q. In terms of compliance, that was managed by staff managers, so on a -- for nurses that would be the 25 144

ward manager, would it? 1 2 Α. Yes. And I think the experience would be when 3 they had their annual appraisal that there's a part of 4 the appraisal would consider whether or not staff were 5 compliant with their mandatory training requirements 6 which would include safeguarding children. 7 Q. But that compliance, who had trained, who 8 hadn't trained and what consequences there were of not 9 training, that wasn't something that you got involved 10 in? 11 No. We sent the figures to the HR training Α. department who then shared the figures with the managers 12 13 in the clinical areas. 14 So you recorded in effect who attended Q. 15 training and then you sent on those figures for someone 16 else to deal with? 17 Α. Yes. O. You talk as well about a bi-monthly review of 18 19 safeguarding issues and training that was done, you 20 refer to a paediatric peer review by Dr Isaac. That was every two months, was it? 21 |--22 Α. 23 Q. About? 24 Α. I recollect that it was every month -- every 25 other month but I can't be 100% sure on that. 145 1 required medical input, we would be involving the named 2 doctor for safeguarding anyway as a matter of course. 3 Q. The fact that you say you weren't able to 4 attend those meetings because of caseload, is that 5 an indication perhaps that the team was overstretched? 6 Α. In my opinion, yes. 7 Q. Just looking at external training, you 8 represented the hospital at the Local Safeguarding 9 Children Board Training and Development sub group. 10 What was the purpose of that group? 11 Α. The purpose of that group was to pick up sort of lessons to look at multi-agency training that was 12 available, to consider lessons and whether the lessons 13 14 from events that had happened should be included in training and what kind of training that -- that would 15 16 take. 17 Did that -- you say multi-agency, did that Q. training look at issues such as the requisite level of 18 concern needed before going to the police, would that be 19 20 something that would be covered in that training? 21 I -- no, I wasn't aware of that. I never came Α. 22 across that training. 23 What about training about in what Q. 24 circumstances the Local Authority Designated Officer, the LADO, should be informed about a member of staff, 25

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1 Q. That training, that was training where they 2 would consider cases that had been dealt with the hospital and the learning obtained from those cases; is 3 4 that correct? That was my understanding. I attended I think 5 Α. 6 two of those peer review because we were invited but our 7 caseload was -- so our workload was so heavy at times that I couldn't often attend. 8 9 Q. Because if those bi-monthly meetings were 10 looking at the learning from the cases and your work pressures meant that you could only do so infrequently, 11 was that a concern, does it mean that you weren't in 12 fact involved in the learning from cases that came in? 13 14 A lot of the learning for the peer review Α. 15 sessions that I attended was around how the medical 16 staff had managed the cases in terms of investigations, 17 looking at X-rays. It seemed to be very medically 18 orientated. 19 Q. But in terms of if you were the port of first 20 call some of the safeguarding would it not have been 21 important that you as the safeguarding team were on top of the current issues that were coming through the 22 23 hospital? 24 Α. I think if -- if we ever had a child that was 25 attending where there were safeguarding concerns that 146 1 concerns about a member of staff; would that be covered in that training? 2 3 Α. No, I already -- that was in Working Together. 4 So we were already aware of what the requirements around 5 referral to LADO was and it was in our Safeguarding 6 Children policy. 7 Q. In terms of the Group 3 staff, and you have 8 spoken about the fact they needed to do the two and a half to three hours face-to-face training and 9 e-learning, would they also attend -- I think they could 10 attend the LSCB training. Was that something that 11 regularly in fact occurred, that staff would incur this 12 additional training? 13 14 Yes, yes we had staff that maybe had dealt Α. 15 with a case around, for example, domestic abuse and the impact on children and the LSCB hosted additional 16 17 training on that. There was additional -- the LSCB had a very good -- we had a link on our intranet to the LSCB 18 training that our staff could access, so there was very 19 20 good training on female genital mutilation, lots of 21 additional skills that our staff might have felt 22 relevant to them in their role. 23 Q. So that additional training was available but 24 it wasn't monitored who was doing it; is that correct?

- 25 A. That's correct.
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In terms of your liaison role, you talk about 1 Q. 2 liaising with other agencies and you speak particularly 3 about -- that that involved liaising with the police. 4 What was your understanding about the level of concern you needed before the police could be contacted 5 6 in a situation where you were concerned about potential 7 harm to a child? 8 Α. Well, I was aware of what was in the 9 safeguarding policy at that time and in Working Together 10 around if there was a concern about a child. a deliberate harm to a child that that would necessitate 11 a referral to the LADO and that would then involve 12 a strategy discussion about whether the police should be 13 involved at that point that you didn't need to wait for 14 the outcome of an investigation. The LADO wouldn't do 15 16 an investigation anyway, she would oversee a process but 17 you shouldn't wait for the outcome of an investigation 18 before you make that contact. 19 So to paraphrase, tell me if I am wrong, your Q. 20 understanding was where you had a concern you referred 21 that and you didn't need to wait for an outcome, you 22 didn't need evidence; it was a concern that triggered 23 it? 24 You didn't need evidence. That wouldn't be Α. 25 something that I would do. I mean if -- if that had 149 1 so there would definitely would have had to have been some discussion with the Executive lead for 2 3 safeguarding. 4 Q. If we can just look at some areas of policy 5 then. You have referred a few times to Working Together 6 so if we could just turn to INQ0014575 at page 54. So 7 this is --8 Α. Sorry, my screen --9 Q. It will come up. 10 LADY JUSTICE THIRLWALL: It just takes a few seconds. 11 12 MS BROWN: It just takes a little moment. What you are going to see coming up in a moment is 13 14 an extract from Working Together that sets out the requirements the obligations placed on the NHS under 15 section 11 of the Children Act 2004. 16 17 Α. Yes. 18 Q. The third bullet point down, the third black dot says there clear policies, so the obligation is to 19 20 provide clear policies in line with those from the LSCB for dealing with allegations against people who work 21 22 with children. 23 Then it goes on to say what an allegation is: 24 "An allegation may relate to a person who works with children who has behaved in a way that has harmed 25 151

1 come to me in my role as a Band 7, I would have 2 escalated it to Karen Milne and would have expected an escalation then to the Executive lead for safeguarding 3 4 and a strategy discussion fairly quickly and referral to possibly the police but definitely to the LADO would be 5 6 a part of that strategy discussion. 7 Q. So if someone had come to you and said "well, I have got a concern but I have no evidence", you would 8 have put them right that that was not the safeguarding 9 10 approach? 11 Α. Yes. 12 Q. In terms of contact with the LADO, you say 13 that you had contact with the LADO about safeguarding concerns from other agencies but you never made 14 a referral to the LADO yourself. We know in the case 15 16 concerning Letby that it was eventually Alison Kelly who 17 referred Letby to the LADO, but that didn't occur until 27 March 2018. 18 19 Did vou consider that referral of a member of staff 20 to the LADO was something that had to be dealt with at an Executive level or was that something that you -- you 21 22 could have done? Or indeed anyone could have done? 23 Α. I think that if -- I think it would have to be 24 done with the -- with knowledge of the Executive lead 25 for safeguarding, it's quite a serious thing to do and 150 1 a child or may have harmed a child, possibly committed a criminal offence or related to a child or behaved 2 3 towards a child or children in a way that indicates they 4 may pose a risk." 5 So that I think is what you were explaining; that 6 it's suspicions, it's may have harmed, may pose a risk? 7 Α. Yes. 8 Q. That would was the test, where you have got concerns about someone working with children? 9 10 Α. Yes. 11 O. If we can go then to the hospital safeguarding policy to see how it was set out there. If we could 12 turn to INQ0014165. This is -- it went through a number 13 14 of drafts but this is the Safeguarding and Promoting the Welfare of Children policy that is going to come up on 15 your screen in a moment. 16 17 If we can go there to page 3 first. We see there this policy at the top, under the Executive 18 19 introduction: 20 "This policy reflects the standards set in the 21 Cheshire Local Safeguarding Children Board, the LSCB, 22 manual of procedures."

- 23 Going down:
- 24 "Every adult has a responsibility to protect
- children and as employees of the Trust we are duty bound 25 152

1	always to act in the best interests of a child about
2	whom we may have concerns."
3	We see then this is the September 2015 policy and
4	signed by Alison Kelly?
5	A. (Nods)
6	Q. Who makes clear is the Director of Nursing and
7	the Executive lead for safeguarding children.
8	If we can go on then to page 4. It says there
9	a phrase that we have heard in this Inquiry:
10	professionals needed this is under where it says
11	"Serious case review", professionals needed to think the
12	unthinkable?
13	A. Yes.
14	Q. Were you aware of the case of Beverley Allitt?
15	A. Yes.
16	Q. Were you aware of Recommendation 13 you may
17	not know the number but the principal recommendation
18	one of the recommendations of the Clothier Inquiry into
19	Beverley Allitt that her actions should serve to
20	heighten awareness in all those caring for children of
21	the possibility of malevolent intervention as a cause of
22	unexplained clinical events?
23	A. Yes.
24	Q. You say yourself in your statement at
25	paragraph 35 that:
	153
1	A. I think there was a certain, although we, the
2	policy was the training was updated annually, there
3	was the core part of the training that talked about
4	professional responsibilities and talked about harm and
5	what would constitute harm and it wasn't explicit at
6	that within that about who would cause the harm.
7	Q. Looking back now, given that phrase "think the
8	unthinkable", given the evidence that people find that
9	a difficult concept, to imagine that a nurse or
10	a healthcare professional might be harming babies, and
11	given the learning from Beverley Allitt, looking back
12	now, should that have been made more explicit in the
13	training?
14	A. I'm not sure about referral to Beverley Allitt
15	and I guess that was in 1991, 1994 the Clothier report.
16	Maybe we should have included a scenario that
17	involved where a child had been harmed by a professional
18	but in terms of children that come to harm, significant
19	harm and are killed, they are much more likely to be
20	harmed by people within the family or more recently

- harmed by people within the family or more recently
- a greater awareness of extra familial harm rather than
- harmed by somebody tasked with -- with caring for them within the --
- Q. So the training focused on the more likely
- scenarios and didn't in fact cover this unlikely

1	"For such a vulnerable children to come to harm at
2	the hands of someone entrusted to care for them is
3	horrifying and has resulted in staff such as me having
4	to think the unthinkable."
5	That rather suggests that in 2015 and 2016, you
6	weren't focused or maybe were not sufficiently alert to
7	the possibility that a member of staff could harm
8	a child. Is that the case?
9	A. No and I think that that comment in my at
10	35 it should have had: staff such as me having to think
11	the unthinkable again. I don't believe that I wasn't
12	thinking the unthinkable in 2015.
13	Q. In 2015/2016 you recognised, did you, that
14	suspicions about a nurse harming babies, if that had
15	been communicated to you was a safeguarding issue and
16	that that should have triggered safeguarding processes?
17	A. I would agree with that.
18	Q. Because we have heard from a significant
19	number of witnesses to the Inquiry who said that they
20	received no training on what to do if they had concerns
21	about a staff member harming a child and certainly from
22	going through the PowerPoints, it doesn't appear that
23	there's any reference to the possibility of harm by
24	a healthcare professional or what steps to take if there
25	were such concerns?
	154
1	scenario?
2	A. That would be correct.
3	Q. If we can just go back to the policy and if we
4	can go to now page 30. So this there under the
5	italicised paragraph, the next paragraph down, it says:
6	"If at any point a member of the Countess of
7	Chester staff feels that their concerns about a child
8	are not being acted upon appropriately, they must
9	discuss this with the safeguarding children team who
10	will take responsibility for ensuring the case is

- appropriately managed."
- This, from what we can see, wasn't explicitly
- covered in the training and we know in fact that staff
- at certain points did have concerns that their concerns
- weren't being acted upon appropriately and didn't come
- to you as the safeguarding team. Why do you think that
- was? Why did that -- that system fail it is in the
- policy that if you have got concerns and you don't feel
- it is being appropriately managed, you come to
- safeguarding team and no one did come to you -- to the
- safeguarding team about those concerns; that is correct,
- is it?
- Α. That is correct, yes.
- Q. Do you think looking.back. there is a reason
- for that, why that mechanism wasn't working?

I think I have learnt a lot from reading the 1 Α. 2 transcripts as they have gone on. But I think the 3 paediatricians were following the Speak Out Safely 4 quidance and -- and that. 5 If you could just maybe confine it to what --Q. 6 why you feel as a trainer at the time people weren't 7 coming to the safeguarding team with this concern? 8 I -- I don't know and I'm surprised. Α. 9 Q. If we can go then down because this then 10 does -- to pre-empt you -- talk about the Speak Out Safely policy and it stays there: 11 "From time to time staff may have concerns about 12 13 care or treatment given to any patient." 14 And it goes on: 15 "Managers have a particular responsibility to 16 protect patients and to handle concerns about their care 17 in a way that will encourage the voicing of genuine misgivings while at the same time protecting staff 18 19 against unfounded allegations." 20 So it does -- this policy does envisage the 21 possibility of allegations against staff but this policy 22 itself doesn't set out what to do, a step by step guide 23 about what to do if you had concerns about staff? 24 Α. No. it doesn't. 25 Q. And as far as you are aware, was there any 157 1 will see your statement, you say that your response, had 2 you been aware of these concerns, would have been to go 3 to the LADO; that's not what happened here? 4 Α. Mm-hm. 5 We are trying to understand why what thought Q. 6 was instinctive as a safeguarder didn't happen in 7 practice. Can you shed any light on that? 8 A. I don't know that. I mean, all I would think as well in that a two and a half to three hour training 9 it is very difficult to cover every single eventuality 10 and scenario and the -- you know, as part of the 11 training we always signposted staff and made sure they 12 were aware of the policies that supported safeguarding 13 14 across the Trust 15 But why? So I don't know whether staff don't read policies. That would be a possibility. And I am not --16 17 you know, because it was in the Speak Out Safely, there was information about the LADO in that as well and very 18 clear about the times when a referral to LADO must be 19 20 made. Yet I don't know. 21 When you became aware -- and we will come to Q. 22 that in a moment, but when you became aware of the 23 concerns about Letby, and I think we will see that but 24 that was shortly I think you say before the police were involved, so late, but nevertheless a year before the 25

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training or guidance or flowchart that set that out? 1 2 Α. There were -- I thought there was a link, 3 actually, to the allegations policy, the LSCB allegations policy. 4 Yes, yes. So we see the LSCB, but in terms of 5 Q. the training that was given to Countess of Chester by 6 7 the safeguarding team? 8 Α. No. 9 Q. If we could then just turn over to page 32, we 10 see under the heading "Human Resources Department" --11 Α. Yes. 12 Q. -- "The Trust will act with speed to any allegations of professional abuse. The Countess of 13 Chester will identify a senior manager who will have 14 responsibility for referral and ongoing liaison with the 15 16 Local Area Designated Officer regarding any allegation 17 made against a Countess of Chester member of staff." I think you have already said that referral to the 18 19 LADO wasn't something that was in the training, the 20 Group 3 training, safeguarding training? 21 Α. No. 22 Q. In this case, we know that the referral to the 23 LADO happened at a very late stage, 2018? 24 Α. (Nods) 25 Q. Do you have any explanation as to why? We 158 1 recommended, the information was sent to the LADO, did you not think at that point: we need to take this to the 2 3 LADO, I need to make sure that this is being done? 4 Α. I can't remember the exact timeline but I think --5 6 Q. Well, the timeline is that we will come to it 7 but you were aware, it seems from your statement, 8 shortly before the police were involved, so 2017, spring 2017? 9 10 Α. (Nods) 11 O. And the referral to the LADO took place in 2018, I think March 2018. 12 13 Α. Right, okay. 14 Q. So a year later in effect. 15 I think that I assumed then that once the Α. police were involved, that the appropriate processes in 16 17 terms of investigation would be taking place. 18 If we can just go to some of the Q. PowerPoints -- one of the PowerPoints. So INQ0108339. 19 So what's going to come up here is a PowerPoint in 20 a moment, this is a 2013 one, this has been picked 21 because this is a Group 3 one. There is a number of 22 23 PowerPoints, I think you have seen? 24

- Α. Yes.
- 25 Q. All slightly, slightly different but the key 160

slides remain the same. If we can go to page 2, we can 1 2 see there the requirements, just to reinforce what you 3 have said, that Group 3 staff need to complete the 4 Level 2 e-learning model every three years and attend a face-to-face training session each year and the 80% 5 6 compliance is set out there. 7 If we can then go to page 5. We see the principles 8 that you have referred to from Working Together that 9 everyone shares a responsibility for safeguarding and 10 promoting the welfare of children irrespective of individual roles and that obviously was a key part of 11 your training; that's correct? 12 13 Α. (Nods) 14 Q. Then if we can look at 6, page 6, the last 15 bullet point on that: 16 "It is simpler to lift the telephone than live with 17 the regret of not doing so." 18 To what extent was that part of a discussion, that 19 bullet point, of the point we have been discussing, that 20 you don't need proof but once you have got a concern, you should act? Is that the point that's being made in 21 22 that bullet point? 23 Α. Yes. I would rather if someone had a concern 24 that they pick it up and discuss it with us before we 25 decided if it met a threshold to take additional 161 1 Q. What do you consider would have been the 2 correct response if a nurse or a doctor had come to you 3 saying they had concerns about a nurse, because they 4 were always on duty when there were unexpected and 5 unexplained deaths? What as a safeguarder would you

- 6 have said to that? 7 Α. It would have set a level of concern but it 8 could be at that point if they were always on duty when, 9 when there was an unexpected death, whether there was
- an issue around clinical competency, possibly. That 10
- would be very different to coming and saying: we think 11
- that this nurse may be deliberately causing some harm. 12
- 13 Q. Yes. They are clearly different but if the 14
- nurse -- if the professional came to you and said "we have concerns because the deaths are unexpected and 15
- unexplained and they are always on duty", so that is 16
- 17 specific, so they are not saying we have seen anything,
- but they are concerned because the deaths are unexpected 18
- and unexplained and the same nurse is always on duty, 19
- 20 what was the correct, would you say, safeguarding
- 21 response to that?
- 22 A. I think I would -- I mean, obviously I would
- 23 be escalating that to Karen. But I would want to
- 24 understand more in relation to whether there was
- a clinical competency issue and issues like that as 25

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- actions. 1
- 2 Q. So that can go down now. So to witnesses who 3 say that they couldn't take action without proof, you 4 would say, would you, that to some extent when we see that bullet point that was something that was covered in 5 6 your training, albeit not explicitly in relation to 7 staff members but the idea of acting picking up the phone as a principle was something that was covered? 8 9 Yes. Can I say as well if we are looking at Α. 10 training, that we have made the point that staff --11 Group 3 staff also had to do the Level 2 e-learning. 12 Q. Yes 13 Α. Now, the Level 2 e-learning, Level 2 staff, one of the core competencies per intercollegiate 14 guidance is that they understand professional abuse. 15 16 I don't know whether the Level 2 e-learning addressed 17 professional abuse, I don't know what the content of 18 that training was. So we can't just assume that because 19 it wasn't in the face-to-face training that it wasn't in 20 the Level 2 e-learning. 21 Q. Would it not have been important for you to 22 understand what was in the e-training so that you --23 Α. I -- I did the e-learning training but I can't 24 remember in 2015 whether it covered professional abuse, 25 I'm sorry. 162 1 well. 2 Q. So you would refer it to someone more 3 senior --4 Α. No --
 - Q. -- first of all?

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- Α. -- I would --
- Q. To Karen?
- Α.
- 8 No, I would discuss it with Karen but then we 9 would have a discussion, and a pretty quick one about, you know, what else had been considered, was this -- was 10 11 this nurse -- were there any concerns about her 12 competency, irrespective I think of, of what might have 13 been occurred, I think one of the first things we would 14 have thought about was: well, should that nurse be working there from this day while we think about whether 15 the clinical competency is an issue? 16 17 Q. If we can go to paragraph 26 of your statement, you deal with this to an extent and you say: 18 19 "In the event of suspicions or concerns being 20 raised with me about a member of staff being involved in 21 deliberately causing harm ..." 22 So this is sort of one ramp up from a scenario 23 I have put to you, but if the concern was deliberately
- 24 causing harm to a child as opposed to suspicion that
- 25 there is a correlation, you say you would have escalated 164

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1	that immediately and would have:
2	" expected the Executive lead for safeguarding
3	would be notified, consideration of the need for an
4	onward referral to the Local Authority Designated
5	Officer and police would take place as a matter of
6	urgency."
7	You use the word there "consideration" of the need.
8	Are there any circumstances if there was a concern
9	about deliberately causing harm to a child where it
10	wouldn't go to the LADO?
11	A. No, not.
12	Q . You don't there, but I think you have made it
13	clear in your answer, refer to any immediate action in
14	terms of removing the individual from
15	A. That would be I would expect there to be
16	a strategy discussion initiated with a matter of
17	urgency. We would need to the first thing to do
18	would be to establish immediate safety in relation to
19	any other children, so that would form that would be
20	the initial thing. How can we make the situation safe
21	now and what do we need to be doing in terms of
22	referrals to LADO and possibly the police if if there
23	is suspicion of criminality?
24	Q. So, Ms Sindall, your response is admirably
25	clear about what you felt were the stages to take but we 165
1	
1	A. When you talk about Dr Jayaram
2	A. When you talk about Dr JayaramQ. Dr Jayaram was expressing his concerns
	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern.
2 3	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but
2 3 4	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern
2 3 4 5	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay.
2 3 4 5 6	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay.
2 3 4 5 6 7	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that
2 3 4 5 6 7 8	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that case were considered at risk?
2 3 4 5 6 7 8 9	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that case were considered at risk? A. I think that that would form part of
2 3 4 5 6 7 8 9 10	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that case were considered at risk? A. I think that that would form part of a discussion with the LADO and the LADO should have been
2 3 4 5 6 7 8 9 10	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that case were considered at risk? A. I think that that would form part of a discussion with the LADO and the LADO should have been informed really immediately and part of the because
2 3 4 5 6 7 8 9 10 11 12	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that case were considered at risk? A. I think that that would form part of a discussion with the LADO and the LADO should have been informed really immediately and part of the because they are available on the phone as well, the LADOs, and
2 3 4 5 6 7 8 9 10 11 12 13	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that case were considered at risk? A. I think that that would form part of a discussion with the LADO and the LADO should have been informed really immediately and part of the because they are available on the phone as well, the LADOs, and I have spoken to them on the phone, so you would be able
2 3 4 5 6 7 8 9 10 11 12 13 14	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that case were considered at risk? A. I think that that would form part of a discussion with the LADO and the LADO should have been informed really immediately and part of the because they are available on the phone as well, the LADOs, and I have spoken to them on the phone, so you would be able to pick up the phone, speak to the LADO and say: we are
2 3 4 5 6 7 8 9 10 11 12 13 14 15	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that case were considered at risk? A. I think that that would form part of a discussion with the LADO and the LADO should have been informed really immediately and part of the because they are available on the phone as well, the LADOs, and I have spoken to them on the phone, so you would be able to pick up the phone, speak to the LADO and say: we are going to make a formal referral but this is the concern.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that case were considered at risk? A. I think that that would form part of a discussion with the LADO and the LADO should have been informed really immediately and part of the because they are available on the phone as well, the LADOs, and I have spoken to them on the phone, so you would be able to pick up the phone, speak to the LADO and say: we are going to make a formal referral but this is the concern.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that case were considered at risk? A. I think that that would form part of a discussion with the LADO and the LADO should have been informed really immediately and part of the because they are available on the phone as well, the LADOs, and I have spoken to them on the phone, so you would be able to pick up the phone, speak to the LADO and say: we are going to make a formal referral but this is the concern. And I'm fairly sure, knowing the LADOs that I have had contact, with that they would have been discussing the
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that case were considered at risk? A. I think that that would form part of a discussion with the LADO and the LADO should have been informed really immediately and part of the because they are available on the phone as well, the LADOs, and I have spoken to them on the phone, so you would be able to pick up the phone, speak to the LADO and say: we are going to make a formal referral but this is the concern. And I'm fairly sure, knowing the LADOs that I have had contact, with that they would have been discussing the need to inform the police.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that case were considered at risk? A. I think that that would form part of a discussion with the LADO and the LADO should have been informed really immediately and part of the because they are available on the phone as well, the LADOs, and I have spoken to them on the phone, so you would be able to pick up the phone, speak to the LADO and say: we are going to make a formal referral but this is the concern. And I'm fairly sure, knowing the LADOs that I have had contact, with that they would have been discussing the need to inform the police. Q. If we can just look at something slightly
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that case were considered at risk? A. I think that that would form part of a discussion with the LADO and the LADO should have been informed really immediately and part of the because they are available on the phone as well, the LADOs, and I have spoken to them on the phone, so you would be able to pick up the phone, speak to the LADO and say: we are going to make a formal referral but this is the concern. And I'm fairly sure, knowing the LADOs that I have had contact, with that they would have been discussing the need to inform the police. Q. If we can just look at something slightly different now, the Safeguarding Strategy Board. So if
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that case were considered at risk? A. I think that that would form part of a discussion with the LADO and the LADO should have been informed really immediately and part of the because they are available on the phone as well, the LADOs, and I have spoken to them on the phone, so you would be able to pick up the phone, speak to the LADO and say: we are going to make a formal referral but this is the concern. And I'm fairly sure, knowing the LADOs that I have had contact, with that they would have been discussing the need to inform the police. Q. If we can just look at something slightly different now, the Safeguarding Strategy Board. So if we could go to INQ0102620, so this was the it's going
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	 A. When you talk about Dr Jayaram Q. Dr Jayaram was expressing his concerns A. His concern. Q. So no suggestion of anybody witnessing, but simply a concern A. Right. Okay. Q that these a child or children in that case were considered at risk? A. I think that that would form part of a discussion with the LADO and the LADO should have been informed really immediately and part of the because they are available on the phone, so you would be able to pick up the phone, speak to the LADO and say: we are going to make a formal referral but this is the concern. And I'm fairly sure, knowing the LADOs that I have had contact, with that they would have been discussing the need to inform the police. Q. If we can just look at something slightly different now, the Safeguarding Strategy Board. So if we could go to INQ0102620, so this was the it's going to come up in a moment, the Terms of Reference for this

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know that when Dr Jayaram raised a concern, in fact a specific concern that he was concerned about harm being caused to one of the Triplets after their sibling had died, that none of those steps were taken; that the nurse wasn't removed from the situation, there wasn't an immediate referral up. Have you got any insight from your position as the safeguarding team who were doing the training of the staff of why -- why that occurred, why the nurses, senior nurses, Karen Rees, Eirian Powell, Alison Kelly it appears didn't view that as a safeguarding concern? Α. I don't know and I'm very surprised. In terms of the police, at what point would Q. you have been considering the police in that scenario, so we have got a precise scenario in fact because we have got a Consultant who's concerned about a nurse, who's been present at a number of unexpected and unexplained deaths and then is talking about a specific concern related to a specific baby on the ward at that time? That -- immediate I would have thought. It Α. would need to go to the -- if you are talking about deliberate harm to a child and that's been witnessed. Q. Well, I don't think anyone is suggesting witnesses --

25 166 1 Α. Yes. 2 Q. These are the Terms of Reference, so it just 3 sets out what that board was intended to do. It met 4 every two months, we will look at the attendees in 5 a moment but you attended as did Karen Milne, Dr Isaac, 6 Dr Mittal, Alison Kelly, Sian Williams, Julie Fogarty 7 and the Deputy Director of Human Resources, 8 Dee Appleton-Cairns. Just before we look at these terms 9 of reference, why was there the Director of Human Resources, Dee Appleton-Cairns, what was the relevance 10 11 of her being on a safeguarding -- it may seem obvious to 12 you but why would you have someone from human resources 13 on a Safeguarding Board? 14 Because there has been recommendations before Α. 15 around staff recruitment, Disclosure and Barring Service and also because HR would be involved if there were any 16 17 sort of allegations against staff. So it's important that HR policies have a link up in some way with 18

- safeguarding. 19
- 20 Q. If we can just look at that, it's come up now.
- So the sixth bullet point down. At the very top it says 21
- 22 the purpose, it reports to the Quality & Safety Patient
- 23 Experience Committee, responsible for ensuring
- 24 safeguarding is the strategic objective within the
- Trust. Then it talks about the duties. 25

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The sixth bullet point is: 1 2 "To ensure that the Trust is reporting effectively 3 to external agencies when we have safeguarding 4 concerns." 5 So to that extent, in terms of the duties and what 6 it was trying to achieve, the Safeguarding Strategy 7 Board in this case, it failed, didn't it? 8 Α. Yes. 9 Q. If we can just then turn to the INQ0043309, so 10 this is just an example of an agenda for the Safeguarding Strategy Board on 15 April. We can see 11 there that at point 9, LADO cases -- sorry it's just 12 13 come up -- would be considered? 14 Yes. Α. 15 Q. Lessons learned. I think compliance is 16 generally discussed. 17 We see at 10, the fourth bullet point down, learning from significant incidents, local/national. So 18 19 learning from other incidents was something that would 20 be on the agenda and if we could then go over to page 3. So what this pack of documents appears to be is there is 21 22 an agenda and then attached to the agenda in the normal 23 way are the minutes of the last meeting --24 Α. Yes 25 Q. -- and then some other documents? 169 1 Α. I don't. 2 Q. But had you had a pack with documents you 3 would presumably have normally have read it? 4 Α. I would try to before the meeting. 5 Looking there, though key points, what's your Q. 6 view about those points, do you agree with that, bearing 7 in mind this is talking about what were the key learning 8 points from this Trust in relation to a situation where 9 concern was raised about a member of staff harming patients, in fact harming children? 10 Α. 11 Well, it has --12 Q. Yes, do you agree with it, do you feel these 13 are useful points? 14 Α. Yes 15 Just going through them, we see there Q. escalation at early action as per safeguarding policy; 16 early disbelief must be an explanation, communications 17 with patients and families, and they note: superb 18 support from the police at the beginning of the 19 20 year-long experience. 21 That is what I think we have established you would 22 expect to happen where there was a concern about a staff 23 member? 24 Α. Yes. 25 Q. So the question is it would appear that this 171

Α. Yes 1 2 Q. If we just look at the minutes there of the 3 meeting, we see -- actually if we can go on to page 4. 4 We see there compliance, for example, is one of the things that would be discussed and it says there Group 1 5 6 and 3., so Group 3 is what we are interested in for the 7 neonatal unit, neonatal are above 80%. 8 Then in terms of the other documents that were 9 attached to this, do you remember when you received 10 agendas and minutes receiving other documents? 11 Α. Yes. 12 Q. Attached? 13 Α. There would be a big bundle of attachments. 14 Q. In this document, one of those attachments and 15 if we could turn, be calling up while I am setting this 16 out, page 102, one of the attachments here was a set of 17 PowerPoints that came from another Trust in which there had been a concern about a member of staff harming 18 19 patients and in fact who was later convicted of sexual 20 abuse in that case that was the harm being caused to the patients in there and this PowerPoint set out key 21 22 points. 23 Now, I think your evidence is that you don't 24 actually recall seeing this at the time, this 25 PowerPoint? 170 1 learning was being shared and we saw from the agenda 2 that one of the items was learning lessons from other 3 areas, learning from significant incidents.

4 This was in April 2016, so before for example

5 Child O and Child P had died, had been murdered. Do you6 think there was a failure to incorporate that learning

7 into the training?

A. That PowerPoint to my knowledge, I had never
seen it until it came through in my bundle, so I don't
recall there being any discussion of it at the Strategy
Board meeting at all.

12 Q. Setting aside that, so you don't recall it,

- 13 but do you think in fact that is the sort of thing that
- 14 should have been within the training, thinking now?

15 A. The whole PowerPoint or the --

- 16 **Q.** Not necessarily the whole PowerPoint but those
- 17 messages that don't appear in the PowerPoint at the

18 Countess of Chester?

A. Yes, some of those messages could have beenincorporated into the training.

21 **Q**. Is there any one you want to pick out in

22 particular or broadly, is that the message, I am not

23 saying that PowerPoint, but those --

- 24 A. I think escalation and early action as per
- 25 safeguarding policy, so it's clear that somebody

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(43) Pages 169 - 172

Α.

Q. Was t Overview Panel?

Α.

Q.

Α.

Q.

Α.

hospital?

Yes.

No. I didn't.

understood the safeguarding policy at that hospital 1 1 2 Trust. 2 3 Q. Just returning to when you first recall being 3 4 4 made aware that there were concerns about harm being 5 done to babies, just take it in stages. When did you 5 6 first become aware that there were increased mortality 6 7 and concerns about that? 7 8 I had -- I was aware that there was a review Α. 8 9 that had been ordered by the Royal College of Child 9 10 Health and Paediatricians in relation to increased 10 mortality. 11 11 12 Q. Was that the first time because of that review 12 13 that you learnt about increased mortality or --13 Yes, and I don't know how I learnt about that 14 Α. 14 review. But -- but that was the first time I was aware 15 15 16 that there was increased mortality on the neonatal unit. 16 17 Q. And did you at that point think were you aware 17 18 that those were sudden and unexpected -- unexpected and 18 19 unexplained deaths or --19 20 Α. No. 20 21 21 Q. -- did you not have that level of knowledge? 22 Had you known that those were unexpected deaths, 22 23 what would your view have been about the SUDiC 23 procedure, would you have considered that should have 24 24 25 taken place? 25 173 1 Α. No. 1 2 But the understanding you had insofar as you 2 Q. 3 had an understanding was that it wasn't put in place 3 4 where the death occurred in hospital? 4 5 |--|--5 Α. 6 Q. You just didn't know? 6 7 7 Α. I just didn't know. In terms of when you recall first being made 8 Q. 8 9 aware that there were concerns that a nurse was possibly 9 harming babies, when did you become aware of that? 10 10 When Karen Milne returned to the office after 11 Δ 11 she had a one-to-one with Alison Kelly, which was 12 12 a routine -- her supervision was with Alison Kelly and 13 13 14 I noticed a real change in her demeanour when she came 14 into the office. She seemed really quite upset and 15 15 I said: how did your one-to-one go? 16 16 17 And she told me that Alison had discussed with her 17 not that she was seeking advice but had discussed with 18 18 her that they were going to call the -- either had 19 19 20 already or were about to call the police in relation to 20 concerns that somebody was -- that a member of staff was 21 21 22 involved in harm to the babies on the neonatal unit. 22 23 Q. It is that detail that helps us to date it 23 24 because that would suggest that this is March/April 2017 24 if it was just after or just prior --25 25 175

experience that I had had around SUDiC in relation to attendances at the hospital were those deaths that occurred outside of the hospital and came into the hospital. Q. If someone had come to you and said "there's been an unexpected, unexplained death" what would you have done? Would you have referred that to Dr Mittal is that your evidence? Α. I would have thought that the paediatricians would be involved anyway. So you -- is it right to say you didn't have Q. involvement with SUDiC? 174 Α. I don't know, I don't know when it was. Q. But as far as you were concerned, it was either just after or just before the police were involved? Α. It was either at the time or a day or two before. Q. So just looking at that, by this stage, by March/April 2017, we have had the RCPCH review and Letby in fact was moved off the ward back in July 2016. There's been the RCPCH review, there's also been a grievance procedure. Were you aware of the grievance procedure? Α. Not until I received the bundle. Q. There's been significant numbers of meetings between the paediatricians and the Executives and there is clearly a huge issue and a huge safeguarding failure that has occurred to get to the stage where the police are being called at this event in 2017 and I am just wondering if you can assist on the apparent disconnect between you delivering safeguarding training once a month, attending a Safeguarding Board and yet the safeguarding team, certainly in terms of you and Karen Milne, were unaware, completely unaware that there were concerns about a nurse harming babies? I do wonder whether the status of the Α. 176

We didn't really in our side of the team,

Was that the case also for the Child Death

Did you understand SUDiC was the process would

You didn't think SUDiC was -- took place where

I didn't -- I knew it took place. I mean, the

Karen and myself, have an awful lot to do with SUDiC, we

very much saw it as a responsibility of Dr Mittal.

take place if there had been an unexpected death in

death had been in hospital or you didn't know, sorry?

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1

different people.

Q.

savs:

Α.

looking at it?

Δ.

Δ.

Α.

explanation.

concerns?

Α.

all right.

No.

learning from them that could go in the report or any

this in context as well. So this was dated July 2017,

it's -- so by July 2017 the police are involved. It was

clear that multiple individuals had not reported their

concerns about Letby to the LADO, in fact, they still

hadn't taken place, that -- certainly for a prolonged

period it hadn't been referred to the police and in

hadn't been picked up as a safeguarding issue.

essence there had been a safeguarding failure. It

"Countess of Chester neonatal unit investigation", it

between June 2015 and June 2016 at the Countess of

Chester which are being investigated by the Cheshire

Police. As a result of this Inquiry some changes have

The SUDiC protocol and then it goes on.

serious reflection as to what's gone wrong with

provided at the time but you didn't see it?

LADY JUSTICE THIRLWALL: No.

the starting point and then the second bullet:

allegation and that there must be some other

(Nods)

Yes.

But there is nothing in that report by way of

That's it. Now, that was something that was

I don't recollect it being discussed.

"Early disbelief -- must be an explanation."

me what you think. It seemed to me that what that's

your experience can affect the way people approach

think that somebody in a position of care and that's

saying is at the beginning people just don't believe the

been made to the Pan-Cheshire CDOP process called ..."

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LADY JUSTICE THIRLWALL: And have no memory of

That wasn't discussed at the strategy board.

LADY JUSTICE THIRLWALL: You don't remember it, no

So just the short question from me is they have got

LADY JUSTICE THIRLWALL: Which -- I mean, you tell

LADY JUSTICE THIRLWALL: Is that something which in

I think so, yes. I do. And it's very hard to

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We look then at the little paragraph there under

"There were a cluster of neonatal deaths identified

So it was a document that was pulled together by

If you can just go to page 19, so just to set

new initiatives around early intervention, I would.

Karen but it contained information from lots of

safeguarding team within the hospital wasn't as -- as 1 2 high as it should be, that would have made it a more 2 3 natural thing, maybe for the paediatricians to think. 3 4 So, for example, head of safeguarding or something like 4 that, there were just the two of us getting on with the 5 5 6 day-to-day work and I don't think that our status within 6 7 the hospital or our importance within the hospital was 7 8 as it should have been. 8 9 Q. You are clear, are you, that despite getting 9 10 on the neonatal unit, you say you worked proximity, you 10 didn't hear anything about nurses talking about a nurse 11 11 being moved off the unit or --12 12 13 Α. Nothing whatsoever. 13 14 Can we just go to the last document then, Q. 14 INQ0004715. This is the annual report, the Safeguarding 15 15 16 Children's Annual Report for 2016/2017 what involvement, 16 17 if any, did you have in drafting that? 17 18 18 Α. This was a document that was put together by 19 Karen Milne that she relied on other people providing 19 20 information contained within it. So, for example, for 20 CDOP she would go to Dr Mittal and say: do you need to 21 21 22 put any -- you know, do you have any information around 22 23 CDOP? 23 I would put in -- she would ask me for information 24 24 25 about the LSCB guarterly audits that I attended and any 25 177 1 safeguarding and was that really adequate in what was 1 2 the annual report of safeguarding, given what had 2 3 occurred? 3 4 Α. My understanding of that paragraph from 4 5 discussion with Karen Milne is that she was given. 5 6 Q. Sorry, discussion at the time? 6 7 7 Α. No, not at the time. Subsequently. Or 8 I can't remember exactly when, not relatively recently 8 but some discussion when that was put in there, that 9 9 Karen told me that she was given that paragraph verbatim 10 10 by either Alison Kelly or the Mel Kynaston, the 11 11 12 Associate Director of Nursing, to put this in verbatim. 12 MS BROWN: Well, we can ask Alison Kelly about 13 13 14 that. 14 15 Thank you very much, Ms Sindall. I have no further 15 questions and there aren't any questions from any 16 16 Core Participants. 17 17 18 Questions by LADY JUSTICE THIRLWALL 18 LADY JUSTICE THIRLWALL: Thank you, I have just got 19 19 20 one question, if I may, Ms Sindall. Could we bring up 20 on the screen INQ0043309. It was a single page of 21 21 22 a PowerPoint presentation. 22 23 MS BROWN: I think it is page 102. 23 24 LADY JUSTICE THIRLWALL: Thank you, Ms Brown 24 25 I omitted to make a note of that. 25 179

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1	trusted to provide that care and to to provide safe
2	care would deliberately want to harm, yes, I think that
3	it's it's a natural thing for people to say "surely
4	not".
5	LADY JUSTICE THIRLWALL: I suppose that's why
6	training is important, isn't it, to remind people as you
7	put it, thinking the unthinkable.
8	A. And I think that's why it was in the policy,
9	we need to think the unthinkable.
10	LADY JUSTICE THIRLWALL: Yes. Thank you. Have you
11	got anything else, Ms Brown?
12	MS BROWN: There is nothing else.
13	I think I have covered it in my questions but
14	Ms Sindall, was there any other reflection you wanted to
15	add? I omitted to ask the question.
16	A. Just I wasn't aware that when I got that
17	PowerPoint through, I wasn't aware that it had been sort
18	of sent as part of a bundle, so my question was around
19	the PowerPoint: how, I guess when we are aware when,
20	there is a multi-agency working and a child dies there
21	is a serious case review, there is a clear process to,
22	you know, discuss whether or not it becomes, does that
23	child becomes the subject of a serious case review,
24	there's certain learning that takes place that is then
25	readily available via the NSPCC host, a repository of
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1	that this certainly was shared.
1 2	that this certainly was shared. A. Yes.
	-
2	A. Yes.
2 3	A. Yes. LADY JUSTICE THIRLWALL: We know how far it got,
2 3 4	 A. Yes. LADY JUSTICE THIRLWALL: We know how far it got, certainly as far as you were concerned.
2 3 4 5	 A. Yes. LADY JUSTICE THIRLWALL: We know how far it got, certainly as far as you were concerned. A. Yes.
2 3 4 5 6	 A. Yes. LADY JUSTICE THIRLWALL: We know how far it got, certainly as far as you were concerned. A. Yes. LADY JUSTICE THIRLWALL: Yes, but thank you for
2 3 4 5 6 7	 A. Yes. LADY JUSTICE THIRLWALL: We know how far it got, certainly as far as you were concerned. A. Yes. LADY JUSTICE THIRLWALL: Yes, but thank you for that and we have got your other reflections already. So
2 3 4 5 6 7 8	 A. Yes. LADY JUSTICE THIRLWALL: We know how far it got, certainly as far as you were concerned. A. Yes. LADY JUSTICE THIRLWALL: Yes, but thank you for that and we have got your other reflections already. So those are my questions.
2 3 4 5 6 7 8 9	 A. Yes. LADY JUSTICE THIRLWALL: We know how far it got, certainly as far as you were concerned. A. Yes. LADY JUSTICE THIRLWALL: Yes, but thank you for that and we have got your other reflections already. So those are my questions. No one else has any questions, so you are free to
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2 3 4 5 6 7 8 9 10 11	 A. Yes. LADY JUSTICE THIRLWALL: We know how far it got, certainly as far as you were concerned. A. Yes. LADY JUSTICE THIRLWALL: Yes, but thank you for that and we have got your other reflections already. So those are my questions. No one else has any questions, so you are free to go and we will break and we will start again at 20 to 4. (3.22 pm)
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19	 A. Yes. LADY JUSTICE THIRLWALL: We know how far it got, certainly as far as you were concerned. A. Yes. LADY JUSTICE THIRLWALL: Yes, but thank you for that and we have got your other reflections already. So those are my questions. No one else has any questions, so you are free to go and we will break and we will start again at 20 to 4. (3.22 pm) (A short break) (3.40 pm) LADY JUSTICE THIRLWALL: Yes. MR DE LA POER: My Lady, our final witness for today is Dr Isaac. I wonder if she might come forward. DR HOWYADA ISAAC (affirmed) LADY JUSTICE THIRLWALL: Do sit down, doctor. A. Thank you.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	 A. Yes. LADY JUSTICE THIRLWALL: We know how far it got, certainly as far as you were concerned. A. Yes. LADY JUSTICE THIRLWALL: Yes, but thank you for that and we have got your other reflections already. So those are my questions. No one else has any questions, so you are free to go and we will break and we will start again at 20 to 4. (3.22 pm)
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	 A. Yes. LADY JUSTICE THIRLWALL: We know how far it got, certainly as far as you were concerned. A. Yes. LADY JUSTICE THIRLWALL: Yes, but thank you for that and we have got your other reflections already. So those are my questions. No one else has any questions, so you are free to go and we will break and we will start again at 20 to 4. (3.22 pm) (A short break) (3.40 pm) LADY JUSTICE THIRLWALL: Yes. MR DE LA POER: My Lady, our final witness for today is Dr Isaac. I wonder if she might come forward. DR HOWYADA ISAAC (affirmed) LADY JUSTICE THIRLWALL: Yes, Mr De La Poer. Questions by MR DE LA POER MR DE LA POER: Please could you give us your full name?

1	all the serious case reviews, so that people who are
2	wanting to learn and maybe to pass on that learning can
3	access that learning that way.
4	When it happens in the NHS, and it may be my
5	naivety around serious cases, but when there's only
6	a tragedy like this where children come to harm, there
7	is only one agency involved. I don't know whether these
8	children become subject to a serious case review and if
9	they don't, then the investigative process and the
10	learning from that isn't available or is it available?
11	Is there some national initiative or some national
12	mechanism around patient safety where incidents like
13	this when they occur, that learning that occurs as
14	a result of it is then available for, across the NHS?
15	I it might be my naivety, maybe it is.
16	The learning from Thirlwall will be available
17	publicly for people to reflect on and include in their
18	training but, you know, this one from Cambridge, yes,
19	they took it upon themselves to send out a PowerPoint or
20	was there, I would wish to understand the mechanism by
21	which learning around serious incidents like this.
22	serious safeguarding incidents, whether there is
23	an established mechanism for sharing that across the
24	NHS.
25	LADY JUSTICE THIRLWALL: Yes, thank you. We know
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1	statement to this Inquiry dated 25 June of this year?
2	A. I confirm.
3	Q . Is the content of that true to the best of
4	your knowledge and belief?
5	A. Yes. There is a slight error with the date
6	I was appointed as a Consultant, in the second
7	paragraph, I think.
8	Q . I am sure we will be able to correct that but
9	other than that, is the content true to the best of your
10	knowledge and belief?
11	A. Yes, it is.
12	Q. Let's deal with your background.
13	Did you qualify as a doctor in 1986?
14	A. Yes.
15	Q. Have you worked in paediatrics since 1990?
15 16	Q. Have you worked in paediatrics since 1990?A. Yes.
16	A. Yes.
16 17	A. Yes.Q. Did you become a member of the Royal College
16 17 18	A. Yes.Q. Did you become a member of the Royal College of Paediatrics and Child Health in 1998?
16 17 18 19	 A. Yes. Q. Did you become a member of the Royal College of Paediatrics and Child Health in 1998? A. Yes.
16 17 18 19 20	 A. Yes. Q. Did you become a member of the Royal College of Paediatrics and Child Health in 1998? A. Yes. Q. I think you first became a Consultant in 2005;
16 17 18 19 20 21	 A. Yes. Q. Did you become a member of the Royal College of Paediatrics and Child Health in 1998? A. Yes. Q. I think you first became a Consultant in 2005; is that right? A. Yes, that's correct. LADY JUSTICE THIRLWALL: 2005.
16 17 18 19 20 21 22	 A. Yes. Q. Did you become a member of the Royal College of Paediatrics and Child Health in 1998? A. Yes. Q. I think you first became a Consultant in 2005; is that right? A. Yes, that's correct.
16 17 18 19 20 21 22 23	 A. Yes. Q. Did you become a member of the Royal College of Paediatrics and Child Health in 1998? A. Yes. Q. I think you first became a Consultant in 2005; is that right? A. Yes, that's correct. LADY JUSTICE THIRLWALL: 2005.

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1	A. Yes.	
2		join the Countess of Chester in 2012?
3	A. That's c	orrect.
4	-	join both as a Consultant and as the
5	named doctor for s	afeguarding?
6	A. Yes.	
7	Q. Now, in	terms of what that role meant, was it
8	, , ,	o ensure that the organisation you
9	were working for, th	e Countess of Chester, met its
10	safeguarding respo	nsibilities?
11	A. That's ri	•
12	Q. Did that	include the Countess of Chester's
13	responsibility to pro	tect children from harm?
14	A. That's c	
15		ing the named doctor for safeguarding
16		, an important role?
17	A. Yes.	
18		le which is identified in statutory
19	guidance, isn't it?	
20		ght, yes.
21		us very briefly, please, between the
22		the role of named doctor for
23	0 0	nat of the designated doctor?
24		amed doctor for safeguarding, I work
25	for the Trust and I e	ensure the standards of safeguarding 185
		105
1	•	y for safeguarding at the Countess
2	of Chester?	
2 3	of Chester? A. So I wou	ld have responsibility for the
2 3 4	of Chester? A. So I wou clinical, for the strat	Ild have responsibility for the tegic, but Alison Kelly would be
2 3 4 5	of Chester? A. So I wou clinical, for the strat the head of safegue	IId have responsibility for the tegic, but Alison Kelly would be arding as my line manager with regards
2 3 4 5 6	of Chester? A. So I wou clinical, for the strat the head of safegua to the safeguarding	Id have responsibility for the tegic, but Alison Kelly would be arding as my line manager with regards part of my role.
2 3 4 5 6 7	of Chester? A. So I wou clinical, for the strat the head of safegua to the safeguarding Q. In practi	uld have responsibility for the tegic, but Alison Kelly would be arding as my line manager with regards part of my role. ce if there was a disagreement
2 3 4 5 6 7 8	of Chester? A. So I wou clinical, for the strat the head of safegua to the safeguarding Q. In practi between the two of	Ild have responsibility for the tegic, but Alison Kelly would be arding as my line manager with regards part of my role. ce if there was a disagreement you about how to respond in the
2 3 4 5 6 7 8 9	of Chester? A. So I wou clinical, for the strait the head of safeguar to the safeguarding Q. In practit between the two of safeguarding setting	uld have responsibility for the tegic, but Alison Kelly would be arding as my line manager with regards part of my role. ce if there was a disagreement
2 3 4 5 6 7 8 9	of Chester? A. So I would clinical, for the strait the head of safeguarding to the safeguarding Q. In practic between the two of safeguarding setting should be done?	Ild have responsibility for the tegic, but Alison Kelly would be arding as my line manager with regards part of my role. ce if there was a disagreement you about how to respond in the g, who has the final say on what
2 3 4 5 6 7 8 9 10 11	of Chester? A. So I would clinical, for the strat the head of safeguarding Q. In practic between the two of safeguarding setting should be done? A. So Aliso	Id have responsibility for the tegic, but Alison Kelly would be arding as my line manager with regards part of my role. ce if there was a disagreement you about how to respond in the g, who has the final say on what n Kelly would be the Exec team, so she
2 3 4 5 6 7 8 9 10 11 12	of Chester? A. So I would clinical, for the strait the head of safeguarding Q. In practic between the two of safeguarding setting should be done? A. So Alison would be above me	IId have responsibility for the tegic, but Alison Kelly would be arding as my line manager with regards part of my role. ce if there was a disagreement you about how to respond in the g, who has the final say on what n Kelly would be the Exec team, so she e. But if I if we disagreed, there
2 3 4 5 6 7 8 9 10 11 12 13	of Chester? A. So I would clinical, for the strain the head of safeguar to the safeguarding Q. In practic between the two of safeguarding setting should be done? A. So Alison would be above mean is a method of esca	Ild have responsibility for the tegic, but Alison Kelly would be arding as my line manager with regards part of my role. ce if there was a disagreement you about how to respond in the g, who has the final say on what n Kelly would be the Exec team, so she e. But if I if we disagreed, there allation so I could escalate.
2 3 4 5 6 7 8 9 10 11 12 13 14	of Chester? A. So I would clinical, for the strain the head of safeguarding Q. In practic between the two of safeguarding setting should be done? A. So Alison would be above mean is a method of escar Q. And so of	IId have responsibility for the tegic, but Alison Kelly would be arding as my line manager with regards part of my role. ce if there was a disagreement you about how to respond in the g, who has the final say on what n Kelly would be the Exec team, so she e. But if I if we disagreed, there tation so I could escalate. does it follow then that if she said
2 3 4 5 6 7 8 9 10 11 12 13 14 15	of Chester? A. So I would clinical, for the strain the head of safeguarding Q. In practic between the two of safeguarding setting should be done? A. So Alison would be above me is a method of escar Q. And so of no, we are not going	IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	of Chester? A. So I would clinical, for the strait the head of safeguarding Q. In practic between the two of safeguarding setting should be done? A. So Alison would be above means is a method of escar Q. And so of no, we are not going her, you could never	IId have responsibility for the tegic, but Alison Kelly would be arding as my line manager with regards part of my role. ce if there was a disagreement you about how to respond in the g, who has the final say on what n Kelly would be the Exec team, so she e. But if I if we disagreed, there alation so I could escalate. does it follow then that if she said g to do that and you disagreed with ertheless take another route to ensure
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	of Chester? A. So I would clinical, for the strait the head of safeguar to the safeguarding Q. In practic between the two of safeguarding setting should be done? A. So Alison would be above me is a method of esca Q. And so of no, we are not going her, you could never that your view was	IId have responsibility for the tegic, but Alison Kelly would be arding as my line manager with regards part of my role. ce if there was a disagreement you about how to respond in the g, who has the final say on what n Kelly would be the Exec team, so she e. But if I if we disagreed, there alation so I could escalate. does it follow then that if she said g to do that and you disagreed with ertheless take another route to ensure carried forward?
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	of Chester? A. So I would clinical, for the strain the head of safeguar to the safeguarding Q. In practic between the two of safeguarding setting should be done? A. So Alison would be above me is a method of escar Q. And so of no, we are not going her, you could never that your view was A. I could e Q. And who A. So I could	III have responsibility for the tegic, but Alison Kelly would be arding as my line manager with regards part of my role. ce if there was a disagreement you about how to respond in the g, who has the final say on what n Kelly would be the Exec team, so she e. But if I if we disagreed, there alation so I could escalate. does it follow then that if she said g to do that and you disagreed with ertheless take another route to ensure carried forward? escalate but it would be hard. o would you escalate it to? Id talk to Dr Mittal the designated
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	of Chester? A. So I would clinical, for the strait the head of safeguar to the safeguarding Q. In practic between the two of safeguarding setting should be done? A. So Alison would be above mean is a method of escar Q. And so of no, we are not going her, you could never that your view was A. I could effect Q. And who A. So I could doctor or I can and	Id have responsibility for the tegic, but Alison Kelly would be arding as my line manager with regards part of my role. ce if there was a disagreement you about how to respond in the g, who has the final say on what n Kelly would be the Exec team, so she e. But if I if we disagreed, there tation so I could escalate. does it follow then that if she said g to do that and you disagreed with ertheless take another route to ensure carried forward? escalate but it would be hard. o would you escalate it to?
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	of Chester? A. So I would clinical, for the strait the head of safeguarding Q. In practic between the two of safeguarding setting should be done? A. So Alison would be above me is a method of escar Q. And so of no, we are not going her, you could never that your view was A. I could e Q. And who A. So I could doctor or I can and LADO.	IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	of Chester? A. So I would clinical, for the strait the head of safeguarding Q. In practic between the two of safeguarding setting should be done? A. So Alison would be above me is a method of esca Q. And so of no, we are not going her, you could never that your view was A. I could e Q. And who A. So I could doctor or I can and LADO. Q. Now, in	IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	of Chester? A. So I would clinical, for the strait the head of safeguarding Q. In practic between the two of safeguarding setting should be done? A. So Alison would be above me is a method of esca Q. And so of no, we are not going her, you could never that your view was A. I could e Q. And who A. So I could doctor or I can and LADO. Q. Now, in	III have responsibility for the tegic, but Alison Kelly would be arding as my line manager with regards part of my role. ce if there was a disagreement you about how to respond in the g, who has the final say on what n Kelly would be the Exec team, so she e. But if I if we disagreed, there thation so I could escalate. does it follow then that if she said g to do that and you disagreed with ertheless take another route to ensure carried forward? escalate but it would be hard. to would you escalate it to? Id talk to Dr Mittal the designated and through him escalate to the terms of your line management by u have one-to-one meetings with her

1	within the T	Trust are met. The designated doctor works
2	for the CC	G and looks into safeguarding within the
3	primary ca	re and outside of the Trust.
4	Q.	We know
5	Α.	And also other agencies including works
6	very closel	y with social care, which we do as well.
7	Q.	Now, we see from your witness statement, we
8	don't need	to go through it, but you undertook a very
9	substantial	amount of training for the role of named
10	doctor; is tl	hat right?
11	Α.	Yes, correct.
12	Q.	During the period 2015, 16 and 17,
13	approximat	tely how much time per week or per month were
14	you spendi	ing on the role of named doctor?
15	Α.	So I am given two programmed activities per
16	week which	n is equivalent to one day per week.
17	Q.	Did you find that that was sufficient for your
18	safeguardi	ng role?
19	Α.	Yes, it was.
20	Q.	In terms of the safeguarding structure at the
21	Countess of	of Chester, Alison Kelly was, as we understand
22	it, the Exec	cutive lead for safeguarding?
23	Α.	That's right.
24	Q.	Just help us to understand, that isn't a role
25	named in s	statutory guidance unlike yours. Who had
		186
1	А.	No, I didn't.
1 2	A. Q.	No, I didn't. We have heard that other roles did have
	Q.	,
2	Q . one-to-one	We have heard that other roles did have
2 3	Q . one-to-one	We have heard that other roles did have meetings with their line manager. Why didn't
2 3 4	Q. one-to-one you have c A.	We have heard that other roles did have meetings with their line manager. Why didn't one-to-ones with Alison Kelly?
2 3 4 5	Q. one-to-one you have c A.	We have heard that other roles did have meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy
2 3 4 5 6	Q. one-to-one you have o A. Board mee	We have heard that other roles did have e meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy stings but we never had one-to-one
2 3 4 5 6 7	Q. one-to-one you have o A. Board mee Q. A.	We have heard that other roles did have meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy stings but we never had one-to-one But was that something you ever asked for?
2 3 4 5 6 7 8	Q. one-to-one you have o A. Board mee Q. A. Q.	We have heard that other roles did have meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy stings but we never had one-to-one But was that something you ever asked for? No, I haven't.
2 3 4 5 6 7 8 9	Q. one-to-one you have o A. Board mee Q. A. Q. A.	We have heard that other roles did have meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy etings but we never had one-to-one But was that something you ever asked for? No, I haven't. Why not?
2 3 4 5 6 7 8 9 10	Q. one-to-one you have o A. Board mee Q. A. Q. A. through Dr	We have heard that other roles did have meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy stings but we never had one-to-one But was that something you ever asked for? No, I haven't. Why not? I tend to have safeguarding supervision
2 4 5 6 7 8 9 10 11	Q. one-to-one you have o A. Board mee Q. A. Q. A. through Dr and it's mo	We have heard that other roles did have e meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy stings but we never had one-to-one But was that something you ever asked for? No, I haven't. Why not? I tend to have safeguarding supervision Mittal, designated doctor for safeguarding
2 3 4 5 6 7 8 9 10 11 12	Q. one-to-one you have of A. Board mee Q. A. Q. A. through Dr and it's mot	We have heard that other roles did have meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy etings but we never had one-to-one But was that something you ever asked for? No, I haven't. Why not? I tend to have safeguarding supervision Mittal, designated doctor for safeguarding re around the medical aspects of the service
2 3 4 5 6 7 8 9 10 11 12 13	Q. one-to-one you have o A. Board mee Q. A. Q. A. through Dr and it's mo and it's not I don	We have heard that other roles did have e meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy stings but we never had one-to-one But was that something you ever asked for? No, I haven't. Why not? I tend to have safeguarding supervision Mittal, designated doctor for safeguarding re around the medical aspects of the service is something that she has offered me either.
2 3 4 5 6 7 8 9 10 11 12 13 14	Q. one-to-one you have o A. Board mee Q. A. Q. A. through Dr and it's mo and it's not I don	We have heard that other roles did have meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy stings but we never had one-to-one But was that something you ever asked for? No, I haven't. Why not? I tend to have safeguarding supervision Mittal, designated doctor for safeguarding re around the medical aspects of the service is something that she has offered me either. I' think there was a close relationship
2 3 4 5 6 7 8 9 10 11 12 13 14 15	Q. one-to-one you have of A. Board mee Q. A. Q. A. through Dr and it's mo and it's mo and it's not I don' between us Q.	We have heard that other roles did have e meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy stings but we never had one-to-one But was that something you ever asked for? No, I haven't. Why not? I tend to have safeguarding supervision Mittal, designated doctor for safeguarding re around the medical aspects of the service is something that she has offered me either. It think there was a close relationship is in that sense.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	Q. one-to-one you have of A. Board mee Q. A. Q. A. through Dr and it's mot and it's mot and it's not I don' between us Q. I mean, the	We have heard that other roles did have meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy etings but we never had one-to-one But was that something you ever asked for? No, I haven't. Why not? I tend to have safeguarding supervision Mittal, designated doctor for safeguarding re around the medical aspects of the service is something that she has offered me either. I't think there was a close relationship is in that sense. Can I just ask a direct question then.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	Q. one-to-one you have of A. Board mee Q. A. Q. A. through Dr and it's not and it's not of dom between us Q. I mean, the Is that a eu	We have heard that other roles did have meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy stings but we never had one-to-one But was that something you ever asked for? No, I haven't. Why not? I tend to have safeguarding supervision Mittal, designated doctor for safeguarding re around the medical aspects of the service is something that she has offered me either. I' think there was a close relationship is in that sense. Can I just ask a direct question then. are wasn't a close relationship between us.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	Q. one-to-one you have of A. Board mee Q. A. Q. A. through Dr and it's mo and it's mo and it's not I don' between us Q. I mean, the Is that a eu not get on	We have heard that other roles did have meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy stings but we never had one-to-one But was that something you ever asked for? No, I haven't. Why not? I tend to have safeguarding supervision Mittal, designated doctor for safeguarding re around the medical aspects of the service something that she has offered me either. I' think there was a close relationship is in that sense. Can I just ask a direct question then. ere wasn't a close relationship between us. uphemism for the fact that the two of you did
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19	Q. one-to-one you have of A. Board mee Q. A. Q. A. through Dr and it's mot and it's mot and it's mot and it's not I don' between us Q. I mean, the Is that a eu not get on A.	We have heard that other roles did have meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy stings but we never had one-to-one But was that something you ever asked for? No, I haven't. Why not? I tend to have safeguarding supervision Mittal, designated doctor for safeguarding re around the medical aspects of the service something that she has offered me either. It think there was a close relationship is in that sense. Can I just ask a direct question then. ere wasn't a close relationship between us. uphemism for the fact that the two of you did professionally?
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	Q. one-to-one you have of A. Board mee Q. A. Q. A. through Dr and it's mot and it's mot and it's not I don' between us Q. I mean, the Is that a eu not get on A. the Safegu	We have heard that other roles did have meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy stings but we never had one-to-one But was that something you ever asked for? No, I haven't. Why not? I tend to have safeguarding supervision Mittal, designated doctor for safeguarding re around the medical aspects of the service something that she has offered me either. I't think there was a close relationship is in that sense. Can I just ask a direct question then. ere wasn't a close relationship between us. uphemism for the fact that the two of you did professionally? No, no, we just didn't meet we only met in
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	Q. one-to-one you have of A. Board mee Q. A. Q. A. through Dr and it's mo and it's not I don' between us Q. I mean, the Is that a eu not get on A. the Safegu Q.	We have heard that other roles did have meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy stings but we never had one-to-one But was that something you ever asked for? No, I haven't. Why not? I tend to have safeguarding supervision Mittal, designated doctor for safeguarding re around the medical aspects of the service is something that she has offered me either. It think there was a close relationship is in that sense. Can I just ask a direct question then. ere wasn't a close relationship between us. uphemism for the fact that the two of you did professionally? No, no, we just didn't meet we only met in larding Strategy Board meeting.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. one-to-one you have of A. Board mee Q. A. Q. A. through Dr and it's mo and it's not I don' between us Q. I mean, the Is that a eu not get on A. the Safegu Q.	We have heard that other roles did have meetings with their line manager. Why didn't one-to-ones with Alison Kelly? I only met her in the Safeguarding Strategy stings but we never had one-to-one But was that something you ever asked for? No, I haven't. Why not? I tend to have safeguarding supervision Mittal, designated doctor for safeguarding re around the medical aspects of the service something that she has offered me either. I' think there was a close relationship is in that sense. Can I just ask a direct question then. ere wasn't a close relationship between us. uphemism for the fact that the two of you did professionally? No, no, we just didn't meet we only met in larding Strategy Board meeting. If Alison Kelly became aware of a safeguarding

1 I was there. 2 Q. I understand that but you are the named 3 doctor? 4 Α. Yes 5 You are the person who is charged with in the Q. 6 statutory guidance to ensure that the Countess meets its 7 safeguarding responsibility. Doesn't that mean that you 8 should know about every safeguarding issue within the 9 hospital? 10 Α. I expect to know, yes, especially things that would be within my role. 11 I am not suggesting anything that Alison Kelly 12 Q. 13 came across, but if it is a safeguarding issue or potentially a safeguarding issue --14 15 Α. Yes. 16 Q. -- although she may be senior to you in 17 management terms, the responsibility sits with you, doesn't it? 18 19 Α. Yes. 20 Q. And therefore you should be told everything, shouldn't you? 21 22 Α. Yes, I couldn't possibly manage everything on 23 my own because that's why we have safeguarding nurses and they deal with other issues as well. But yes, 24 25 definitely we should have been discussed serious things, 189 1 the safeguarding circuit as an opportunity to remind 2 safeguarders that they needed to be looking inward towards members of staff as well as outward? 3 4 Α. No, this is -- wasn't included in any 5 safeguarding training. It was my knowledge through 6 these cases was through the media. 7 Q. We are going to look now at Working Together. 8 INQ0014575 and we are just going to look at four pages, 9 we will start at page 2 and just run through with you, if we may, Dr Isaac, how we get to page 54, so 52 first. 10 11 So we can see this is under the chapter "Organisational responsibilities" and this is really 12 just so that we can track through how we get to 13 14 an important part of this document. It begins by saying at paragraph 3 that section 11 places a duty on, among 15 other things, NHS organisations; do you see that? 16 17 Α. Yes. 18 Q. If we go over the page, we will see that paragraph 4 says: these organisations should have in 19 place arrangements that reflect importance of 20 safeguarding and promoting welfare of children. Then it 21 22 gives a list of effectively what, among others, NHS 23 organisations should have. 24 Now, one of those, we can pause briefly on, is the third bullet point from the bottom which is a designated 25

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1 yes. 2 Q. We are going to have a look at three policies 3 in a moment just briefly, but before we get to that, as 4 part of your safeguarding training and no doubt your wider medical training, were you aware of previous 5 6 situations where a member of staff had harmed patients 7 deliberately? 8 Α. Within the Trust? No, not within the Trust within the NHS. I am 9 Q. 10 here thinking about Harold Shipman, Beverley Allitt, 11 Colin Norris, Victorino Chua? Α. Yes. 12 13 Q. Were you aware of all four of those cases? 14 I was aware of Harold Shipman and Α. Beverley Allitt. 15 16 Q. Does it follow from that that you weren't 17 aware that a nurse at a hospital not too far from the Countess of Chester was sentenced in May of 2015 for 18 19 murdering two patients with insulin and poisoning 20 others; did you know about that? 21 I have -- I have -- I have heard about it in Α. 22 Stockport, yes. 23 Q. Yes. 24 Α. I have heard about it but not in detail. 25 O. So was that not a case that was circulated on 190 1 professional lead for safeguarding. And here that's 2 referring to a role such as you had, the named 3 doctor role; is that right? 4 Α. Yes, for health providers it is named doctors, 5 yes, named professionals. 6 Yes, and we see that in the brackets. O. 7 There is one other matter that appears under that 8 heading at 4 of what organisations should have, it is 9 just over the page, we have looked at it many times but I would like to look at it with you: 10 11 "NHS organisations should have clear policies in line with those from the local Safeguarding Children's 12 Board for dealing with allegations against people who 13 14 work with children." 15 There is then a list of three bullet points of the way in which an allegation against an individual might 16 17 be framed. 18 The language, as we have observed many times, is "may" or "possibly" or "may pose a risk". Did that 19 20 accord with your understanding at the time in 2015/16/17 of the way in which safeguarding allegations should be 21 thought about? 22

- 23 **A.** Yes.
- 24 **Q**. Now, just reflecting back on it. Did the
- 25 Countess of Chester have a clear policies in line with 192

Α.

Q.

INQ0007918.

Α.

Q.

Α.

Q.

Α.

Q.

Α.

Q.

Α.

Q. clear policy --Α.

Q.

Α.

Q.

Α.

Q.

Α.

Q.

Α.

Q.

Α.

Q.

centre:

That's correct.

Right, okay.

Safeguarding Procedures?

Yes.

Yes

Thank you, we can take down Working Together.

The next policy we are going to look at is the

Cheshire West and Chester Council Interagency Policy,

It is the Threshold for Initiating

It is an interagency document?

taken place is not always a straightforward matter,

A judgment will be required about whether an act or omission has caused significant harm. The multi-agency

arrangements for responding to concerns exist to

establish whether or not abuse has occurred. It is very

important that these arrangements [and it talks about

the discussion and the meeting at a strategy level] are 194

Talking about with regards to?

abuse is suspected, if an allegation is made?

spoken about as being put up on the walls?

policy, this part of it appears to focus upon a starting

dealt adequately with the thinking that perhaps it could

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position of when a child is suspected to have been abused is admitted to the hospital; do you see that?

-- at the local level about what it do if

Is this the process, is my question?

Yes, there's a flowchart as well about how to

When we look at what's contained within the

So it seems to start from an assumption that

I mean, do you think that the Countess' policy

Is that the flowchart that we have heard

Working Together says there needs to be a very

particularly when concerns relate to neglect.

that is contained within this policy?

Yes

Yes.

escalate and what to do.

Yes

Yes.

Yes

the suspected abuse is from outside?

If we look at the large paragraph in the

"Determining whether or not abuse of a person has

Now, is this a document that you are familiar with?

No, is this part of the LSCB, is it on the --This is Cheshire West and Chester Council.

1	the local safeguarding children's board in terms of how	1
2	such allegations should be dealt with?	2
3	A. Yes, it was written in the safeguarding policy	3
4	and welfare of children.	4
5	Q. So we are going to get that that in just	5
6	a moment; that is where the clear policy is to be found,	6
7 8	is it? A. Yes.	7
		8 9
9 10	Q. Before we get to that, one final element of this just to bookmark, page 57. We can see the third	9 10
10	and final bullet point on this page, that:	10
12	"A named doctor should be identified as well as	12
13	a named nurse."	12
14	Then four lines up from the bottom five lines up	13
15	from the bottom:	15
16	"Named professionals have a key role in promoting	16
17	good professional practice within their organisation,	10
18	providing advice and expertise for fellow professionals	18
19	and ensuring safeguarding training is in place."	19
20	So did it form part of your role to give advice	20
21	from a safeguarding perspective to your colleagues	21
22	within the hospital?	22
23	A. Yes, it was.	23
24	Q. That's a role that you have by virtue of being	24
25	a named doctor?	25
	193	
1	triggered if there is a possibility that abuse has	1
2	occurred."	2
3	So again summarising that: was it your	3
4	understanding that whether or not abuse had occurred is	4
5	a matter for the multi-agency response. What's	5
6	important is that if there is a concern it is brought	6
7	forward to the multi-agency level?	7
8	A. Yes.	8
9	Q . So the final policy to look at is the Countess	9
10	policy, INQ0014165. If we look at page 3, I am sure you	10
11	can tell us as that comes up that there seemed to be	11
12	a number of fairly similar version of this document from	12
13	around this time that we have invited you to consider	13
14	ahead of time.	14
15	Do you know why the policy appears to have been	15
16	amended and updated a number of times?	16
17	A. I don't know but usually it's every couple of	17
18	years, so I'm not sure why there are so many versions of	18
19	it.	19
20	Q. Can you confirm that there are a number of	20
21	versions with, for example, this September 2015 date as	21
22	we see in the centre of page 3?	22
23	A. Yes.	23
24 25	Q. So if we go to page 11, is this series of bullet points the process that you were talking about	24
	DOMEL DOMIS THE DIOCESS THAT YOU WERE TAIKING ADOUT	25

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be a member of staff who was responsible for harm?

(49) Pages 193 - 196

1	A. Th	ere is a section another section as well	1	А.	We
2	about the whi	stleblowing policy.	2	probability	/ and
3	Q . Ye	S.	3	we don't h	nave
4	A. Ify	ou suspect there is a member of staff who	4	have a de	gree
5	are concerned	. But over here, I think we are looking at	5	Q.	Yes
6	the common s	cenarios, this is a very uncommon scenario,	6	that you a	re rig
7	this is very rai	e and when we are looking at this policy	7	than not th	hat th
8	it was looking	into most of the cases that we do get are	8	a lesser d	egre
9	children who	come in with bruises or injuries and as	9	Α.	We
10	paediatricians	or nurses or medical staff or clinical	10	when in th	nis pa
11	staff we have	to deal and we suspect abuse and it's	11	coming fro	om o
12	never been, n	ever you never for certain in child	12	yes or no	on b
13	protection, the	ere is no certainty and it's all on the	13	are differe	ent ba
14		bability and suspicion. And this is the	14	So it	t, it's
15	commonest se	cenario that we do get.	15	produce o	our re
16		as just going to ask about that because the	16	probability	/ we
17	phrase "balan	ce of probability" you use in your witness	17	Q.	Wh
18		alance of probability ordinarily means more	18	to making	a fir
19	likely than not	, or greater than 50% chance, whereas	19	abuse or r	negle
20	"suspicion gei	nerally" or "possibility" or "may" allows	20	is that what	at yo
21	for a lesser de	egree of certainty?	21	А.	Yes
22	A. (Ne	ods)	22	Q.	But
23	Q. WI	nich did you think was the correct level of	23	Α.	Yes
24	test that need	ed to be applied before you had	24	Q.	ra
25	a safeguardin	g concern that you had to respond to? 197	25	safeguard	ling t
1	potentially tak	e it to the LADO, that doesn't require	1	called if th	nere
2	balance of pro	babilities at that stage, does it?	2	which was	s em
3	A. No	, you have concerns, yes.	3	Α.	Yes
4	Q . No	w, we have heard already about the	4	Q.	So
5	Safeguarding	Strategy Board which as we understand it is	5	a strategy	boa
6	a board that r	eported to QSPEC; is that right?	6	serious ty	pe, a
7	A. Re	ported to?	7	also discu	iss th
8	Q . To	the QSPEC meeting, the Quality Safety	8	Α.	lt c
9	Patient Experi	ence Committee?	9	it on a one	e-to-
10	A. (Ne	ods) I didn't attend that committee.	10	group.	
11	Q . We	ell, let's have a look at the document behind	11	Q.	No
12	it, INQ010262	0. Now, when you say "I didn't" as that	12	a particula	ar Sa
13	is coming up,	you didn't attend QSPEC but did you attend	13	it ask you	briet
14	the Safeguard	ling Strategy Board?	14	has heard	l a lo
15	A. Ye	S.	15	I want to t	ake
16	Q . So	if, as we bring that up, we have looked at	16	Now	, this
17	this already, v	e don't need to go over what we have	17	see that to	owar
18	already looke	d at, it starts at page 16 within this	18	Α.	(Nc
19	document. Th	nere's just one part of it that I wanted to	19	Q.	То
20	ask you about	, which is at page 19.	20	Α.	As
21	We can	see there under "frequency of meetings",	21	with Paula	a Lev
22	there is a prov	vision within this policy for an emergency	22	was speci	ficall
23	meeting, do y	ou see that?	23	generic tra	ainin
24	A. Ye	S.	24	Q.	The
25	Q . No	w, presumably an emergency meeting might be	25	looked at	it on

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e get safeguarding concerns and we assess the d we will -- with regards to escalation, e to be certain to escalate but we have to e of suspicion.

es, but do you need to have so much suspicion

ight at the start saying it's more likely

this is the explanation or can you have

ee of suspicion?

e can have a lesser degree of suspicion. And particular case when you get somebody

outside, you will see all cases and decide

- balance of probability less likely, there
- balances, or more likely or probable.

s -- we would assess and then when we

report we will say on the balance of e think this is likely or unlikely, yes.

hen you get to the back end of the process as

- inding as to whether or not there has been
- lect, then you are applying that standard,
- ou are saying?

es.

- ut at the start of the process in order to -
 - es.

raise it with safeguarding and for

- to say this requires an investigation, 198
- was a very serious safeguarding concern

nerging at the hospital?

es.

o does it follow that although this is

ard for safeguarding concerns of the most as in a particular case this board could that?

can, but I would expect to have discussed -one before bringing it to such a large

ow, we will come back in a moment to

afeguarding Strategy Meeting, I just want

efly about training. Again the Inquiry

ot about training. There's one document

you to, INQ0108344.

- is has got your name attached to it. Do you
- ards the top?
 - lods)

whom were you providing this training?

- s far as I remember, I was doing it jointly
- wis to hospital staff. I don't think it
- Illy for medical staff, I think it was
- ng.
 - nere is only one slide -- and we have already
- nce today -- I would like you to look at,

page 74. This appears to be, if you like, the sign-off 1 1 2 slide, the closing message, the "if you take away one 2 3 thing from this talk, it is this", is that fair? 3 4 Α. Yes. 4 5 That is how you are signing off to everybody? Q. 5 6 Α. Yes. 6 7 Q. That it's everybody's business, if you have 7 8 suspicions pick up the phone? 8 9 9 Α. Yes 10 Presumably when you are telling all the people 10 Q. you are training: pick up the phone, you are telling 11 11 them: pick up the phone to me or my colleagues in the 12 12 13 safeguarding department? 13 14 Α. Yes 14 15 Q. Is that right? 15 16 Α. Yes. 16 17 Q. When you provided training, was that the sort 17 of message that you were giving at the end of every 18 18 19 presentation that you made? 19 20 Yes, it's -- to raise awareness of 20 Α. 21 safeguarding is everybody's business. 21 22 Q. Thank you. We can take that down. We are 22 23 just going to look at somebody else's slideshow here. 23 INQ0043309 and I think you heard questions after your 24 24 25 colleague earlier this afternoon, so you will know the 25 201 1 individual in this case a member of staff, they need to 1 2 be suspended? 2 3 Α. Yes. 3 4 Q. Is that the sort of advice that you would have 4 5 been prepared to give back in 2016/7 if someone had 5 6 brought such a concern to you? 6 7 7 Α. Yes. 8 Q. We can see early disbelief must be 8 9 an explanation. 9 Did you have any experience of allegations being 10 10 made against a member of staff and the reaction of 11 11 people around as to whether it may or may not be true? 12 12 Yes, I think that is a very natural reaction; 13 Α. 13 14 that people don't think of somebody caring for children 14 would harm children and especially when you think what's 15 15 the motive. So yes, of course people will resist and 16 16 17 will think there must be some other explanation. 17 18 So that is the staff and colleagues. 18 Q. Is it important for the safeguarders to think 19 19 20 a little more clearly about it though? 20 21 Yes, and we have been taught to think the Α. 21 22 unthinkable because the same thing happens when you get 22 23 a parent coming with a child and a person doesn't want 23 24 to think that the parent could be harming the child 24 because that's not natural, but we were taught you have 25 25

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document we are going to have a look at, it is at page 102. It formed part of a pack for a Safeguarding Strategy Meeting in February of 2016 and it would appear that your colleagues in Cambridgeshire had provided this slideshow which they were circulating about an experience that they had had. Do you have a recollection of having seen this slide which forms part of about a 10-slide presentation? No, I can't remember. Α. It's provided to the Safeguarding Strategy Q. Meeting as part of the material for that meeting. I mean, was there an expectation that you would read all of the enclosures for a meeting? Α. Yes. Q. So if it forms part of the enclosures, would you expect that you did read this at the time? Α. Yes, I would try my best, yes. In terms of good practice, we can see Q. "escalation and early action in accordance with the safeguarding policy and immediate suspension"; presumably you would regard that as a very important albeit neutral step in a safeguarding investigation? Α. (Nods) Q. That the moment there is concern about an 202 to think the unthinkable. Thank you and we can obviously see in this Q. case that there was police support from the very beginning. In the case of an allegation of a crime, would you expect the police to be involved at the very start? Α. I would. Q. Thank you. We can take that down now. So we are going to turn now to events at the Countess. The first thing is just to understand your geographical situation. We have heard from Dr Holt that you and she shared an office; is that right? Α. Yes, Dr Holt was a new Consultant so she came in later, maybe when I was reading through the document, it was towards the end of the process. Q. So it was March 2016, I think that she --Α. Yes. Q. -- joined? Α. Yes Q. Dr Holt replaced Dr Newby. Did you share an office with Dr Newby before Dr Holt started or did you

- 22 just have your own office?
- A. No, I didn't share an office with Dr Newby.
- 4 **Q.** Did you share it with any of the consultant
- 25 paediatricians before Dr Holt?

1	A. Yes, I did.
2	Q. Who did you share it with? Do you want to
3	just check the cipher list to make sure if
4	A. Yes, it is V something. ZA.
5	Q. Dr ZA.
6	A. Yes.
7	Q. So that position, did that apply up until
8	March of 2016 when Dr Holt arrived?
9	A. Yes.
10	Q. So in the period that you were sharing an
11 12	office with Dr ZA, did you become aware of the increase
12	in mortality on the neonatal unit? A. can't remember the exact date when came
13 14	A. I can't remember the exact date when I came aware of the increased mortality, but it was late and
14	I definitely wasn't aware of the concerns of my
16	colleagues until I met with Steve Brearey and his
17	appraisal and that was the time when I was really aware
18	of what was going on but before that I I wasn't
19	really I may possibly have known about the mortality
20	because there was the Royal College review, so
21	I probably knew at that point. But I can't pinpoint
22	a specific date
23	Q . But my question was directed to the period
24	before March 2016 just thinking about the time that you
25	were sharing an office with Dr ZA and whether you ever
	205
1	point during that time I don't know how much they
2	were aware either because, you know, it was an evolving
2 3	were aware either because, you know, it was an evolving picture.
2 3 4	were aware either because, you know, it was an evolving picture. Q. The Inquiry heard a great deal of evidence
2 3 4 5	were aware either because, you know, it was an evolving picture. Q. The Inquiry heard a great deal of evidence from them. From your point of view. In the event that
2 3 4 5 6	were aware either because, you know, it was an evolving picture. Q. The Inquiry heard a great deal of evidence from them. From your point of view. In the event that your Consultant colleagues were discussing a particular
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1	spoke to her about it or whether she ever mentioned in
2	passing to you that there had been an increased number
3	of deaths; do you have any recollection of that at all?
4	A. No, I wasn't aware.
5	Q. Once Dr Holt joined, but before June of 2016,
6	do you have any recollection of hearing about it from
7	Dr Holt?
8	A. No, I don't.
9	Q. Looking back on it, does it surprise you that
10	that wasn't bearing in mind that you are sharing an
11	office with people who are experiencing that every day
12	in terms of the thoughts about it, the discussions that
13	they have told us they were having that nobody mentioned
14	it to you?
15	A. I we all we were all in a corridor, we
16	had offices in a corridor and there was lots of doctors
17	in that corridor, we all shared offices there. I think
18	there were five offices and I possibly heard a baby
19	died, heard something like that, but not heard the full
20	story, not wasn't aware about the full extent of
21	their concerns and their suspicions.
22	You know, they discuss cases all the time, they
23	discuss, you know, issues, they will stand in the
24	corridor and talk but I wasn't aware of of the degree
25	of their concerns. It I don't think they at that
	206
	206
	200
1	any of those what were very large meetings, we have
1 2	
	any of those what were very large meetings, we have
2	any of those what were very large meetings, we have heard 36 people in one room. Should safeguarding have
2 3	any of those what were very large meetings, we have heard 36 people in one room. Should safeguarding have been invited to that to those meetings?
2 3 4	any of those what were very large meetings, we have heard 36 people in one room. Should safeguarding have been invited to that to those meetings? A. Which meetings?
2 3 4 5	 any of those what were very large meetings, we have heard 36 people in one room. Should safeguarding have been invited to that to those meetings? A. Which meetings? Q. The Silver Command meetings. There was
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1	A. Right, okay.
2	Q. Should you have been told about that?
3	A. Yes.
4	Q. Now, you were interviewed by the Royal College
5	on 1 September?
6	A. (Nods)
7	Q. In the morning, Dr Jayaram and Dr Brearey,
8	with support of the other five paediatric Consultants,
9	had told the reviewers about their concerns that Letby
10	may be deliberately harming babies.
11	In your meeting with the Royal College that
12	afternoon, were you told about those concerns?
13	A. No.
14	Q. Should you have been told?
15	A. Yes.
16	Q. In fact, if we just have a look at one perhaps
17	striking example, INQ0014604, page 25. These are notes
18	taken by the Royal College as part of their review.
19	Do you see where it says the word "TOM"? In fact
20	we have established that should say "team", that's just
21	a mistranscription.
22	This is the lunch time meeting of the reviewers.
23	They spent part of the lunch discussing ways in which
24	deaths may have been caused deliberately. You can see
25	there "INS", "INS". We are not going to go into the
	209
1	Q. What did he tell you in that appraisal about
1 2	Q. What did he tell you in that appraisal about the concerns that he had?
2	the concerns that he had?
2 3	the concerns that he had?A. So I went back for the purpose of this to
2 3 4	the concerns that he had?A. So I went back for the purpose of this to read I remember that he told me about the increase in
2 3 4 5	 the concerns that he had? A. So I went back for the purpose of this to read I remember that he told me about the increase in mortality rate going up to 13 babies from being 1 to 3
2 3 4 5 6	 the concerns that he had? A. So I went back for the purpose of this to read I remember that he told me about the increase in mortality rate going up to 13 babies from being 1 to 3 and that this nurse was on duty every time a baby died
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1	detail of that. It should in fact say "insulin
2	injection" or "air embolism". So they were discussing
3	that at lunchtime.
4	Can you that the very next thing that they record
5	doing under that line is that they came to speak to you?
6	A. They came to speak to me?
7	Q. If we look, we can see that after they'd had
8	that lunchtime discussion they met with you and with
9	other safeguarding colleagues?
10	A. Yes, yes, a joint meeting.
11	Q. Yes, in the joint meeting.
12	A. Yes.
13	Q. Should they have told you about their
14	discussion that they'd had at lunchtime bearing in mind
15	your safeguarding role?
16	A. Yes, yes, but that was not mentioned at all in
17	our meeting. It was all general safeguarding policies
18	and procedures in the Trust.
19	Q. Thank you. We can take that down.
20	So we are just going to spend a moment or two
21	looking at your appraisal with Dr Brearey. You have
22	told us that that took place on 22 November 2016 and you
23	weren't meeting him as a safeguarder, but as
24	a professional colleague conducting his appraisal?
25	A. (Nods)
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1	safeguarding and I suspect that's why my colleagues
2	haven't approached me as a safeguarding lead.
3	The typical safeguarding scenario, like you pointed
4	out, is a child coming through the door with bruises or
5	injuries and you deal with that as a clear safeguarding
6	case. But a member of staff causing harm to babies and
7	murdering babies was thought to be like more of a crime
8	that would require police investigation.
9	Q . You
10	A. My colleagues are all very experienced in
11	safeguarding and none of them had come to the
12	safeguarding team and I I suspect, obviously I am not
13	talking on their behalf, but I suspect it's because it
14	wasn't as clear-cut as what we think now.
15	Q . But you have
16	A. Another another thing I would like to point
17	as well is at the time when I knew about it, this had
18	already been escalated to the highest level. The senior
19	management, the top, you know, in the hospital were
20	aware of it and Lucy Letby had already been removed from
21	the neonatal unit.
22	So when we talk about safeguarding, we talk about
23	children who are still alive and we are safeguarding
24	them. But in this case, she had been already removed,

25 the children were safe in the unit and we were dealing 212

(53) Pages 209 - 212

with child death and that's a different process to the 1 Q. 1 2 2 safeguarding. Α. 3 But by the end of November of 2016, plans were 3 Q. 4 afoot to return Letby to the ward. Doesn't that just 4 back me up. 5 demonstrate why you should have intervened at that 5 Q. 6 stage? 6 7 Yes. If she were to be returned to the ward, 7 ward? Α. 8 8 I definitely would have escalated it. Α. 9 9 Q. Q. But you didn't know unless you went and found 10 out what was going on and what the plan was? 10 But I was -- you know, I -- I had talks with 11 11 Α. Α. Dr Brearey at the time and -- and I was waiting to see 12 12 what would come out of the reports because you say you 13 13 don't have to be sure to raise the alarm bells, which is 14 14 concerns correct, if you have suspicions, that's correct. But 15 15 16 when you are making a referral you want to give a good 16 17 quality referral. 17 18 And a lot of people were saying at the time and 18 19 would say, "This is just a coincidence, you can't blame 19 20 a member of staff because of rise of mortality. You 20 need to look into medical causes first. You need to 21 21 look into competencies. There could be other 22 22 23 possibilities." 23 24 But now, with the benefit of hindsight, we know 24 that she was guilty but at the time we didn't. 25 25 213 1 Q. But bearing in mind what you have told us 1 Q. 2 about the fact that any serious safeguarding concern, 2 even if it's communicated to Alison Kelly, should be 3 3 4 given to you, were you not concerned that there had been 4 that. 5 5 a failure here to notify you about this? 6 Α. Yes. As a safeguarding lead, like I say 6 was? 7 7 Dr Brearey, when he spoke to me, he wasn't referring to Α. 8 me for safeguarding -- to -- for a safeguarding process 8 9 to be followed. 9 10 He was telling me this as part of his appraisal. 10 11 If somebody tells you a safeguarding concern 11 Q. in any context, you have a responsibility to act upon 12 12 13 it, don't you? 13 Q. 14 Α. Yes, definitely. Yes. 14 And looking back on it, do you think that in 15 Q. 15 fact at that moment, in November, you should have 16 16 17 immediately contacted Alison Kelly and said, "I'm the 17 that letter? named doctor. I need to know what's going on here"? 18 18 Α. earlier. 19 Α. Yes, and -- and that's why I sort of wrote 19 20 a letter to Alison Kelly and to express concerns about 20 Q. safeguarding the children. 21 21 22 22 However, I didn't send that letter. I was waiting to page 22. 23 for more evidence from the reviews. I knew that the 23 24 babies were safe and that Lucy Letby was working in 24 a clinical -- in a non-clinical area. 25 25

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But --And -- and it's that sort of information I was waiting for so that to escalate I would have that to Well, did you ask Dr Brearey what his Consultant colleagues thought in paediatrics on the What they thought about ...? Yes, whether they agreed with him, whether they were worried? Dr Brearey told me that they, he -- they were worried, that Dr Jayaram and himself were regarded as troublemakers because of escalating this or raising He was the head of the department, so he was talking on behalf of everybody else. So he -- I don't know if the rest were worried or not, but I know that, you know, I -- that he was, he was sincere in what he was saying and I'm not saying that in any way he was, he was wrong. No, on the contrary, he stood up for the children. What he did was right. And they had already escalated it and they had already gone up to the chief Exec and the Medical Director and they had -- weren't listening to them. 214 Well, by January, it had been resolved at board level to seek to return her to the ward. You hadn't asked any questions, so you couldn't have known Didn't you need to find out about what the plan Yes, but I -- I knew that this is a possibility and I would have escalated it if that were to happen. In retrospect now, with the benefit of hindsight, I, you know, I do wish I had sent that letter sooner and I wish I had escalated it as soon as Dr Brearey told me about this issue. Well, in fact you typed the letter on 7 February of 2017, so that was, well, over two months later. I mean, do you think that that was an acceptable period of time to leave it before you started writing No. In hindsight, I should have escalated it

Well, let's have a look at what was in your

- mind on 7 February, INQ0102620, and we will need to go
- So it begins with you making clear the capacity
- that you are writing in and that was entirely
- appropriate for you to do so, wasn't it? You were 216

1	perfectly entitled to write to an Executive Director in	1
2	those terms because this is your responsibility, isn't	2
3	it?	3
4	A. Yes.	4
5	Q. And you are enquiring about the outcome of the	5
6	investigations. So at this stage all you are trying to	6
7	do is find out what's happening?	7
8	A. Mmm mm.	8
9	Q. And you pose two questions, which include	9
10	whether the reason has been identified at the second one	10
11	and if there has been an increase in risk to babies on	11
12	the neonatal unit and you conclude by saying:	12
13	"If these questions cannot be answered, then	13
14	further investigations may be warranted to ensure that	14
15	we are safeguarding children in our care and to ensure	15
16	we can protect our babies from future risk."	16
17	A. Yes.	17
18	Q. Now, all you are doing is asking questions at	18
19	this stage, aren't you?	19
20	A. Yes.	20
21	Q. Why didn't you send this letter?	21
22	A. I was debating whether to send it or not and	22
23	then I knew the investigation has happened, there was an	23
24	investigation, we are going to get a review, and if we	24
25	get a review and these deaths are confirmed as	25
	217	
1	return her to the ward and a date for that was set by	1
1 2	return her to the ward and a date for that was set by the Executives? Did you know that?	1
2	the Executives? Did you know that?	2
2 3	the Executives? Did you know that? A. No, I didn't. I knew there was talks about	2 3
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- 1 unexplained, unexpected -- they are not due to medical
- 2 causes -- then we -- there is more evidence to escalate
- it higher and there will be a stronger basis for doing this
- And the review had already happened. We were just
- 6 waiting for the report, so it's, you know -- and the
- 7 babies were safe, as far as I knew, at that point in
- 8 time. I would have known if they -- if Lucy Letby were
- 9 to go back because I was in contact with Steve at that0 point.
- 1 So once, you know -- I didn't know that they were
- 12 withholding the neonatal case review report. I wasn't
- 13 aware of that until I read all the emails, but
- 14 I expected that as soon as that review was done the
- 15 report would be available and I didn't know that was
- 16 going to be delayed and that it was being withheld.
- 17 **Q.** You say you would have known if Letby was
- 18 returned to the ward. It would be too late once she was
- 19 actually returned to the ward, wasn't it? You would
- 20 need to know at the point that the decision was being
- 21 made --
 - A. Yes.Q. -- so that you could have an input in it?

 - A. Yes.
- 5 **Q.** Did you know that in fact it was decided to 218
- talking from November through to the time we know the police became involved at the end of April -- did it occur to you to think: I really must make sure that the Local Children's Safeguarding Board is aware? So that is what I was trying to do by sending Α. the letter to Alison Kelly because I would expect to discuss it with her first before escalating to the local Safeguarding Board or to LADO. That would be a discussion. I wasn't -- I didn't know at that time. I think she knew about everything all along but at that time, I didn't know what she knew and therefore I felt that I should talk to her first before the escalation because that is the process, to go through your safeguarding lead and at least have a discussion there and if she doesn't agree then that's something else you would escalate by a different means. We know you didn't send the letter. Did you Q. speak to Alison Kelly about this before 27 April of 2017? Α. No. Q. Why not? Well, I was sending the letter as a means of Α. opening a discussion but that never happened.
- 25 **Q.** Well, why didn't you send the letter? 220

1	A. Because I was waiting for the neonatal report,	
2	I was waiting	
3	There was also a culture of fear as well. So it's	
4	a very sensitive issue. The Consultants were threatened	
5	to lose their jobs, they were told that a red line is	
6	drawn under this and they didn't want any more	
7	discussions about this and they all didn't know what to	
8	do. So there was sort of I had to take it	
9	sensitively and I wanted more evidence to support me.	
10	Q. I mean, you are the person as the named doctor	
11	to ensure that this organisation meets its safeguarding	
12	responsibilities. Wasn't it your responsibility to	
13	become involved as soon as you could and to stay	
14	involved to make sure that that obligation was	
15	discharged?	
16	A. Yes, I agree, I should have escalated it	
17	earlier.	
18	Q. The second part is the safeguarding report,	
19	INQ0004715. Now, this is for 2016-17.	
20	Now, it's authored by Karen Milne, but presumably	
21 22	it is a document that you will have read and potentially	
22	contributed to as it was being drafted? A. Yes.	
23 24	Q. And we will just look at page 7 first,	
24 25	paragraph 6.3. Described in it, not by any particular	
20	221	
1	You knew that your role was to see past that and to	
2	focus single-mindedly upon the safety of the children.	
2 3	focus single-mindedly upon the safety of the children. Do you think that you did that?	
2 3 4	focus single-mindedly upon the safety of the children. Do you think that you did that? A. No, I should have escalated it earlier,	
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nquir	y 18 November 20
1	reference to any particular event, is the description of
2	the LADO, the local area designated officer the Local
3	Authority Designated Officer.
4	We can see at the second sentence:
5	"The LADO must be contacted within one working day
6	in respect of all cases in which it is alleged that
7	a person who works with children has behaved"
, 8	I mean, that's a perfectly conventional
9 10	understanding from a safeguarding perspective, isn't it? A . Mm-hm.
10	
	Q. And you knew in this case that the LADO had
12	not been contacted within 24 hours of the allegations
13	being raised, didn't you?
14	A. Yes, but it wasn't as clear-cut as this
15	because it they were told that it's a coincidence,
16	it's increased mortality and you can't blame somebody
17	because of statistics. And so it, it wasn't clear
18	allegations, it wasn't initially they were looking
19	for medical causes as well. So it wasn't as clear-cut
20	as where there is a clear allegation or a clear incident
21	and that's why they didn't raise it as safeguarding.
22	Q. Dr Isaac, you have told us that you as
23	a safeguarder had special training to understand that as
24	we saw at Addenbrooke there will be "initial
25	disbelief must be an explanation."
	222
4	any this so
1	anything?
2	A. No, and I can't remember when I read this
3	either.
4	MR DE LA POER: Thank you very much indeed for
5	answering my questions, Dr Isaac. There is one
6	permission, but I don't know whether or not all matters
7	have been I am told there is one short matter.
8	LADY JUSTICE THIRLWALL: Would you like to come
9	forward.
10	Questions by MS WOODS
11	MS WOODS: Thank you, Dr Isaac. My name is
12	Leanne Woods, I am asking some questions on behalf of
13	a group of Families.
14	I have just got one matter I wanted to deal with
15	you, please.
16	I have read your witness statement, others have
17	read your witness statement and I want to ask you about
18	some of the reasons for your inaction after you learnt
19	of Dr Brearey's suspicions and I think also the inaction
20	of others that you have given in your witness statement.
21	So as I read your statement, you are saying,
22	amongst other things: this was unchartered territory,
23	you and others were not clear about what to do. Do you
24	agree with that?
25	A. Yes.
	224

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1	Q. You also said that the various hospital boards				
2	and committees were not suitable for examining these				
3	kinds of issues, including because of the sensitivity of				
4	the matter, is that right?				
5	A. Yes.				
6	Q. Would you also agree that if there is				
7	a suspicion that a healthcare professional may have				
8	committed what was an incredibly serious criminal				
9	offence against babies that Executives are not equipped				
10	to investigate this kind of thing?				
11	A. (Nods)				
12	Q. Just for the record, if you could answer				
13	rather than simply nodding.				
14	A. So I would say, like we discussed previously,				
15	the escalation process would be the Freedom to Speak and				
16	discussion with referral to LADO; that would have been				
17	the right route to follow.				
18	Q. Okay. And part of the reason is that if				
19	people are thinking that there may be these incredibly				
20	serious criminal offences being committed,				
21	Executives/healthcare professionals just don't have the				
22	skills or the equipment to investigate that kind of				
23	thing, do they?				
24	A. No.				
25	Q. Given those factors, do you agree that this				
	225				
1	in those circumstances wouldn't seek to keep the				
2	concerns in-house, would they? They would go out to the				
3	established multi-agency arrangements?				
4	A. Yes.				
5	Q. And that's what should have happened here?				
6	A. Yes. Yes.				
7	MS WOODS: Thank you, my Lady. Thank you,				
8	Dr Isaac.				
9	LADY JUSTICE THIRLWALL: Ms Woods, thank you very				
10	much.				
11	A. Thank you.				
12	LADY JUSTICE THIRLWALL: I have no questions for				
13	you. Thank you very much indeed for coming, Dr Isaac.				
14	A. Thank you.				
15	LADY JUSTICE THIRLWALL: Thank you. So we will				
16	rise now until 10 o'clock tomorrow morning.				
17	Thank you all.				
18	(4.43 pm)				
19	(The Inquiry adjourned until 10.00 am,				
20	on Tuesday, 19 November 2024)				
21					
22					
23					
24					
25					
	007				

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1	is what	was encountered with Letby was exactly the			
2	kind of circumstances where safeguarding professionals				
3	like you and Executives should be looking outside of the				
4	Trust?				
5	Α.	Yes, yes.			
6	Q.	And you should be looking to the local			
7	multi-age	ncy safeguarding arrangements that already			
8	existed a	nd that specialise in responding to and			
9	investigat	ting safeguarding concerns?			
10	Α.	The local sorry?			
11	Q.	The local multi-agency safeguarding			
12	arrangem	ents. So things like, well, we've talked about			
13	the LADC) already?			
14	Α.	Yes, yes.			
15	Q.	The local safeguarding children's board?			
16	Α.	Yes.			
17	Q.	CDOP, if relevant, and of course also the			
18	police, do	o you agree?			
19	Α.	Yes, yes.			
20	Q.	And of course that is what would have happened			
21	if healthc	are professionals or indeed Executives in the			
22	hospital h	ad had concerns that a child was suffering			
23	harm or v	vas at risk of harm at home in the community?			
24	Α.	Yes.			
25	Q.	Because healthcare professionals in the Trust 226			
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