1	Tuesday, 22 October 2024	1	A. As far as I am aware, yes.
2	(10.00 am)	2	<b>Q.</b> I think there is something you wish to say at
3	LADY JUSTICE THIRLWALL: Ms Brown.	3	the start of your evidence?
4	MS BROWN: Yes, if we could call Mrs Peacock,	4	A. Yes, I would just like to extend my sincere
5	please.	5	condolences and sympathies to the Families and parents
6	LADY JUSTICE THIRLWALL: Mrs Peacock, if you would	6	involved in these events.
7	like to come up to the desk, please.	7	<b>Q.</b> Thank you.
8	MRS DEBBIE PEACOCK (affirmed)	8	Turning to your qualifications, you qualified as
9	Questions by MS BROWN	9	a Registered General Nurse and a Registered Sick
10	LADY JUSTICE THIRLWALL: Do sit down.	10	Children's Nurse in 1985 and a midwife in 1987; is that
11	MS BROWN: Could you please give your full name?	11	correct?
12	A. Debbie Peacock.	12	A. It is, yes.
13	<b>Q.</b> You provided a statement to the Inquiry dated	13	<b>Q.</b> You then went on to obtain a law degree in
14	5 June 2024 and I think there's a matter that you wish	14	2001. Is it correct that you then went on to qualify as
15	to correct at paragraph 127?	15	a solicitor?
16	A. Yes. In reference to the risk registers, they	16	A. I did, yes.
17	also went through to the divisional board meetings as	17	<b>Q.</b> When did you qualify as a solicitor?
18	well as QSPEC.	18	A. Oh, I think it was 2010.
19	<b>Q</b> . So that paragraph says that the risk registers	19	<b>Q.</b> You also hold a National General Certificate
20	will be at QSPEC and the addition is that you are now	20	in Occupational Health and Safety that was awarded in
21	adding that they would have been also been discussed at	21	2015?
22	the divisional board?	22	A. Yes.
23	A. Yes.	23	<b>Q.</b> Turning to your career, Mrs Peacock, you
24	<b>Q.</b> Taking into account that correction, is that	24	worked as a qualified nurse from 1985 and also as
25	statement true to the best of your knowledge and belief?	25	a midwife. Over what period did you work as a nurse or
	1		2
1	midwife within the NHS?	1	a neonatal unit or are we talking less than that?
2	A. I was working as a nurse and midwife either	2	<b>A.</b> Probably a little bit more over the years.
3	under contract or on the bank up until probably 2013.	3	<b>Q.</b> Where was the neonatal unit that you worked
4	<b>Q</b> . So over 30 years?	4	on?
5	A. Yes.	5	A. So I worked at Fazakerley Hospital, which is
6	<b>Q.</b> Was that mainly as a nurse or mainly as	6	now Aintree, as a neonatal nurse, I worked at
7	a midwife?	7	Warrington General as a neonatal nurse and I worked at
8	<b>A.</b> Mainly as a midwife and a neonatal nurse.	8	Liverpool Women's.
9	<b>Q.</b> Coming to your period as a neonatal nurse, how	9	<b>Q.</b> And as a neonatal nurse that included working
10	long did you work as a neonatal nurse on a neonatal	10	with babies in intensive care, did it?
11	unit?	11	A. I generally didn't do intensive care, I tended
12	A. There were different periods. I really	12	to stay in the HDUs and the nursery.
13	couldn't quantify that at the moment off the top of my	13	<b>Q.</b> So you were working with special care babies
14	head, sorry.	14	rather than those in intensive care?
15	<b>Q.</b> Just approximately, was it a number of years?	15	A. Yes.
16	A. A number of years, yes.	16	<b>Q.</b> What band nurse were you on the neonatal ward?
17	Q. Roughly what period, obviously you span from	17	A. When I worked at Fazakerley I would have been
18	1985, quite a long period over 30 years?	18	a Band E and then they changed the the gradings. So
19	A. Yes. So when I actually went to do my legal	19	as a midwife I was a Band 6 when I worked on the
20	training I was working as a transitional care midwife	20	neonatal unit at Liverpool Women's.
21	which is a midwife on the wards looking after babies	21	Q. In terms of the Countess of Chester, did you
22	that needed a little bit of extra support. So that was	22	work at any time as a midwife or a nurse at the Countess
23	still under the neonatal hat. But I probably left the	23	of Chester Hospital?
24	neonatal unit in probably 2008 I think maybe.	24	A. No, I never worked clinically.
25	<b>Q.</b> So a period of five years you worked on	25	<b>Q.</b> Looking at your legal career, you say that you
	3		4

(1) Pages 1 - 4

# The Thirlwall Inquiry

I started and that later changed to Risk and Patient 1 Safety Lead for Women's and Children's. 2 That was specifically related to -- well, what 3 Q. was initially the Women's and Children's Department but 4 then you went on to remain working within that area 5 6 albeit then you had split? 7 Α. It was, it was split from before I started there into different divisions. So it never changed 8 9 while I was there. 10 Q. Did you take over from someone in that role or was it a new post? 11 I took over from somebody in that role who 12 Α. I don't think they were in post for a long time, I'm not 13 14 sure Q. You have explained that your job title changed 15 to Risk and Patient Safety Lead. But other than the 16 17 change in title, was there any change to what the job involved? 18 19 Α. No, it was still the same job description; 20 that never changed. 21 We have seen from documents that you were Q. present at a meeting on 15 February 2016 and it appears 22 23 that was your last day or near to your last day? 24 Α. Yes. I think so. 25 Q. That date would fit with your recollection 6 1 midwifery assist you in your role in Risk and Patient Safety? 2 3 Α. I think because I had such a varied nursing 4 background, it was useful, it was applicable to all the 5 areas that I worked within. 6 Q. What about your training and experience as 7 a solicitor, how did that assist you? 8 Α. I think it probably enabled a bit more critical thinking. Certainly it probably gave me more 9 awareness of the things that can go wrong and do go 10 wrong so I wasn't so tunnel-visioned and protective of 11 the NHS. I suppose I was a little bit more cynical. 12 13 Q. In your role as Risk and Safety Lead, you 14 covered both the neonatal unit and midwifery? 15 Yes, and gynaecology. Α. Q. Yes, and also general paediatrics? 16 17 Α. Yes. Q. So whilst we have heard and you confirmed that 18 even from when you started, the maternity unit was 19 20 within the Planned Care Division and the neonatal unit in the Urgent Care Division, in terms of Risk and 21 22 Patient Safety you looked across both units? 23 Α. I did, yes. 24 Q. And from a risk and safety perspective, why

- - was that important?

25

8

1	worked fro	m 2008 to June 2012 as a paralegal then
2	a trainee a	nd then as a solicitor. How long did you
3	work as a	qualified solicitor in clinical negligence?
4	Α.	Probably just less than two years.
5	Q.	Why did you leave your role as a solicitor?
6	Α.	There was a Clinical Risk Manager job came up
7	that I thoug	ght suited me better.
8	Q.	Turning to that, when you moved to work in
9	Risk and F	Patient Safety in 2012, from June 2012 to
10	December	2013 you worked as a clinical risk manager in
11	Southport	& Ormskirk Hospital, so about an 18-month
12	period?	
13	Α.	Yes.
14	Q.	Very briefly, what did your role involve
15	there?	
16	Α.	My role there covered the whole hospital so it
17	wasn't con	fined to one particular specialty. And it was
18	just quality	improvement and monitoring patient safety
19	via Datix a	nd investigations, obviously sharing lessons
20	learned.	
21	Q.	Then turning to when you started employment at
22	the Counter	ess of Chester, you started there in
23	December	2013 and what was the title of your role when
24	you started	d at the Countess of Chester?
25	Α.	So it was Quality Improvement Facilitator when
		5
1	that you le	ft prior to the CQC visit which commenced on
1 2	that you le 16 Februa	•
	•	•
2	16 Februa	ry?
2 3	16 Februa A. Q.	ry? I definitely left prior to the CQC visit.
2 3 4	16 Februa A. Q.	ry? I definitely left prior to the CQC visit. So you were at the Countess of Chester as
2 3 4 5	16 Februa A. Q. a Risk and	ry? I definitely left prior to the CQC visit. So you were at the Countess of Chester as I Patient Safety Lead for just over two years?
2 3 4 5 6	16 Februa A. Q. a Risk and A. Q.	ry? I definitely left prior to the CQC visit. So you were at the Countess of Chester as I Patient Safety Lead for just over two years? Yes.
2 3 4 5 6 7	16 Februa A. Q. a Risk and A. Q. a post in th	ry? I definitely left prior to the CQC visit. So you were at the Countess of Chester as I Patient Safety Lead for just over two years? Yes. When you left there, I think you took up
2 3 4 5 6 7 8	16 Februa A. Q. a Risk and A. Q. a post in th	ry? I definitely left prior to the CQC visit. So you were at the Countess of Chester as I Patient Safety Lead for just over two years? Yes. When you left there, I think you took up ne Royal Liverpool & Broadgreen University
2 3 4 5 6 7 8 9	16 Februa A. Q. a Risk and A. Q. a post in th Hospitals.	ry? I definitely left prior to the CQC visit. So you were at the Countess of Chester as I Patient Safety Lead for just over two years? Yes. When you left there, I think you took up he Royal Liverpool & Broadgreen University Was that a promotion?
2 3 4 5 6 7 8 9	16 Februa A. Q. a Risk and A. Q. a post in th Hospitals. A.	ry? I definitely left prior to the CQC visit. So you were at the Countess of Chester as I Patient Safety Lead for just over two years? Yes. When you left there, I think you took up ne Royal Liverpool & Broadgreen University Was that a promotion? It was, yes.
2 3 4 5 6 7 8 9 10 11	16 Februa A. Q. a Risk and A. Q. a post in th Hospitals. A. Q. A.	ry? I definitely left prior to the CQC visit. So you were at the Countess of Chester as I Patient Safety Lead for just over two years? Yes. When you left there, I think you took up he Royal Liverpool & Broadgreen University Was that a promotion? It was, yes. What was the role that you went to?
2 3 4 5 6 7 8 9 10 11 12	16 Februa A. Q. a Risk and A. Q. a post in th Hospitals. A. Q. A.	ry? I definitely left prior to the CQC visit. So you were at the Countess of Chester as Patient Safety Lead for just over two years? Yes. When you left there, I think you took up he Royal Liverpool & Broadgreen University Was that a promotion? It was, yes. What was the role that you went to? Very similar to what I had been doing, but
2 3 4 5 6 7 8 9 10 11 12 13 14 15	16 Februa A. Q. a Risk and A. Q. a post in th Hospitals. A. Q. A. I was for Q. were the a	ry? I definitely left prior to the CQC visit. So you were at the Countess of Chester as I Patient Safety Lead for just over two years? Yes. When you left there, I think you took up he Royal Liverpool & Broadgreen University Was that a promotion? It was, yes. What was the role that you went to? Very similar to what I had been doing, but scheduled care so it was a larger remit. In what sense then was it a promotion, what dditional responsibilities?
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	16 Februa A. Q. a Risk and A. Q. a post in th Hospitals. A. Q. A. I was for Q. were the a A. Q. four years	ry? I definitely left prior to the CQC visit. So you were at the Countess of Chester as Patient Safety Lead for just over two years? Yes. When you left there, I think you took up ne Royal Liverpool & Broadgreen University Was that a promotion? It was, yes. What was the role that you went to? Very similar to what I had been doing, but scheduled care so it was a larger remit. In what sense then was it a promotion, what dditional responsibilities? It was a higher band, more responsibility. And you stayed there I think for just under until January 2020?
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	16 Februa A. Q. a Risk and A. Q. a post in th Hospitals. A. Q. A. I was for Q. were the a A. Q. four years A. Q. focusing o	ry? I definitely left prior to the CQC visit. So you were at the Countess of Chester as Patient Safety Lead for just over two years? Yes. When you left there, I think you took up ne Royal Liverpool & Broadgreen University Was that a promotion? It was, yes. What was the role that you went to? Very similar to what I had been doing, but scheduled care so it was a larger remit. In what sense then was it a promotion, what dditional responsibilities? It was a higher band, more responsibility. And you stayed there I think for just under until January 2020? Yes. So summarising your career and looking n your Risk and Patient Safety roles, you
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	16 Februa A. Q. a Risk and A. Q. a post in th Hospitals. A. Q. I was for Q. were the a A. Q. four years A. Q. four years A. Q. focusing o worked in the approxima	ry? I definitely left prior to the CQC visit. So you were at the Countess of Chester as Patient Safety Lead for just over two years? Yes. When you left there, I think you took up he Royal Liverpool & Broadgreen University Was that a promotion? It was, yes. What was the role that you went to? Very similar to what I had been doing, but scheduled care so it was a larger remit. In what sense then was it a promotion, what dditional responsibilities? It was a higher band, more responsibility. And you stayed there I think for just under until January 2020? Yes. So summarising your career and looking n your Risk and Patient Safety roles, you the NHS across three hospitals for tely seven and a half years in this field?
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	16 Februa A. Q. a Risk and A. Q. a post in th Hospitals. A. Q. A. I was for Q. were the a A. Q. four years A. Q. four years A. Q.	ry? I definitely left prior to the CQC visit. So you were at the Countess of Chester as Patient Safety Lead for just over two years? Yes. When you left there, I think you took up he Royal Liverpool & Broadgreen University Was that a promotion? It was, yes. What was the role that you went to? Very similar to what I had been doing, but scheduled care so it was a larger remit. In what sense then was it a promotion, what dditional responsibilities? It was a higher band, more responsibility. And you stayed there I think for just under until January 2020? Yes. So summarising your career and looking n your Risk and Patient Safety roles, you the NHS across three hospitals for

It gave me a better overarching view of what 1 Α. 2 was going on across the units, I think. So obviously 3 any issues in obstetrics could feed into problems with 4 babies who then subsequently went to the neonatal unit. 5 So you were able to follow through from Q. 6 antenatal the birth and then if the child was treated on 7 the neonatal unit? 8 Α. Yes. 9 Q. So you could see the picture and you would 10 look at the patient safety across that? Α. Yes. 11 12 Who did you report to? Q. 13 I reported to the head of risk and governance Α. which was Ruth Millward for most the time. Sally Goode 14 was originally in post but she left soon after 15 16 I started. 17 Q. So for most of this period, certainly from June 2015, you were reporting to Ruth Millward? 18 19 Α. Yes 20 Q. She in turn I think reported to Sian Williams, 21 the Deputy Director of Nursing? 22 Α. As far as I am aware, yes. 23 Q. Were there other Risk and Patient Safety Leads for the other departments? You were covering, as you 24 25 explained, the neonatal unit, midwifery, paediatrics 9 1 the different divisions? 2 No, we were in a separate building away from Α. 3 our divisions. 4 Q. And you say "in a separate building". To what 5 extent would you have any day-to-day contact with those 6 working in the neonatal unit? 7 Α. I had a lot of contact, I made sure I was 8 quite visible on all the units. 9 What does that mean in practical terms, you Q. 10 made sure you were visible? So I would probably be there at least once 11 Δ a day depending what meetings I had and the reason I had 12 for going on to the different units. 13 14 So obviously we are focusing on the neonatal Q. unit. Would you go to the neonatal unit once a day? 15 Α. Possibly. There might have been some days 16 when I didn't go but if I had gone through to delivery 17 suite or the ward for any reason or paediatrics 18 sometimes I would pop in and say: any concerns, anything 19 20 you want to discuss? 21 So when you went there, what was your purpose Q. 22 of going to the neonatal unit? 23 Δ Quite often there had been an incident or we 24 were having one of our regular Neonatal Incident Review 25 Groups and sometimes it would just be in passing to see

obstetrics and gynaecology. What about other Risk and 1 2 Patient Safety Leads? So there was a Risk and Patient Safety Lead 3 Α. for each division as well and I can't remember how, what 4 specialties fell into which division, I am sorry. 5 6 Q. So can you just give an indication 7 approximately of how many Risk and Patient Safety Leads 8 there were other than you? 9 Α. I think there were probably four of five 10 others. 11 Can you give an indication of how many people Q. worked within the Risk and Patient Safety Team, so 12 obviously yourself the other Risk and Patient Leads, 13 Ruth Millward, supervising. Were there other 14 individuals that worked within the Risk and Patient 15 16 Safety? 17 Α. We had somebody who was part of clinical audit, we had somebody who managed the Datix system for 18 19 us and there was a PA, a personal assistant, secretary, 20 that worked for Ruth Millward and Sian Williams. 21 Q. So we are looking at a team of about 12, 22 something like that? 23 Α. Probably, yes. 24 Physically in the hospital, did you work as Q. 25 one unit together or were you embedded, so to speak, in 10 1 if everything is okay. 2 Q. When you say "everything okay", who would you 3 be asking that of who would you be speaking to when you 4 got there? 5 Usually it was either Dr Steve Brearey or Α. 6 Eirian or Yvonne Griffiths or Yvonne Farmer. 7 Q. Would you talk to the doctors working there or 8 the nurses working or would you just speak to the Consultants and the managers? 9 It would usually be just the Consultants and 10 Α. 11 the managers. 12 Q. Did you think it was important to, in terms of 13 patient safety, also speak to the doctors and nurses or 14 was that not something that would have been a useful conversation? 15 16 Α. I certainly passed the time of day with them. But generally speaking they were busy doing their work 17 and from an infection control point of view, I wouldn't 18 go into rooms unnecessarily with the babies in there. 19 20 Q. At paragraph 7 of your statement, you say in terms of your role that it was to ensure that identified 21 22 risk was managed via appropriate investigation reporting 23 and action planning. 24 Can you just break that down and explain in very

25 practical terms what your role as Risk and Patient

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Safety Lead involved? trending analysis with the individual managers and 1 1 2 Α. So I would manage the Datix incidents in 2 Consultants if there was anything of concern that seemed 3 real-time when they came through. I would --3 to be recurring. 4 4 Q. Just stopping with Datix there for a moment. Q. So just on that trending analysis. So you 5 Were you looking at seeing if those reports were would be -- what does that mean, if you got a similar 5 6 consistent, properly filled out, was that part of your 6 incident, so if you had, for example, a series of 7 role? 7 neonatal deaths, what would you do in terms of trending 8 We weren't particularly worried about the Α. 8 analysis then? 9 content at that stage because that was something that we 9 Α. So the trending analysis, obviously when they 10 would go and pick up on, we would review. So that would 10 were reported initially they would be classed as be the start of preliminary enquiries really to see moderate or severe harm, so they were always picked up 11 11 whether there was anything that needed to be reported, 12 12 as soon as. 13 escalated up for investigation. 13 I would go along just to find out the facts, 14 So the first thing would be to if there was 14 I would rely on Steve Brearey's clinical assessment to Q. anything urgent and then at a later stage you would look tell me whether there were any concerns. From his 15 15 16 at those Datixes to see if they had been properly filled 16 response I would copy and paste his assessment and put 17 in, for example? 17 that on to an SBAR. Α. 18 The SBAR would be then escalated to the Serious 18 Yes. Yes. 19 Q. Yes, sorry, I have interrupted you, that was 19 Incident, the SI Panel. 20 Datix, you were carrying on with your role? 20 Just stopping you there, just explain what an Q. 21 21 So the majority of the Datixes were things SBAR is, please? Α. 22 that people had reported as a general concern and they 22 Α. So the SBAR is basically a communication tool 23 hadn't actually caused harm so they would be managed at 23 to put as much information --24 local level 24 LADY JUSTICE THIRLWALL: What does it stand for? 25 I took an overarching view of that and did do some 25 Sorry it is an information tool that stands Δ. 13 1 for Situation Background Assessment and Recommendation. 1 level then it was usually the ward manager or the lead 2 So we would -- say a Datix has come in, that would Consultant that dealt with them. If it was escalated 2 3 be the situation, the background would be for instance 3 further, it would depend on what the recommendations of 4 28 week gestation baby had been born in poor condition. 4 the Serious Incident Review Panel were. So if it was 5 The assessment would be the clinician's view of it and 5 recommended that there was a Level 2 investigation, then 6 the recommendation would be to send it through to the 6 the panel would appoint a chair to investigate and 7 Serious Incident Review Panel. 7 I would support the chair with that investigation. MS BROWN: And that recommendation aspect, that 8 8 Q. So drawing that all together, the purpose of 9 would be where your expertise would come in, would it, 9 your role was to improve patient safety? 10 10 as to whether --Α. Basically, yes. 11 11 Δ No, the SBARS were always escalated to the SI O. Part of the reason for having a dedicated role Panel. That was just -- they all said that. 12 12 for risk and patient was, as you explained, to spot 13 Q. Yes, carry on. So was there also a recording 13 trends and ensure problems were carried out -- actions 14 function and collating of incidents? 14 carried out? Yes. So I would pull reports for the 15 Α. 15 Α. Yes. individual areas and also an overarching report. 16 Q. That would be fair, would it, as a summary? 16 17 So that the report -- I think I pulled the report 17 Α. Yes. quarterly that went to the Women's & Children's 18 Q. Just looking at the Women's & Children's Care 18 Governance Board. But I also pulled reports to -- on Governance Board. If we could call up INQ0015325, which 19 19 20 a monthly basis to discuss with the ward managers in the 20 I hope is the Terms of Reference. This is tab 27, 21 different areas to look at their trends. my Lady, of your bundle. We see there the Terms of 21 22 Q. In terms of following up incidents, what was 22 Reference, this is a document we have looked at before 23 your role in relation to that? 23 in the Inquiry and we see there the membership and the 24 Α. So it depended on what the incident was and 24 membership here consists of people from Planned Care, so 25 what the next steps were. So if they were at local we have got the Consultant obstetrician gynaecologist 25

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(4) Pages 13 - 16

Mr McCormack, who is the chair, the Head of Midwifery 1 1 2 Ms Fogarty then under Urgent Care we have got Dr Brearey 2 3 and Dr Jayaram and we see there at the bottom that you 3 4 were there as part of the membership as the Risk and 4 5 Patient Safety Lead? 5 6 Α. Yes. 6 7 Q. If we just could turn over to page 2. In 7 8 addition to the membership, there were a few individuals 8 9 who we can see there who would attend when requested, 9 10 the people with asterisks? 10 11 Α. Yes. 11 12 Just looking down in terms of the duties and Q. 12 13 responsibilities, just picking out two of those, the 13 second bullet point down, one of the Terms of Reference 14 14 was to provide assurance to the board lead Executive of 15 15 16 effective risk management? 16 17 Α. Yes. 17 18 Q. So the minutes from this I think you 18 19 understood certainly went to Alison Kelly, so what was 19 20 reported here went up to the Executive? 20 21 Α. Yes 21 22 Q. The third bullet point from the bottom: 22 23 "Ensuring that clinical performance quality 23 24 monitoring reporting mechanisms are working 24 25 effectively"? 25 17 1 But that would have been picked up at the end of year 1 2 report that I would have pulled together for the -- the 2 3 meeting, yes. 3 4 Q. But an unexpected or an unexplained death or 4 5 5 concerns about a trend in that respect this would have 6 been the forum to raise that? 6 7 7 Α. This one and the perinatal mortality meetings, 8 the M&M meetings that the paediatricians held. So 8 9 I would expect them to be discussed there and then 9 escalated to this meeting. 10 10 11 Q. You in your role, any death would have been 11 reported to you as the Risk and Patient Safety Lead, any 12 12 13 neonatal death? 13 14 Α. Yes 14 15 You say in your statement that you worked 15 Q. closely with Mr McCormack who was the Consultant 16 16 obstetrician and gynaecologist and the chair of the 17 17 Women's & Children's Care Governance Board to produce 18 18 the agenda. Did you have a good working relationship 19 19 20 with Mr McCormack? 20 21 Α. Yes. 21 22 Q. So if you suggested an item for the agenda, he 22 23 would be amenable to that? 23 24 Α. That never actually occurred but yes, I am 24 25 sure he would. Yes.

19

Α. Yes

Q. So whilst you as Risk and Patient Safety Lead yourself brought together, gave that overview, looked at trends, this was the committee where you would bring concerns to and then they in turn would take concerns up to the Executive? Yes. I think given that we were only allowed Α. an hour and a half for this meeting, and it covers a large breadth -- obviously it covered all the departments, I think a lot of the documents would be sent out prior to the meeting for people to read and comment on and they would bring any queries to this meeting. But generally, I think things were just noted at this meeting and then escalated from that point of view. Q. So it was a meeting where you would focus on the most important matters? Α. Yes. Q. Baby deaths amongst those would be one of the most important matters, if that was a trend that would be something that you would see as important for this meeting in principle? Α. Yes. On the face of it. If the baby deaths had been due to natural causes then that wouldn't cause any concern enough to escalate. 18 Q. That clearly was one way of raising concerns through the medium of governance boards. If you had a concern that you felt needed more senior consideration another route would have been referring matters to Ruth Millward? Α. Yes Q. How would you describe your relationship with her? Excellent, I had no problem at all. Α. I think you say in your statement she had an Q. open-door policy, so I take that to mean that you felt very able to walk in and raise any concerns you had with her? Α. Yes You say in your statement this is Q. paragraph 18, that you worked with senior nurses and midwives. You of course were yourself a qualified nurse and a midwife and you have told us that you made frequent daily or thereabouts visits to the neonatal ward. Would you also be visiting the obstetric -- the delivery ward as well? Α. Yes. Q. So were you able to or did you observe relationships between nurses and midwives? Not particularly because the midwives would 25 Α.

have been in the delivery rooms. So I didn't see any 1 2 interaction between the neonatal staff when they were 3 coming to the delivery suite for babies that were 4 problematic. 5 Q. Because the Inquiry has heard some accounts of 6 there being tensions between midwives and nurses, was 7 that something that you observed of or were aware of? 8 Α. I was aware of some comments here and there, 9 nothing specific and I think it boiled down to 10 personalities rather than anything else. Would that tension be something that would be 11 Q. of some concern to you in your Patient and Safety Risk, 12 because lack of communication can lead to concerns? 13 If there was a lack of communication, then 14 Α. yes. But if there were problems with a baby not being 15 16 transferred appropriately, then, yes, I would pick up on 17 that. 18 But generally speaking, they were professionals and 19 whilst they might not like each other personally, 20 I think they dealt with each other professionally very 21 well. 22 Did you -- you said what you would have done. Q. 23 Did you in fact ever get involved in speaking about difficulties between nurses and midwives in your Risk 24 and Patient Safety role, was that something you were 25 21 1 relationships between Consultants and nurses. Was that 2 something that you observed? 3 Α. Sometimes I picked up on a bit of tension 4 between Steve Brearey and Eirian Powell. But I didn't 5 delve into that, that was -- it didn't affect the 6 working relationship. 7 Q. You can't give any details? 8 No, it's just a -- sorry. Α. 9 Mrs Peacock, when you were working within Risk Q. and Patient Safety at the Countess of Chester Hospital, 10 were you aware of the case of Beverley Allitt? 11 12 Α. I was, yes. 13 Q. And Recommendation 13 of the Clothier Inquiry 14 into Beverley Allitt was that Beverley Allitt's actions should serve to heighten awareness in all those caring 15 for children of the possibility of malevolent 16 17 intervention as a cause of unexplained clinical events. 18 Now, you may not have been aware of the exact wording or indeed the number of the Recommendation, but 19 20 were you aware of the principle that as Risk and Patient Safety Lead you should be aware of the possibility of 21 22 deliberate harm as a cause of unexplained clinical 23 events? 24 Α. I was and it was something that we considered 25 with every review that we did, whether there was a harm

1 ever involved in discussing or?

2 **A.** No, it would usually be the result of an 3 incident if there had been a delay in a baby being

4 reviewed for whatever reason.

Q. You worked, you explain in your statement,

6 across obstetrics, gynaecology, paediatrics, neonates,

7 so you had interactions with quite a number of

8 Consultants?

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A. Yes.

10 **Q.** How were those interactions with Consultants?

A. Again, as with everyday life, you don't make

12 friends with everybody but everybody worked very well

13 together as far as I could see at the time I was there.

14 **Q.** Specifically, did you feel your views were

15 listened to, that you were treated appropriately with

16 appropriate respect for your role?

A. I think so. I can't think of any particulartime when I had a problem.

19 **Q.** In particular, we are focusing on the neonatal

20 ward, the neonatal unit, so in particular Dr Brearey,

21 how would you describe your relationship with him?

22 A. Professionally we got on fine.

**Q.** Again because you are in a position of giving

24 an overview visiting these wards, on a regular basis, we

25 have heard some references to difficulties in 22

1 event, whether there was an action or omission during 2 the care that would have caused or contributed to harm. 3 So that was at the back of our -- our mind 4 whichever review we did. 5 Q. So you were -- I think you are saying very explicitly that you were open to deliberate harm as 6 7 a possible cause? 8 Yes, and we also -- as a tool in risk and Α. governance we had something called the Decision Incident 9 Tree. So if a member of staff was thought to have 10 caused harm we would use the Incident Decision Tree 11 12 which was an algorithm for us to follow to determine 13 what the best course of action was. 14 I don't think we have heard about that at all Q. 15 before. Was that something that was ever used in the period that you worked at the Countess of Chester? 16 17 So it was something that I don't think I ever Α. took along to any of the review meetings but it was 18 certainly at the back of my mind and I had a copy in my 19 20 desk to refer back to if ever I needed it. 21 You never considered using it once you became Q. 22 aware -- we will move to that, but you never considered 23 using that once you became aware of the correlation

24 between Letby's presence and the deaths of babies?

25 A. So when we looked at the specific case reviews 24

#### The Thirlwall Inquiry

analysis introduced the decision tree. So anybody that that we did, there was no suspicion there, there was no 1 thought there that there was an act or omission from the 2 had done that training would know about it. evidence that we had that had caused harm. How did you come to have that on your desk, 3 Q. So no, it wouldn't have been used then. 4 why did you have that on your desk? Because I had done the three-day NPSA But presumably the tool you had was there not 5 Α. when there was certainty that someone had been harming, 6 training. So, Mrs Peacock, we have heard clinicians but the very purpose of the tool was to assist in 7 Q. identifying if that might be the case? 8 saying that to them, from a clinician's point of view, someone harming -- a healthcare professional harming You needed probably something to prompt that 9 thought. So the -- there would have been whether it 10 a child was unthinkable that wasn't your perspective was, you know, not following procedure, whether there from your risk and patient -- that was something that 11 was an action that had caused harm. But as far as I can very much you did think and was a possibility that you 12 remember, there was nothing that actually along those 13 were open to? lines was picked up from the reviews. 14 Given my clinical negligence background, yes, Α. And this, you say you had it on your desk. 15 very open to it. Can you just explain a bit more what it was you had on 16 Q. In relation to Datix you say at paragraph 27 your desk, was it a flowchart? 17 that baby deaths were reported on Datix and that would have been the case for all baby deaths on the maternity Yes, it is an algorithm flowchart, yes. 18 Would that have been on the desk of everybody 19 ward or the neonatal ward? working within Risk and Patient Safety? 20 Α. Yes. 21 Q. I don't know. When a Datix form was completed as Would this be something that Ruth Millward 22 I understand it that would trigger an automatic email would be aware of? 23 notification to you so it wasn't reliant on someone I would have thought so, if she -- the remembering to forward it to you, you would be through 24 National Patient Safety Agency training on root cause 25 the system notified? 25 26 It would come to me and other relevant people. 1 Α. Usually yes. But they -- the neonatal unit and delivery suite were 2 Q. Then your procedure would be to visit the unit very good at picking the phone up as soon as something 3 to look at the clinical -- look at the notes, speak to like that happened. 4 Dr Brearey if he was there and speak to Eirian Powell, Yes, you refer to this in your statement and 5 is that a fair summary of what you would do? I was going to ask who was it who would actually pick up 6 Α. Yes. Yes. 7 the phone to you, who would you speak to? Q. What was your understanding of the Datix A. I think generally speaking it would have been 8 reporting position where a baby collapsed unexpectedly Eirian Powell, the unit manager but it could have been requiring resuscitation, but survived. Would you have 9 anyone. I don't know whether other people did, my expected that to have been reported on Datix? 10 11 recollection isn't that good I'm afraid, sorry. Δ. They generally weren't unless there was What information would be given to you over 12 an actual issue with a piece of equipment or somebody had competency issues. So something -- it's dreadful to 13 That there had been a baby death. So 14 term a collapse as normal but something out of the obviously then I would usually go over to the unit, we ordinary had happened, then they would Datix it but 15 would have a look through the medical records and sit generally speaking collapses weren't Datixed. 16 down with Steve Brearey, who was obviously the 17 So obviously an unexpected -- particularly if Q. clinician, to review the notes and see whether there it was an unexpected collapse requiring resuscitation 18 was -- we thought there was any concern. Even if it was would be a concerning clinical event. From what 19 a natural death, natural cause of death, we would still 20 I understand it, there would be no way under the Datix go through the notes anyway just to make sure that all system that that would be brought to your attention in 21 policies and procedures had been followed correctly. 22 Risk and Patient Safety, so whereas if the baby died you So just breaking that down. You would get 23 would be aware, you would go through these processes you a call and if the death had occurred during the night 24 have explained. If the baby survived you wouldn't even that call would be first thing in the morning? be aware of that; is that correct? 25

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the phone?

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Α. That's correct. But I think small babies do 1 2 collapse, it's not unusual. 3 Well, just leaving aside that for a moment. Q. 4 Was that not a flaw in the system, that it was only those babies that died that were reported and therefore 5 6 you didn't have a complete picture because babies who 7 collapsed but survived, that was never raised as 8 an issue? 9 Α. I think it was certainly a flaw in the system. 10 However, if they reported every collapse on Datix, it would be its own industry, I think. However, in this 11 situation, I would have thought it was relevant for us 12 13 to be notified of the collapses, which we weren't. 14 So taking that answer that in this situation, Q. once you became aware and we are going to go through 15 16 that, once you became aware that there were a number, 17 a trend, a cluster of neonatal deaths, it would at that point have been relevant to know whether there were 18 19 collapses where the baby survived? 20 It certainly would have been relevant to know, Α. 21 yes, at that time. I think the difficulty was from my 22 perspective that they were seen as natural causes, the 23 deaths. Obviously we had the postmortems that gave natural causes. So at that stage we weren't thinking 24 25 that they were particularly -- there was a cluster, an 29 1 Q. Can we just go through some of the Datixes now. If I could have INQ000016 and this is tab 2, 2 3 my Lady, in the bundle. This I hope will be a Datix 4 relating to Child A. 5 You will see there, Mrs Peacock if we just work 6 through it we see that it relates to Child A and then we 7 have got "reported date" and "opened date". Can you 8 just explain why we have got a difference of date, 9 what's the difference between reported and open and why do we have two different dates? 10 11 Δ So the reported date is as it suggests, it is the date that the incident was reported. And the opened 12 13 day was when the Datix was actually accessed by somebody 14 either in the risk -- risk department or on the wards. 15 So that would be opened by you, would it, if Q. it came straight to you as this was a neonatal? 16 17 I would have thought so but I was actually on Α. annual leave when Child A died. 18 Yes, so it would be the person, if not you, 19 Q. 20 when you were there or the person covering for you when you were away? 21 22 Α. Yes. 23 Q. We see "handler", a Ms Kenny, what was the 24 handler? 25 Α. I don't know, I don't know who Ms Kenny is. 31

1 unexplained cluster, which we needed to review but we

2 hadn't thought anything further than that at the time.

3 **Q.** Well, we will come back in a moment to the

individual deaths. But in terms of you say that, with

5 your legal career, analysis was one of the things that

6 brought to your role, you had identified that it would

7 have been helpful to know if there were collapses where

8 the babies survived, what action did you take in

9 response to that? Did you raise that with Mrs Millward

10 and say: this is something we need to be following, this

11 is a trend we need to look at?

A. No, I didn't at the time.

**Q**. Why do you think that was?

14 A. Because I thought if there were any collapses

15 that I needed to know about that they would have been16 escalated.

17 Q. Well, you have explained they wouldn't have18 been on Datix, how would they have been escalated to19 you?

A. So we had numerous meetings about the
unexpected rise in mortality, so I would have expected
either Steve Brearey or Eirian to let me know about

23 those collapses.

Δ.

**Q.** Did you ever ask the question?

I didn't, no.

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1 **Q.** And did you not ask that when you looked at 2 these when you came to review these Datix, who is the 3 handler?

A. I don't know whether that was on there, it
must have been on there at the time, I wasn't aware of
it, to be honest.

7 LADY JUSTICE THIRLWALL: I am sorry, Ms Brown.
8 I appreciate you don't know who Ms Kenny is but do you
9 not know what the handler was meant to be?

10 A. No, I don't, sorry.

11 LADY JUSTICE THIRLWALL: I see, thank you.

12 **MS BROWN:** You are then listed as the manager.

13 What does that mean "manager"? I think you said in your

14 statement you certainly weren't a manager of anyone.

15 But what does "manager" mean in that context?

16 **A.** I managed the actual Datix from opening it and

17 then following up on any information that we needed to

18 gather for the SBAR if that was necessary, obviously in19 this case it was.

20 Q. Then if we come down, we see subcategory and

21 "Expected and Unexpected Death". Now, we know that

22 Child A was an unexpected death. Why does it say

23 "Expected and Unexpected Death"?

24 A. We didn't have anything to do with the -- the

25 titles. I don't know why, whether they were titles that 32

came with the Datix, I don't understand the 1 2 technological side of it, but to me it didn't matter 3 whether it was expected or unexpected at this stage 4 because we would be looking into it anyway. We just 5 needed to be notified that there had been a death. 6 Q. Because was that a drop down list? 7 Α. It was as far as I am aware, yes. 8 Q. You say you didn't understand the technology 9 of it, but if it was your role to review the Datix, 10 wasn't it quite important to understand how these forms were filled in and what the items on the forms meant? 11 12 Α. As I say, it was reporting a death and that 13 was what was important to me. At that stage, I wouldn't expect anybody to be commenting on whether it was 14 expected or unexpected depending who was reporting the 15 16 Datix. 17 Q. In relation then, well, picking up on that. Surely in terms of a patient and risk safety whether it 18 19 was either expected or unexpected was something that was 20 of great significance in terms of what alarm bells it 21 would ring to you? 22 Α. It was greatly significant once we undertook 23 the assessment of the medical records and any subsequent 24 investigation. 25 The Datix forms were guite subjective depending who 33 1 our own assessment with the clinician to determine 2 whether that was indeed correct or not. 3 Q. But did you review and see whether that had 4 been correctly filled out, whether high potential harm 5 was the correct -- or did you take -- did you take no 6 view on what had been filled out in terms of potential 7 for harm? 8 Α. So we would obviously look at what the 9 reporter had put on there. But as I say, we didn't take that as gospel. We would then follow up and look into 10 it further. 11 12 If we could just go over the page to page 2. Q. We have got then -- we can see at the bottom the 13 14 incident reporter here is Miss Lappalainen, who was one of the neonatal nurses. 15 16 Would it have been -- would your understanding have 17 been it was her that would have filled in who the employees involved were? 18 Α. No, I think that probably would have been done 19 20 at a later date but I am really not sure about that. 21 What should have been filled in under Q. 22 "employees involved", who should have featured in 23 employees involved in a neonatal death? 24 Α. So any of the team that were around at the 25 time.

completed them and really the -- the information that 1 2 was on there was only guidance for us to look further into it or -- or not depending on the incident that had 3 4 been reported. 5 Q. If we can just look down we have then got 6 under the risk grading potential for harm and what's 7 filled in there is high potential harm. Why high? What was the -- who made that risk 8 grading and why was it high potential harm chosen? 9 10 So the risk grading would have been entered by Α. 11 the person reporting the Datix. 12 Q. That would be something that you would review, 13 would it? 14 We would review that, yes, with the clinicians Α. and as I say they were quite subjective so people 15 16 could -- obviously this is a child death, it wouldn't be 17 the case. But some Datix forms --18 Q. Just let's focus on child deaths because that 19 is what we are interested in. So would high potential 20 harm be what you would be expecting to see for what we 21 can see further down was a sudden and unexpected 22 deterioration, would you expect high to be what should 23 have been filled in there? 24 Α. As I say, that was the person submitting the 25 form and regardless of what they put there we would do 34 1 Q. So the team that were involved in the 2 resuscitation, in this case sadly the failed 3 resuscitation? 4 Α. Usually, yes. 5 Because we see there indeed doctors and the Q. 6 names of nurses that were working on the neonatal unit. 7 We don't see the name of Dr Jayaram who was the doctor 8 that was called in in this case. Was there any reason why the Consultant wouldn't be 9 10 included? Α. 11 I really can't comment on that, sorry, I don't 12 know 13 Q. What was the purpose on a Datix of having 14 a list of the employees involved? So you would look at people who were cropping 15 Α. up regularly that they may have competency issues that 16 needed to be addressed. Any other concerns, there could 17 be somebody if there had been medication errors, they 18 had had several medication errors that -- so we needed 19 20 to look into supporting that person. 21 So just looking at where you said you would Q. 22 see if someone whose name was coming up and competency 23 issues. 24 So given that you were looking at trends it was quite important, was it, that the employees involved 25

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listed were that that was completed properly? 1 We have got we can see Mr McCormack, David Semple. 2 So we have got Consultant obstetricians there. So we have got the Consultants featuring but we have got the 3 4 midwifery and the Consultants. So we have got the -- although this is 5 6 a neonatology Datix, looking at a death that happened on 7 the neonatal ward, the employees involved, here we have got a list of people who were involved in the obstetric 8 9 care. So why would that have been? 10 I really can't comment. I don't know. This Α. again was -- I was on annual leave for this death. 11 12 Q. Well, we will look in a moment but we know you 13 came to look at these three deaths at a meeting together. But that would be concerning wouldn't it, 14 Mrs Peacock? 15 16 Α. Sorry, what was the actual incident again? 17 Q. This is the -- this is the death of Child C? 18 What was the actual incident that was Α. 19 reported, is it the death that was reported? Sorry, can 20 I see the first page of the Datix? 21 Q. Sorry. Yes, go back to the first page. So the category we have been given is "Expected and see that was Yvonne Griffiths who we are aware worked on 22 the neonatal ward. But the employees involved here 23 unexpected death"? named, we are not familiar with, because they are not 24 Α. Mm-hm. Yes, I can't explain that at all, 25 sorry. 37 38 1 bottom, the second -- the last two sentences there, a review was completed by the neonatal lead Consultant 2 3 and they managed to ascertain if there are any 4 commonalities or poor standards of care, there were none 5 found 6 That I think is a reference to the fact that 7 Child D's case was considered alongside Child A and 8 Child C? 9 Α. Yes. 10 Q. We don't have any details but there is just a highlighter that that was done? 11 12 Α. Yes Q. If we turn over to page 2, we have got another 13 14 variant here in terms of employees involved. So we have got the incident reporter of Caroline Oakley, who we 15 know was a neonatal nurse, senior neonatal nurse. 16 17 But the employees involved here, whereas A, we didn't have the Consultant, here we have got 18 a paediatric Consultant but we only have the name of the 19 20 Consultant, not of the names of anybody else who was 21 involved in the resuscitation and we know that there 22 clearly were nurses and others involved in that 23 resuscitation. 24 Again, can you explain that? 25 A. I can't, sorry. No. 40

2 Α. Yes Q. 3 If we could just go to 0000111 and this is 4 tab 4, my Lady, in your bundle and I hope we will come 5 up there with the Datix for Child C. We see very 6 similar, we have got the name of the child, you as 7 the -- Ms Kenny's name appears. Your name as the 8 manager, the specialty we have got "Neonatology", again 9 we have got "Expected and unexpected death". 10 This time under "risk grading" we have got low potential harm. Do you have any explanation as to why 11 this would be low whereas Child A was high? We see 12 under the description that we have got sudden 13 deterioration of an infant following full resuscitation. 14 15 So a sudden death again. Why would this have been 16 low whereas the other one was high? 17 Α. Again, subjective opinion of the reporter at the time. So maybe they thought that well, I am 18 19 presuming that they thought no harm had been caused. 20 If we could go over to page 2, please. We Q. have got there we have got the incident reporter we can 21

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people who worked on the neonatal ward.

1 Q. Because that would be quite concerning, 2 wouldn't it, because if part of your answer before was 3 that part of the reason for listing the employees was to 4 see if there was any commonality, any competencies that 5 might be raised, well, that's not going to be followed 6 through if the employees involved there are not the 7 employees who were involved in the resuscitation and 8 involved in the care just prior to the baby's death? 9 Α. As far as I am -- my opinion is that when I did any of the updating on these, well certainly when 10 we investigated, well, when we reviewed the notes, that 11 wasn't the investigation, but we would certainly take 12 note of the people who had been involved in the actual 13 14 incident itself. So I can't explain why the obstetric team is there instead of the neonatal team. 15 16 Q. Can we now go to 0000766 and this is tab 6, my Lady. This I hope should be the Datix of Child D. 17 We see there again Child D, your name as manager. Again 18 the subcategory expected and unexpected death. Again we 19 20 have got low potential harm, this time. I think your evidence is you can't -- you don't know why that was 21 22 filled in? 23 Α. As I say, it would have been the reporter of 24 the Datix. 25 Q. And we see there under "Action Taken", at the 39

Q. Then if we can go to 0002658, and this is 1 2 tab 5, going back one tab. Now, this is a slightly 3 different Datix because this is the Datix for Mother D 4 so the mother of Child D who died, and we can see there as you would expect the specialty is obstetrics because 5 6 this is looking at her care. 7 But if we could go over to page 2 in this Datix, we 8 have got a long entry and I think this is -- we will see 9 this later, but this is in fact copy pasted from 10 an email from Dr Brearey and that's setting out if you look at the beginning, just confirm that I have met and 11 that is referring to Dr Brearey has met with Eirian 12 reviewed the case notes of Child D and then if you scan 13 down, you can see it's referring to Child A and Child C. 14 15 So that's a discussion about the care or discussion 16 of the consideration of the cases of Child A, Child C 17 and Child D and yet that's appearing in the Datix for the mother of Child D. It wasn't -- I am not going to 18 19 go back to it but it wasn't in the Datix of Child D and 20 it's not in the Datixes of Child A or Child C. 21 So someone coming to that Datix wouldn't have the 22 advantage of seeing that, they would find it only after 23 the mother's Datix, doesn't that suggest that this is a system that wasn't working, that wasn't creating an 24 25 accurate record? 41

1 reporter was Letby and under the employees involved it

- 2 simply hasn't been filled in. There are no employees
- 3 involved. Would that -- ought that to have been
- 4 a highlighter? I think we are going to look at your
- 5 holiday period but E was a period where you were
- 6 working?

7

- A. Yes.
- 8 **Q.** When you received a Datix where it said "no
- 9 employees" under employees involved, would that not have

10 been something that you would have wanted to

11 investigate?

12 A. Sorry, can you just go to the first page

- 13 again?
- 14 Q. Yes, go back to page 1.

15 A. So the risk grading here, the result the

- 16 actual harm was no harm caused.
- 17 **Q.** Understood?
- 18 A. The actual harm, which is what we looked at,

19 so obviously we considered the potential for harm as

- 20 well for if we needed to put processes in place to stop
- 21 it reoccurring, whatever had happened.
- 22 But the -- it was the actual harm that we looked at
- 23 and staff were generally not very good at determining
- 24  $\,$  actual harm when they completed the Datix. So as  $\,$
- 25 I started saying earlier, they could be used as if

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1 A. No. We actually merged -- if there were

- 2 several incidents about one particular patient, or that
- 3 they were connected, we would merge the incidents so
- 4 that could see it on all the incident forms. It
- 5 wouldn't have been on A and C Datixes at this stage
- 6 until we decided what we were doing, investigation-wise,
- 7 and I think Steve Brearey had said that he didn't think
- 8 there was a connection between Baby D and the other two.
- 9 **Q.** Yes, I think the point, Mrs Peacock, is that
- 10 that information about the review of Child A, Child C
- 11 and Child D is not appearing on the Datixes of Child C
- 12 or Child D or indeed Child A?
- 13 **A.** Yes. That's correct.
- 14 Q. You can't explain that?
- 15 **A.** No, no.

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- Q. Just finally, if we could look at 000194,
- 17 I might have said one two few zeros there, 0000194 and
- 18 this is the Datix concerning the death of Child E. And
- 19 it's tab 7 for my Lady.

20 Again, we can go very briefly. It is Child E again

- 21 you are the manager, "Expected and unexpected death".
- 22 Here we have gone back to high potential harm and we see
- 23 under the details "Unexpected death, full resuscitation
- 24 unsuccessful".

25 If we could go over to page 2, here the incident 42

- 1 somebody had their own agenda, if they wanted -- for instance, if there was poor staffing on one shift the 2 3 person reporting the poor staffing could put that there 4 was high actual harm but when we looked into it there 5 was no incident had been caused as a result of that 6 staffing. 7 So, as I say, it could be very subjective, that 8 grading. So if this was taken as a no harm when we assessed with Steve Brearey, then we probably wouldn't 9 have looked at the staff at that stage that were 10 involved in the incident. 11 12 Q. But Mrs Peacock, surely where a baby has died, and you have got what's described as an unexpected 13 14 death, and you have got a form that allows for the employees involved as you have said that would be 15
- 16 important to see if there were any issues of
- 17 competencies, was it not a concern that this report
- 18 filled in by Letby where it says "employees involved"
- 19 there are none, was that not something that as a matter
- 20 of course, as a matter of your overarching role as Risk
- 21 and Patient Lead, you should have picked up on, asked
- 22 the questions, there must have been employees involved,
- 23 who were they?
- A. I think if we had reviewed the case and
- 25 Steve Brearey said there were no concerns then possibly 44

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that wouldn't have been our priority. 2 Q. What did you, what was your view at the time 3 of the Datix system of reporting of incidents and how effective it was as a means of identifying trends? As a means for flagging up incidents it was Α. 6 obviously dependent on having a good reporting culture. So if it was used effectively, as it's meant to be, then it was -- I thought it was a good tool to use. Obviously it wasn't perfect, there were things that 10 could have been improved, but basically it did the job it was meant to do, just alerted us to incidents to dive 11 a bit deeper, really. 12 13 If we can turn now to when you returned from Q. holiday. You say in your statement that you were away 14 for the first three weeks of June 2015 and you returned 15 16 to work on Monday, June 22. That was the day that 17 Child D died. Child D had died in the early hours of 18 the morning of 22 June. 19 You have explained that where a death occurred out 20 of working hours normally you would be called first thing in the morning. Do you recall receiving a call on 21 22 that first day back to work? 23 Α. No. Sorry, no recollection at all. 24 Over the three weeks that you had been away, Q. 25 two other babies had died. We have looked at the 45 Α. Yes. Q. What questions would you have asked then when you went to the neonatal unit? Α. Well, first I would have wanted to know how it 5 had -- whether the SBAR had been completed and what was the result of the SBAR going to the Serious Incident 6 7 Review Panel and what their determination was and what should be done next. I would have asked if there were any particular 10 concerns which, as far as I can recall, there were no -obviously there were concerns that there was an increase 11 12 in mortality and that there was a cluster of deaths, but 13 there were no suspicions at that time. 14 We have heard from Dr Lambie that at around Q. 15 this time she recalls seeing nurses who were looking to see whether anybody had been on duty for all of the 16 17 deaths. When you visited the neonatal ward were you aware of any of that sort of discussion, who was on 18 19 duty? 20 Α. Not at all, no. 21 The Inquiry has also heard evidence that Q. junior doctors and Consultants were discussing these 22 23 deaths. One of the issues they were discussing was the 24 existence of a concerning rash. 25 Did you pick up on any of those discussions?

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- Datixes of those already, Child A and Child C. 1
  - How would you have been informed of those deaths?
- 3 A. I am not really sure, I can't remember, to be
- 4 honest, how I would have been informed. I would expect
- whoever had been covering for me would let me know. 5
- 6 I am sure when I came back to work that
- 7 Eirian Powell and Steve Brearey would have let me know. 8 But I can't remember how it actually happened.
- 9 Q. Because it would have been quite a shocking
- 10 return to work, wouldn't it, Mrs Peacock? You return to
- work and you receive a call that a baby has died and you 11
- find that two other babies had died in a two-week 12
- 13 period?

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- 14 That would be the same total as the total number of
- deaths in 2014. Is that not something that would have 15
- 16 stuck in your mind as particularly unusual, particularly 17 concerning in fact?
- 18 Α. It was unusual and concerning but no, I don't 19 recall it. I am sorry.
- 20 What -- you say you can't recall it, but what Q. 21
- would you have done in that, in that circumstance? You 22 say you would have gone to the -- your practice would
- 23 have been to are gone to the neonatal unit in the case
- of a death. Can we take it that that is what it is 24
  - likely you did on the 22nd?
    - 46
- 1 Α. I wasn't included in any of the email trail 2 that was going on at this time. 3 Q. I'm not asking about the email trail, I am 4
- just asking about when you visited on this morning, 5 where we have got a third baby die in a very short
- 6 period, were you aware of those concerns doctors 7 speaking about those?
- 8 A. I don't recall -- I don't recall anybody 9
  - mentioning concerns no, as a rash.

10 LADY JUSTICE THIRLWALL: Do you remember them 11 mentioning the deaths at all?

- Unfortunately nine years ago I really can't 12 Α.
- remember that far back. There would have been general 13
- 14 discussions and obviously I would have spoken to Steve
- but what the content of those discussions was, I really 15 don't recall. 16
- 17 MS BROWN: And you say that your general course would have been to report matters to Ruth Millward. 18
- Would you have reported not only the death of Child D 19
- 20 but would you have gone to Ruth Millward about the fact
- that there had now been three deaths within a very short 21
- 22 period; is that something you would have taken to
- 23 **Ruth Millward?**
- 24 Α. I would have thought so and I think Ruth
- 25 actually completed one the SBARs so she was certainly 48

(12) Pages 45 - 48

aware of at least one of the deaths. Whether she would 1 2 have been aware what the normal acceptable death rate 3 was for the unit, I don't know. But she -- yes, 4 I certainly would have escalated that there had been 5 a cluster. 6 Q. We are going to come on to a meeting in due 7 course but just on a few other matters. In relation to 8 Child Death Overview Panels and Sudden Death in Infancy 9 Panels you say in your statement that was not a matter 10 that you were involved in and not trained in. 11 Is that the case? Did the Risk and Safety Department have any involvement in when deaths should be 12 13 reported to an external? 14 Α. No. 15 Q. That was not -- neither you nor Ruth Millward, 16 that was something that the Risk and Safety Patient 17 Department didn't deal with? Α. 18 No. 19 Q. In relation to Coroners, is that the same 20 situation? 21 Α. Yes, it was the legal department and 22 bereavement that dealt with Coroner referrals. 23 Q. You say in terms of the management and your unit that Ruth Millward introduced daily huddles within 24 25 the risk team. 49 1 with you copied in. We can see your name on the copy 2 list there: 3 "Just to confirm that I have met with Eirian and 4 reviewed the case notes of Child D who died in the early 5 hours of this morning. We have discussed whether there 6 are any other issues in view of the two other recent 7 sudden deaths on the NNU." 8 So at that point, you were clearly being copied in 9 and made aware of the fact that there had been these three deaths and then Dr Brearey says: 10 11 "All deaths occurred in Room 1 in different cot 12 spaces. All microbiology results have been negative. 13 The initial postmortem did not identify a definite cause 14 of death in relation to Child A. The other two postmortems are in progress. Child D was not on TPN 15 [Total Parental Nutrition] ... died ..." 16 17 The number of days has been redacted. 18 Nosocomial infection, so no hospital acquired infection, or that's unlikely. They say that is very 19 20 unlikely. 21 Then it goes on to say: 22 "There does not seem to be any staff, medical or 23 nursing members present at all three episodes other than

24 one nurse who was not the nurse responsible for Child D

25 on that shift".

1 Do you think the fact of three deaths occurring in 2 such short succession would be something that you would have raised at that huddle? You are the risk team as 3 I take it to be an informal meeting, is that the sort of 4 thing you would have shared then? 5 6 Α. I am not really sure to be honest. I think 7 I would have shared that with Ruth outside of the 8 huddle. The huddle was mainly to catch up on what your plans were for the day, who would be in the office, 9 10 cascading any information that we needed. 11 MS BROWN: My Lady, I don't know if that would be a convenient moment. I am going to turn now to going 12 through some documents in quite some detail so that 13 might be an appropriate moment? 14 15 LADY JUSTICE THIRLWALL: Very well. So we will 16 take a break now and we will come back at 20 past 11. 17 (11.06 am) 18 (A short break) 19 (11.20 am) 20 MS BROWN: If we could go to INQ0003110, please, at 21 page 6. So, Mrs Peacock, this is the email that was 22 sent on the evening of 22 June so on the Monday evening 23 after you had returned to work so presumably you would 24 have received it on the Tuesday when you came into work. 25 It's from Dr Brearey and it's sent to Dr Javaram 50 1 Then if we go on, if we could go to page 7, it says 2 there at the bottom of the paragraph just before the 3 numbers: 4 "I would be very surprised if Child D's death is 5 linked in any way to the previous recent deaths of Child A and Child C. We have agreed an action plan 6 7 however ..." 8 And then the action plan is set out, to review 9 Child A and Child C in detail, review Child A's 10 postmortem, discuss microbiology. Eirian to check the 11 thermometers, the incubator the antibiotics prescribed 12 and Dr Brearey is going to speak to Jo Davies, so the 13 obstetrician involved, in relation to Child D. 14 So it seems there what Dr Brearey is saying is that 15 they are going to look at or he is initiating looking at these deaths together and presumably that would have 16 been something that you would have wanted from a patient 17 risk and patient safety perspective too? 18

A. Yes.

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- **Q.** In terms of the action plan, considering
- 21 whether there are points in, you know, potential themes,
- 22 potential things that might link the deaths?
- 23 **A.** Yes.
- 24 Q. We see if we go then to page 4, that
- 25 Eirian Powell on the 25th responds at the top that all

three babies were nursed in different incubators, the 1 2 thermometers have been checked, antibiotics prescribed 3 were given as prescribed. 4 So we are -- in terms of the common -- possible 5 common themes, they have been checked out and proved to 6 be negative? 7 Α. Yes 8 If we just go to page 1 of that document, that Q. 9 email trail, we see that you responded you had actually 10 responded prior to Eirian Powell, you had responded on 23 June: 11 12 "Hi Steve, who spoke with the Coroner regarding 13 recent deaths? Do you know if the Coroner has raised any specific concerns". 14 15 You have said that you weren't involved in the 16 Coroner's proceedings, what was the reason for that 17 email? 18 Α. Obviously I would have liked to have known in 19 response to the Coroner referrals whether the Coroner 20 had any confirmed --any concerns, if things had been discussed with him, whether there were there was 21 22 anything suggested by the Coroner. 23 Q. One of the things of course that 24 Stephen Brearey -- Eirian Powell has checked on the 25 thermometers and the incubators. One of the other 53 1 my general understanding. 2 LADY JUSTICE THIRLWALL: So you don't know whether 3 it was at this stage --4 No, I don't. Α. 5 LADY JUSTICE THIRLWALL: -- or not. Thank you. 6 MS BROWN: Can we just look briefly at what else 7 was going on at this point. If we could just go now to 8 INQ0025767 and this is tab 13. 9 So one of the other things that was going on is that there were meetings -- sorry, meetings being held 10 of the Neonatal Incident Review Group and we see there 11 12 that is an email from you sent on the 24th to a number of recipients attaching the incidents for review. We 13 14 don't need to go to that document now but the document was a list of the various incidents and we see on that 15 that certainly Child A and Child D, it doesn't appear 16 17 that Child C, but certainly Child A and Child D's deaths 18 are referred to in that. 19 Do you recall that discussion that was held on 20 24 June? So these were regular meetings that we held. 21 Α. 22 We tried to have them fortnightly depending on 23 availability of Eirian and Steve Brearey. So we pulled

24 up all the incidents to review them all and this is25 where we were doing the trending.

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things Stephen Brearey had raised was the commonality of 1 2 one nurse. Was that something that you felt as Risk and 3 4 Patient Safety Lead you should look into at that point? No. My understanding at that point was that 5 Α. 6 there were a couple of nurses and a couple of doctors 7 that were -- had a commonality with the babies. How did you find that out? 8 Q. 9 Α. I really don't know. I think it was 10 discussions rather than something that was written down. 11 Who would the discussions have been with? Q. It would have been with Stephen Brearey and 12 Α. Eirian, I would presume, because they would have been 13 14 the only two people I was talking to in relation to 15 this. 16 So what was the context, you were discussing Q. 17 which nurses were in common, because it seems that Stephen Brearey had already identified that, he had 18 19 identified that there was just one nurse in common? 20 As I say, I don't know where I got the Α. 21 understanding from but I understood that there were two 22 nurses and two doctors, that there was a commonality. 23 LADY JUSTICE THIRLWALL: Are you sure that was at 24 this stage and not later? 25 A. I really don't know, I am sorry. It was just 54

1 But certainly if the baby deaths had have come up 2 at these, I think they would have been reviewed and 3 discussed at a separate meeting. 4 Q. At that meeting, what would your role be, who 5 first of all would be have been at that meeting? 6 Δ. So there was -- you can see the recipients of 7 the email. So generally there was Stephen Brearey, 8 Eirian Powell, quite often Eirian's deputy, depending on her workload, we had the pharmacist for the neonatal 9 unit and it was also used as a teaching experience as 10 11 well for members of staff could come in if they were 12 available just to see how the process worked. 13 We would sit down and have the notes for the 14 babies. I think Steve would get the prescription charts and suchlike up on the computer and we would go through 15 them and it would be Steve that would determine the 16 17 level of harm, if any, was caused and what the follow-up 18 was from that. 19 Q. Would you in terms of Risk and Patient Safety Lead and knowing at this point that there had been three 20 deaths in a short period, would you have raised this as 21 22 a concern at that meeting would that have been a topic 23 of discussion?

- 24 A. I really don't know, I am sorry, I can't
- 25 remember. I would have hoped that I would have done. 56

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(14) Pages 53 - 56

I would have been surprised if I hadn't. 1 2 Q. If we can look then now at the meeting of 3 2 July. If we could go to tab INQ0008302. This is 4 tab 15, my Lady, in your bundle. 5 This is an email from Stephen Brearey: 6 "Hi Debbie, thanks for your help today. I have 7 attached my summary and data for tomorrow's meeting." 8 So this is the meeting on 2 July whereas prefaced 9 in the email we looked at before, there is going to be 10 consideration of Child A, Child C and Child D's death together? 11 12 Α. Yes. 13 Q. If we could go to 0003191, these are the notes that Dr Brearey attached to that email and we see that 14 he produces a short summary, he refers to Child A who 15 16 died on 8 June and underneath Child A refers to Twin 1 17 and that's Child B who had a respiratory arrest 24 hours later but responded to resuscitation. 18 19 So we have got a death and a near death, 20 a resuscitation incident. 21 Then we have got Child C six days later on 14 June, 22 bottom of the page Child D, and noting and in fact as is 23 the case for all of them "awaiting postmortem". Then 24 there is a heading "Learning from these cases". 25 If we could go on to the next page, page 3, we see 57 1 was any commonality which linked the deaths. 2 Is that a meeting you recall, Mrs Peacock? 3 Α. I don't, sorry, no. 4 Q. Given that it was such an unusual -- first of 5 all an unusual string of events to have three deaths in 6 such a short period and personally unusual for you to be 7 at a meeting with Mrs Kelly, so with the most senior 8 nurse in the organisation, the Director of Nursing, you 9 have no recollection of the meeting at all, is that your evidence? 10 11 Δ Sorry, no, until I saw the email sending the summary around, I didn't think I had actually been 12 13 there. 14 So in the absence of an actual recollection in Q. terms of best practice, bearing in mind this meeting was 15 looking at the potential commonality between three 16 17 deaths, would you have had an agenda, would you have gone through the areas of commonality to see if there 18 was anything that linked the deaths together? 19 20 Α. As I say, I really don't know what we discussed at the meeting. I don't know the format of 21 22 the meeting. Sorry. 23 Would you have been concerned that the same Q. 24 nurse was identified as being present on those, you have heard that you were one of the people who was alert to 25 59

1 Dr Brearey has also set out the mortality data and we

2 see that in 2013 there were two neonatal deaths

3 according to this chart in 2014 -- according to this

4 chart, there were three.

5 So that rather highlights, Mrs Peacock, that this

6 was a very unusual string of events having three

7 deaths --

8

9

- A. Yes.
- Q. -- within a two-week period?
- 10 **A.** Mm-hm.
- 11 **Q.** At that meeting, we know at the meeting on
- 12 2 July, Alison Kelly, the Director of Nursing attended,
- 13 Eirian Powell, Ruth Millward, so your boss,
- 14 Stephen Brearey, you, and Sian Williams, how common
- 15 would it be for you to be at a meeting where
- 16 Alison Kelly was also present?

A. Not very common but I had been at meetingswhen she was present.

19 **Q.** We see the meeting, in fact the meeting is --

20 we don't need to go to it, it is in paragraph 88 of your

- 21 statement. But the meeting is referred to in fact
- 22 within a review of Child D but it's described as an
- 23 Executive Serious Incident Panel on 2 July, there had
- 24 been three neonatal deaths in a short period of time and
- 25 the circumstances were discussed to identify if there 58
- 1 the fact that, whilst rare, harm inflicted by a healthcare professional was a possibility. Do you 2 3 think that's something you would have raised at that 4 meeting as something that they needed to be sure of 5 because if that was the case, this would be an extremely 6 serious situation? 7 Α. I think at this stage Steve Brearey had quite 8 clearly said that he didn't think there was a link between D and A and C and he said that the nurse wasn't 9 actually looking after Baby D at the time. So that 10 connection wasn't there for me. 11 12 Q. In relation to that, as you have explained, 13 you provided an overview, is that something that you 14 would have looked into to check whether Letby was involved in the care of Child D because in fact Letby 15 was working in Nursery 1? 16 17 Α. Right. 18 Q. Not as the designated nurse, but is that
- 19 something you would have checked?

A. Not if Steve Brearey had told me definitivelyno.

22 **Q**. Well, he didn't say -- he didn't get into the

- 23 detail of the nursery, he was the clinician, you were
- 24 Risk and Patient Safety. Was that not something that
- 25 you felt was something that was within your remit to 60

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check, to be -- so that you could be sure in your mind? 1 2 Α. It wasn't for me to personally go and check to 3 see who was on where. I would have had those 4 discussions to ascertain for my own peace of mind but as I say, timeline wise, I'm not sure whether at this stage 5 6 I knew that there was another nurse and two doctors that 7 had -- were in common with some of these deaths, 8 I really don't know. 9 Q. You address this in paragraph 74 and 75 of 10 your statement and you say that: "... a particular nurse referred to was employed 11 full time but also worked extra shifts to provide cover 12 13 for the short staffing." So it would appear from your statement that prior 14 to this meeting you had discussed the commonality of the 15 16 nurse with Eirian Powell. Would that be right, is that 17 what you were likely to have done, is that what you say in your statement? 18 19 Α. Yes ves 20 Q. So you were aware that Letby was the nurse? 21 Α. I don't know whether I would have been aware 22 that's who it was. I didn't know Lucy Letby at all, so 23 it wouldn't have had any relevance them giving a name to 24 me 25 Q. It seems to be from your statement that you 61 1 Α. Yes. 2 Dr Brearey had said that there was one nurse Q. 3 who was on duty at the three deaths and he's referred in 4 his note there was also a collapse of Child B and it 5 appears that you had discussed the issue of staffing 6 with Eirian Powell. 7 Now surely, as the Risk and Safety Lead, the person 8 who's drawing together the issues of risk and safety, 9 and within your mind as you have said, the possibility that you had to always be alert to, that harm could be 10 caused, why were you not raising that at that meeting? 11 Was that not your role as Risk and Safety Lead at that 12 13 meeting, to look into that because if there was a common 14 factor of a nurse involved in the three deaths, but possibly in the one collapse that had been present, that 15 is something that warranted a serious investigation, 16 17 didn't it? 18 It wasn't for me to determine whether it Α. warranted a serious investigation. I think at the time 19 20 I had been led to believe that there were no suspicions, no suspicious circumstances surrounding the deaths, that 21 22 they were natural causes. So concerns were raised about 23 the increased mortality rate but --24 Q. Why -- why do you say, Mrs Peacock, that these were natural causes? Where are you getting that from? 25

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- 1 are accepting what Eirian Powell said, that it was
- 2 unlikely to be an issue that it was the same nurse
- 3 because Letby worked a lot of shifts and Letby was not
- 4 the designated nurse for Child D?
- 5 A. As I say, timeline wise, I don't know what
- 6 I knew at that time. I was just aware that she did
- 7 cover a lot of shifts because she was working extras,
- 8 that particular nurse. So it wasn't deemed to be
- 9 unusual for her to be on duty when there was an incident
- 10 and I understood obviously further down the line that
- 11 there were some deaths that she wasn't on duty for.
- 12 So ...

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- 13 Q. In terms of the number of deaths that she was
- 14 there, we have seen from Dr Brearey's notes that he
- highlights Child B's collapse. Would you have thoughtit was relevant to see, well, was Letby on duty or was
- 17 the nurse the common nurse on duty for Child B's
- 18 collapse, that would have been relevant in order to
- 19 assess the commonality?
- 20 A. At that stage I probably wouldn't, I really
- 21 don't know. Sorry. As I say, I don't recall being
- 22 aware of any collapses but that was obviously in the
- 23 document. So it was never highlighted as an issue.
- 24 Q. Well, you were the Risk and Patient Safety25 Lead for neonatal care?
  - 62
- We have looked at the Datixes which talk to "unexpected
   deaths"?
  - A. So that was the impression.
    - Q. "Postmortems are awaited".
  - A. That was the impression I was given by
- 6 Steve Brearey that we had a presumed natural cause for
- 7 each of the deaths, we hadn't identified anything in the
- 8 care that would suggest otherwise and I don't know, just9 overall.
- So yes, it was a consideration. How much emphasiswe put on that at the time, I really can't say.
- 12 **Q.** There were no postmortems at this stage for
- 13 any of those children, so a conclusion on the cause of
- 14 death had not been made, that is the case isn't it?
- A. No, there had been cause of deaths suggestedat that stage.
- 17 **Q.** And --
- 18 A. Proposed.
- 19 Q. Who -- who do you say had informed you that
- 20 these were natural deaths?
- 21 A. From the proposed causes that we were given,
- 22 it didn't suggest that they were unnatural.
- 23 **Q**. Given -- given by whom?
- 24 A. It was on one of the emails I think that Steve
- 25 sent round with a presumed cause of death on them.

1 Q. Well, maybe we can return to that if we need 2 to. But looking at the conclusion of that meeting, the 3 meeting concluded that no further investigation was 4 warranted at this stage. Was that a conclusion that you 5 agreed with? On the information that we had that Steve had 6 Α. 7 presented, then yes. 8 What did you take it to mean at this stage? Q. 9 Α. We were waiting for the postmortem to come 10 back on Baby D, I think. Would another aspect of at this stage be that 11 Q. if there were further deaths and the same nurse was 12 found to be on duty, that that would also be a reason 13 for reconsidering further investigation? 14 15 I don't think we had considered that at that Α. 16 stage that there would be more deaths. 17 Q. But that's something that should have been considered, wasn't it, Mrs Peacock, because you have 18 19 already alerted the fact that in terms of three deaths 20 it was the same nurse. 21 You were aware that in unexpected circumstances, 22 and these -- we have looked at the Datixes -- were all 23 unexpected deaths, that harm by a health professional has to at least be considered. Was that not something 24 25 that you were noting, if not at this stage, for future 65 1 nurse and the baby deaths, that's correct, is it, you at 2 that point didn't see a connection between the nurse and 3 the baby deaths? 4 Α. That's correct. 5 On the possibility that you were wrong, did Q. 6 you consider that there was a safeguarding risk here, 7 did you ever see this in terms of safeguarding, that if 8 there was a risk that harm was being caused to a baby 9 that was something that you should be reporting? 10 I am not aware of having that conscious Α. 11 thought, but yes. 12 Q. Looking back now, can you think why you didn't 13 see this as a safeguarding, where there was harm caused 14 to a baby and a possibility that someone was involved and that should be raised through safeguarding channels, 15 can you explain why that didn't occur to you? 16 17 Α. So this was after the third death? 18 Yes. Or indeed at any point, did you consider Q. safeguarding at any point we are going to go on and look 19 20 at subsequently? 21 I don't know whether safeguarding actually Α. 22 crystallised as a thought. I certainly would have 23 reported if I -- I had any suspicions. But at that 24 stage, although there were concerns, there were no suspicions that somebody had actually caused harm and 25 67

reference? 1

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- 2 Α. I think I was noting it. But Steve had also
- said that that nurse wasn't looking after Baby D at the 4 time.
- 5 Do you accept that you didn't look to see to Q. 6
  - find out any further details about that, whether Letby
  - was in fact on Nursery 1, did you undertake any
- investigations? 8 9 Α. No.
- 10 Q. Ruth Millward in her statement to the Inquiry
- says it would have been appropriate for the hospital to 11
- have reported the overall increase in neonatal deaths 12
- that occurred in June as a Serious Incident and this 13
- would have then triggered a comprehensive investigation 14
- into the increased mortality at an earlier stage. 15
- 16 Do you agree with Ruth Millward's view?
- 17 Α. Sorry?
- 18 Q. Should there have been at that stage in June,
- 19 after the deaths in June, a comprehensive investigation
- 20 of the increased mortality?
- I really can't say. That wasn't my decision 21 Α. 22 to make.
- 23 Q. In terms of safeguarding, you say -- and
- I think you are relying on the fact that you say that 24
- 25 you didn't consider there was a connection between the 66
- 1 I was aware that there were conversations with the Coroner at the time and I would have thought the doctors 2 3 would have raised any concerns, certainly with the 4 Coroner referrals and then obviously the postmortems 5 when we had got them back, they gave natural causes as 6 well 7 So I suppose my thought processes never moved 8 forward to that stage. Moving forward a little in terms of what 9 Q. happened then after that meeting, so the meeting 10 concluded that there was no further investigation at 11 12 that stage. 13 We then see that in fact what was decided at that 14 meeting was that there should be a full review of
- Baby D's death and if we can see INQ0004520, this is 15
- tab 10. This is -- I will get it on screen in 16
- a moment -- this shows there was a report, a fuller 17
- report into death of Child D and we can see there in the 18
- investigation team of obstetrics, you feature in the 19
- 20 investigation team for the secondary review obstetric
- and indeed in the Neonatal Review Team and that reflects 21
- 22 your role, doesn't it, that you were looking both from
- 23 an obstetric and from a neonatal perspective?
- 24 Α. Yes. Mmm mm.
- 25 Why is it that this was done for Baby D Q. 68

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1	a fuller report, but not for A and C? Do you recall why	1 had died as well in August. Did you consider that now
2	that decision was made?	2 we have got an additional baby death that you needed to
3	A. I don't, sorry.	3 review the position and consider whether to look first
4	Q. How was this report produced was there	4 of all to see whether this same nurse was present at
5	actually a meeting or was this a paper exercise?	5 that death?
6	A. So this was a paper exercise. The obstetric	6 A. I really don't recall, I am sorry.
7	secondary report had already been done as had the	7 Q. Is that something that you should have been
8	neonatal review. So this paper was just combining the	8 doing as patient and safety where you have got
9	two, the narrative from the two reports.	9 an additional death, it's been identified the same nurse
10	Q. That was something you did, you physically	10 is present at A, C and D, you have then got a very short
11	brought these together, did you, was this a document	11 period afterwards another unexpected death? As Risk and
12	that you produced?	12 Patient Safety Lead, you have said that your role was
13	A. It's a document that I produced which was copy	13 patient safety. What were you proactively doing to
14	and pasting so it it's the authors would be the	14 to investigate at this point, to take steps for patient
15	obstetric team and the neonatal, or Steve Brearey, it	15 safety?
16	would have been.	16 A. So I would like to think that I did have that
17	<b>Q</b> . There was then subsequently a round table	17 thought. I can't say whether I did or not. I would be
18	meeting after the postmortem was obtained in the case of	18 surprised if I didn't but that would have been
19	Child D and at that time, I think there was an actual	19 a discussion with Steve Brearey and Eirian. Other than
20	meeting. Do you recall that meeting when Dr Davies,	20 that, I can't say any more, sorry, because I have no
21	Dr Newby, Ms Fogarty, Eirian Powell and yourself met to	21 recollection of it.
22	consider the postmortem results of Baby D?	22 <b>Q.</b> If we can move forward again now. So Baby E
23	A. I am sorry, I don't recall it, no.	23 has died, you say you don't recall that death?
24	<b>Q</b> . Because by that stage that was held on	24 <b>A.</b> Sorry.
25	12 October considering Baby D by that stage Baby E	25 <b>Q.</b> Is that your evidence, you don't recall the
1	death of Baby E?	1 the time, sorry.
2	A. I don't no.	2 <b>Q.</b> Well, you were Risk and Patient Safety Lead.
3	<b>Q.</b> So moving forward now to 23 October. That was	3 That must have caused concern, mustn't it, that we have
4	the day that Child I died and if we could go to	4 now got the initial three but two additional deaths that
5	INQ0005609 and this is tab 21, my Lady.	5 we are concerned at, but indeed other deaths as well,
6	So we have got an email here from Eirian Powell	6 all of which Lucy Letby was present at and her name's
7	that you are copied into and it says:	7 being highlighted in red and that's been prompted, it
8	"Hi Steve, just to say that I have discussed the	8 appears, by the clinical the neonatal lead
9	above with Anne Murphy and on reflection it was decided	9 Dr Brearey, contacting the ward manager.
10	to leave this until Monday. Alison Kelly was not in the	10 That has to be a very serious patient safety
11	hospital and Sian had just left. I have devised	11 concern, doesn't it?
12	a document to reflect the information clearly it is	12 <b>A.</b> It would be looking at it, but I also
13	unfortunate that she was on."	13 understood that she wasn't looking after all the babies
14	That is a reference to Letby being on duty?	14 that she died that had died.
14 15	That is a reference to Letby being on duty? <b>A.</b> Mm-hm.	<ul><li>14 that she died that had died.</li><li>15 LADY JUSTICE THIRLWALL: So where do you understan</li></ul>
15	A. Mm-hm.	15 LADY JUSTICE THIRLWALL: So where do you understan
15 16	A. Mm-hm. Q. It ends:	<ul><li>15 LADY JUSTICE THIRLWALL: So where do you understan</li><li>16 that from?</li></ul>
15 16 17	<ul> <li>A. Mm-hm.</li> <li>Q. It ends:</li> <li>"I will discuss further with Debbie on Monday."</li> </ul>	<ul> <li>15 LADY JUSTICE THIRLWALL: So where do you understan</li> <li>16 that from?</li> <li>17 A. It is on the chart.</li> </ul>
15 16 17 18	<ul> <li>A. Mm-hm.</li> <li>Q. It ends:</li> <li>"I will discuss further with Debbie on Monday."</li> <li>Attached to that was a chart that listed eight</li> </ul>	<ul> <li>15 LADY JUSTICE THIRLWALL: So where do you understan</li> <li>16 that from?</li> <li>17 A. It is on the chart.</li> <li>18 LADY JUSTICE THIRLWALL: I see, so you do remember</li> </ul>
15 16 17 18 19	<ul> <li>A. Mm-hm.</li> <li>Q. It ends:</li> <li>"I will discuss further with Debbie on Monday." Attached to that was a chart that listed eight deaths, the first of which was back in March. But all</li> </ul>	<ul> <li>LADY JUSTICE THIRLWALL: So where do you understant</li> <li>that from?</li> <li>A. It is on the chart.</li> <li>LADY JUSTICE THIRLWALL: I see, so you do remember</li> <li>that?</li> </ul>
15 16 17 18 19 20	<ul> <li>A. Mm-hm.</li> <li>Q. It ends:</li> <li>"I will discuss further with Debbie on Monday." Attached to that was a chart that listed eight deaths, the first of which was back in March. But all the deaths from June onwards, and that included Child A,</li> </ul>	<ul> <li>LADY JUSTICE THIRLWALL: So where do you understant</li> <li>that from?</li> <li>A. It is on the chart.</li> <li>LADY JUSTICE THIRLWALL: I see, so you do remember</li> <li>that?</li> <li>A. I I remember I don't remember the chart</li> </ul>
15 16 17 18 19 20 21	<ul> <li>A. Mm-hm.</li> <li>Q. It ends:</li> <li>"I will discuss further with Debbie on Monday." Attached to that was a chart that listed eight deaths, the first of which was back in March. But all the deaths from June onwards, and that included Child A, Child C, Child D, Child E and Child I, on each of those</li> </ul>	<ul> <li>LADY JUSTICE THIRLWALL: So where do you understant</li> <li>that from?</li> <li>A. It is on the chart.</li> <li>LADY JUSTICE THIRLWALL: I see, so you do remember</li> <li>that?</li> <li>A. I I remember I don't remember the chart</li> <li>I've seen it on the chart in the documents that were</li> </ul>
15 16 17 18 19 20 21 22	<ul> <li>A. Mm-hm.</li> <li>Q. It ends:</li> <li>"I will discuss further with Debbie on Monday." Attached to that was a chart that listed eight deaths, the first of which was back in March. But all the deaths from June onwards, and that included Child A, Child C, Child D, Child E and Child I, on each of those occasions Eirian Powell has noted that Lucy Letby was on</li> </ul>	<ul> <li>LADY JUSTICE THIRLWALL: So where do you understant</li> <li>that from?</li> <li>A. It is on the chart.</li> <li>LADY JUSTICE THIRLWALL: I see, so you do remember</li> <li>that?</li> <li>A. I I remember I don't remember the chart</li> <li>I've seen it on the chart in the documents that were</li> <li>provided to me.</li> </ul>

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MS BROWN: So by that you mean that whilst Letby 1 2 was staff on duty, she wasn't necessarily allocated to 3 the baby, is that the point you are making? 4 Α. Yes. 5 Why did you think that Eirian Powell had Q. 6 highlighted Lucy Letby's name in red and what did you 7 understand to be Dr Brearey's concern? 8 Dr Brearey never discussed any concerns with Α. 9 me. He certainly never discussed any suspicions about 10 any member of staff. Obviously this -- we looked at all the staff that were on. 11 12 But what did you understand to be --Q. 13 Eirian Powell had drawn up this statement. What did you understand to be Dr Brearey's concern that had led to 14 the creation of this chart? 15 16 Α. Other than noting that she had been on for 17 a lot of the deaths he never voiced a concern and I didn't know what his concern was. 18 19 Q. Well, why did you think that Stephen Brearey 20 had asked Eirian Powell to draw up a chart stating who was on duty and why Eirian Powell had highlighted Letby 21 22 in red? 23 Α. He obviously had some concern about her being 24 on duty. 25 Q. So that was obvious, wasn't it, that was 73 1 relevant to look at other members of staff? 2 To see whether there were any competency Α. 3 issues, if it was a recurrent theme with one member of 4 staff. 5 So you were clear that what this chart was Q. 6 doing was looking to see a connection between someone 7 being on duty and the death of the baby whether there 8 was a connection to be made? 9 Α. Yes. 10 Q. And the connection what had been made was with Letby, that is why she was in red. 11 As I say, on that table, yes, I had been led 12 Α. 13 to understand that there was another nurse that was 14 a commonality and two doctors. 15 Well, you were then saying that doctors should Q. be highlighted as well? 16 17 Α. Yes. 18 Q. That was to see if there was any commonality --19 20 Α. Yes. 21 -- in terms of doctors? Q. 22 It then goes, this email says: 23 "Debbie was of the same opinion that we did not 24 think there was a connection." 25 So you are referring there, are you, Mrs Peacock, 75

obvious from the fact he asked for this and a chart was 1 2 produced with her name in red that he had concerns? A. I -- I suppose at the time I believed that he 3 4 had any concerns, any suspicions, they would have been reported to the Coroner or the police. 5 6 Q. Well, let's just look at what was being 7 reported to you. At the stage of that email being sent on 23rd, it appears that the view of Eirian Powell was 8 that it was going to be raised with Alison Kelly. 9 10 What discussion did you then have with Eirian Powell about this, about the chart and your views 11 on this? 12 13 Α. As I say, I don't recall the discussion. 14 Q. Can we just turn to INQ0003107. So that's an email from Eirian Powell to Steve: 15 16 "I have spoken at length with Debbie this morning 17 in relation to the mortality rate." 18 So do you have any recollection of that 19 conversation that was at length about the mortality 20 rate? 21 I have a vague recollection of discussing with Α. 22 Eirian that we should look at all the staff that were 23 present, not just highlighting one particular member of 24 staff 25 Q. Why was that? Why did you think it was 74 1 to a connection between Letby and the deaths, that is the connection you are talking about, is it? If you 2 3 just look at the email? 4 No, I was talking about a connection with all Α. 5 the deaths, with all the variables, not just Letby, I --6 I would imagine. 7 Q. Well, let's just look at the sequence because 8 you have, at this point, the chart you are -- you have in front of you was a chart that lists a number of baby 9 deaths against each of those, barring the death that was 10 much earlier in the year, but all of the deaths from 11 Baby A onwards until October 23, which was Child I's 12 death, against each of those Letby was shown to be on 13 14 duty and her name was highlighted in red and then you are responding after a lengthy meeting saying that you 15 were of the "opinion we did not think there was 16 17 a connection". 18 Now the obvious meaning of that is, isn't it, Mrs Peacock, is that there wasn't a connection between 19 20 Letby and the deaths; that's what you were saying, was 21 it? 22 As I say I can't comment because I don't Α. 23 remember the conversation. 24 Q. Because what could have been your basis for concluding that there wasn't a connection between Letby 25

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(19) Pages 73 - 76

and the deaths, what would you have seen that could have 1 2 led you to that conclusion? 3 A. I am presuming because she wasn't the nurse 4 caring for the -- some of the babies that died at the 5 time of their death. 6 Q. Can you recall what information you had in 7 front of you to inform you of who was of that 8 information? 9 Α. Only this chart that's been produced and the 10 documents. So that wouldn't have told you whether she had 11 Q. attended that baby through looking at the medical 12 13 records, for example? It wouldn't have told me whether she had 14 Α. attended the baby but it was the nurse assigned to that 15 16 baby and these were ill babies so the nurses stay with 17 the babies a lot of -- most of the time. So whereas Stephen Brearey was raising that as 18 Q. 19 a concern, hence the production of the chart, you were 20 saying there wasn't a connection. Did you feel in 21 a position to say that? 22 Α. No, I wasn't in a position to say that at all. 23 Q. The consequences it appears of that discussion 24 was that this wasn't then raised with the Executive team 25 and Alison Kelly at that point so this was a very --77 1 Α. I was aware of his concerns at the rise in the 2 mortality rate but I wasn't aware of any suspicions that he had or concerns in relation to a particular member of 3 4 staff. 5 Q. If we could go now to INQ0003222, that is 6 tab 25 7 So this is a review of neonatal deaths. We see 8 "Review of neonatal deaths and stillbirths at the 9 Countess of Chester" and you were part, you can see, of 10 the review team. 11 Can you recall being part of this review team? I actually wasn't part of the review team, 12 Α. I sat in to observe this meeting which is why I didn't 13 14 organise it, I didn't take minutes from the meeting. 15 What was your purpose as an observer there, Q. presumably it was you observing from the point of view 16 17 of Risk and Patient Safety? 18 As I say my -- my recollection of this meeting Α. is quite sketchy. I did think that there were 19 20 paediatricians present at this meeting but patently 21 there weren't 22 Q. We will see that the heading of that report is 23 review of neonatal deaths and stillbirths at the 24 Countess of Chester. In fact, this was just looking at 25 the obstetric care, wasn't it?

there were very serious consequences to this discussion 1 2 because at that point this matter it would appear then did not go to Alison Kelly? 3 A. From what I know now after seeing the 4 documents I think Steve was escalating this outside of 5 6 meetings with risk and governance to Alison Kelly. 7 Q. And at this stage, so we have moved on now, you have said that you visited the neonatal ward I think 8 9 on an almost daily basis. 10 Were you aware of rumours by this stage that now we have got more deaths and we have also got the death of 11 Child E, the death of Child I, were you aware of rumours 12 or concerns on the neonatal ward when you visited, that 13 there was an undue number of deaths, that they were 14 unexpected and that there may be a staff member 15 16 involved? 17 Α. No, I wasn't aware of any of the rumblings 18 behind the scenes. 19 Q. Not aware of concern at the increased 20 mortality? 21 Α. No, I didn't really speak to the nurses, as 22 I say, they were in the rooms with their babies and 23 I tended not to go in the rooms unless it was necessary. 24 But you were -- you said you spoke to Q. 25 Dr Brearey and you were aware of his concerns? 78 1 Α. It was looking at the early neonatal deaths as 2 well, the babies that had been born in poor condition 3 and died on delivery suite. 4 Q. But it was just looking at it from the obstetric point of view? 5 6 Α. Yes. 7 Q. It wasn't looking at it from the neonatal 8 aspect? 9 Α. That's correct. 10 Q. So that heading was actually misleading, wasn't it? 11 As I say, the obstetricians would have 12 Α. referred to early neonatal deaths for babies that died 13 14 on delivery suite from their way of thinking, yes, it is misleading. But probably not intentional. 15 16 Q. If we just look then at tab 27 -- sorry, tab 28. if we could look at INQ0004371. So this is 17 then -- so we have that review in November, and that 18 review, the obstetric review, didn't identify any themes 19 20 or concerns; that is the case, isn't it? 21 Sorry, what is the question? Α. 22 Q. The obstetric review didn't reveal any themes 23 or concerns about the maternity care? 24 Α. I don't think so looking at the documents, no. 25 Then the Women's and Children's Care and Q.

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(20) Pages 77 - 80

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Q.

Α.

Q.

Α.

Q.

- 1 Governance Board meeting on 18 December, and that was
- 2 one that you sat on, this was the committee, the board,
  - that you sat on?

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- A. Yes.
- **Q.** We will see if we could go to page 2 of that, we see there at point 9:
- 7 "Stillbirth and early neonatal death review and
- 8 action plan."
- 9 And it says there:
- 10 "No themes identified."
- 11 Did you think at that point from a risk and safety
- 12 point of view, you should have been alerting the meeting
- 13 knowing that these minutes went on to Alison Kelly
- 14 alerting the meeting that this was only the obstetric
- 15 clean bill of health and that there were concerns in
- 16 terms of neonatal, the neonatal care and in fact you
- 17 were aware certainly of Stephen Brearey's concerns about
- 18 the commonality of the nurse because that appears to
- 19 suggest that there were no themes identified but that is
- 20 purely from an obstetric point of view?
- 21 A. It is, yes. But it does say "Stillbirth and
- 22 early neonatal death" and it comes to assuming people
- 23 have similar knowledge to yourself. So I would have
- 24 thought seeing who the authors were and what the report
- 25 was that people would have realised that it was deaths

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Q. If we could just go to page 6 where you deal with neonatology. We don't have to work through that in detail but there's nothing in that report that draws attention to the increased mortality or concerns about the number of unexpected deaths. Was that not something that was headed "Trend Analysis Report" should have been referred to? Α. So this was just the pure data from Datix that I pulled looking at the highest category of reported incidents and explaining what they were. If there had been investigations and they were raised as a separate agenda item at the Women's and Children's, I think the end of year report when we had the yearly figures in, that would have been something that I would raise then. Can we just turn now to INQ0005643. So this Q. is in January. So we have had the -- you have looked at the obstetric review, that has happened in November, we have seen back in October you saw and discussed the chart identifying Letby's name next to child deaths and then we come to January and we see at the bottom of that page there is an email from Eirian Powell to Stephen Brearey and if one goes over the page, you can see what she is saying is: "I have amended the last list ..." That is the list where Letby's name was in red: 83

an Incident Trend Analysis Report that I think you authored, do you recall that? A. Yes.

was escalating to Ian Harvey and Alison Kelly.

on the delivery suite and stillbirths.

But you didn't highlight at any point,

Governance Board or indeed the other meetings that went

No. I would have escalated it had one of the

But you were employed to give risk and to look

Mrs Peacock, in the Women's and Children's Care

ahead the various reviews you had, that there was

a concern about the deaths on the neonatal unit?

paediatricians raised it, then that would have been

specifically at Risk and Patient Safety. Given that

highlighted in red, did you not think that at that point

this is something that needs to be highlighted, this

discussed at this meeting? Why -- from a risk and

safety point of view, why weren't you bringing that to

these meetings? That is what we need to understand.

I think I was aware at this stage that Steve

If we could just look at 0015141. So this is

Stephen Brearey had raised the commonality of a nurse

you had seen that document with the deaths and her name

needs the Executives need to be aware, this needs to be

something that I would have escalated.

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1 "... to ensure that we have included all the babies 2 that have died on the unit within the timeframe." 3 Because in fact two further babies had died where 4 Letby had been on duty. 5 If we just go back then to page 1 because the 6 emails are in the wrong order, so to speak. So we have 7 got the reply from Stephen Brearey on 22 January and you 8 are copied in to this reply. 9 He says: 10 "I have discussed our increased mortality with Nim." 11 12 That is Dr Subhedar from Liverpool. Why did you understand that Dr Brearey was wanting 13 14 to bring in an outsider, a specialist from outside the 15 Trust? 16 Α. I would presume because he was concerned about 17 the raise in mortality rates and he wanted an independent person to come and review the notes to 18 see whether anything had been missed. 19 20 Q. Were you concerned about the mortality rates? 21 Yes, sorry, I thought I had already said that Α. 22 earlier. Yes, I did have a concern. 23 O. You were concerned and -- and this is 24 Stephen Brearey's initiative, were you taking any initiative from a patient safety -- from your Risk and 25

meeting in some of the documents I have seen. 1 2 Q. Can I just take you back to your statement, 3 Mrs Peacock, paragraph 72. You say in that statement: 4 "it is sadly not unusual to have an unexplained cluster of deaths on an NNU ..." 5 6 It was unusual though, wasn't it, Mrs Peacock? You 7 had never seen a series of deaths like this before where you had a number -- a significant number of unexplained 8 9 deaths, had you ever come across that before? 10 Not at the Countess of Chester. Probably at Α. Liverpool Women's and Fazakerley I had. 11 Q. Sorry, where you are you saying you had seen 12 13 this? 14 My first -- it wouldn't have been my first Α. job, when I worked at Fazakerley, which is now Aintree 15 16 hospital. 17 LADY JUSTICE THIRLWALL: When was that? Oh gosh, probably in the 90s. And then at 18 Α. 19 Liverpool Women's. 20 Q. Liverpool Women's was slightly different, 21 wasn't it, because that was a tertiary unit, that was seen as a slightly different set of babies? 22 23 Α. Yes. 24 Q. But the evidence that this Inquiry has 25 received is that it was indeed very unusual to have 86 1 MR SKELTON: Mrs Peacock, I ask questions on behalf 2 of one of the Family groups. Can I just start with some 3 basics about the policies that were in place that 4 governed what staff were meant to be doing in respect of 5 reporting. 6 May I have on screen INQ0006466. Do you recognise 7 this document? 8 Δ I can't recall the document now. Q. You can't remember it? 9 10 Α. No. no. 11 O. So as I understand it, if we go just to page 9 first of all, if you see -- if you could highlight right 12 at the bottom, please, that very small print in the 13 14 footer of the page, very bottom of the page on page 9, I think it's about to be put on screen, hopefully. 15 16 Can that be made legible? 17 There we go. Author: Sally Goode. Who's she? When I first took up post at the Countess of 18 Α. Chester she was the head of risk and governance. 19 20 Q. When you were in post, she was -- what 21 relation with her did you have? 22 Α. Sorry? 23 Q. What relationship with her did you have when 24 you took up your post? Questions by MR SKELTON 25 Α. So she would have been my line manager when

Patient Safety? 1 2 Α. I was relying on Steve. 3 He goes on to say they are going to review the Q. 4 cases and set up a meeting and that meeting in fact happened we know on 8 February and if we could look at 5 6 INQ0003217. This is the meeting that did then take 7 place with Dr Subhedar, we see him, Liverpool Women's 8 Hospital Consultant, as attending. We see your name 9 appearing there and the other attendees listed. 10 Is that a meeting that you recall? Not in any detail, no. I certainly wouldn't 11 Α. have known who attended other than Steve and Nim. 12 13 Most significantly this -- this has been Q. prompted by the -- or it appears it has been prompted by 14 the chart where Letby's name had been highlighted in 15 16 red. Do you recall whether the issue of Letby harming 17 babies was discussed at that meeting? 18 Α. I really don't know, sorry. 19 If it wasn't raised from a patient and safety Q. 20 point of view, would it not have been your responsibility to raise that and say: this is something 21 22 we need to discuss? 23 Α. I really don't know, to be honest. Yes. If those concerns hadn't been discussed already, I think, 24 25 I think Steve said he did actually discuss it at this 85 1 a series of unexplained deaths on the neonatal unit 2 within a short period? 3 Α. So a series of unexplained deaths yes. 4 However, I was aware that we had postmortem results back 5 that gave us an explanation for those deaths. 6 Q. If I could just then turn you to your 7 reflections at paragraph 154, you say: 8 "I cannot think of any steps that could have been 9 taken to identify earlier that Letby was harming babies on the NNU or steps that could be taken now on NNUs to 10 prevent a similar situation." 11 12 Is that still your position? 13 Α. Obviously with the information that we have 14 now, and the postmortem results that gave us cause of death are now in question, aren't they, so I didn't have 15 that at the time. So being given the same set of 16 17 information at the time, then yes. 18 However, with the information we have now obviously things are different. So, yes, had potentially the 19 Coroner been informed sooner, that would have, you know, 20 21 stopped things in its tracks. Yes. 22 MS BROWN: Yes. Those are my questions but there 23 will be some questions from Mr Baker and Mr Skelton. 24 LADY JUSTICE THIRLWALL: Thank you.

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(22) Pages 85 - 88

1	"All staff have a duty to raise concerns regarding
2	care or other activities using the Speak Out Safely
3	policy"?
4	A. Yes.
5	<b>Q.</b> You were familiar with that policy?
6	<b>A.</b> As I say, I don't remember the policy but if
7	that was in place, then yes.
8	<b>Q</b> . From your perspective, would a concern about
9	a nurse being connected with some deaths, leaving aside
10	deliberate harm, be fall within that Speak Out Safely
11	duty?
12	A. So somebody just being connected with deaths,
13	no.
14	<b>Q.</b> What about concerns regarding care being
15	substandard by that nurse?
16	<ul> <li>A. Certainly if the care was substandard, yes.</li> <li>By definition if the purper was herming</li> </ul>
17	<b>Q.</b> By definition, if the nurse was harming
18 19	patients as well? A. Yes.
19 20	<ul><li>A. Yes.</li><li>Q. The duties on the managers:</li></ul>
20 21	"All managers are responsible for engaging all
21	staff in the reporting and management of incidents."
22	If we think of the NNU, the neonatal unit, is that
23	does that mean Eirian Powell is responsible for
25	reporting on that unit ultimately or by "managers" does
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1	and a situation arose then they would be supported
1 2	and a situation arose then they would be supported through submitting a Datix.
2	through submitting a Datix.
2 3	through submitting a Datix. <b>Q.</b> Lastly, just briefly, the Risk and Patient
2 3 4	<ul><li>through submitting a Datix.</li><li>Q. Lastly, just briefly, the Risk and Patient Safety Team in which you sat:</li></ul>
2 3 4 5	through submitting a Datix. <b>Q.</b> Lastly, just briefly, the Risk and Patient Safety Team in which you sat: "Ensure managers are alerted to all significant
2 3 4 5 6	<ul> <li>through submitting a Datix.</li> <li>Q. Lastly, just briefly, the Risk and Patient</li> <li>Safety Team in which you sat:</li> <li>"Ensure managers are alerted to all significant</li> <li>incidents or trends in their areas in a timely manner."</li> </ul>
2 3 4 5 6 7	<ul> <li>through submitting a Datix.</li> <li>Q. Lastly, just briefly, the Risk and Patient</li> <li>Safety Team in which you sat:</li> <li>"Ensure managers are alerted to all significant</li> <li>incidents or trends in their areas in a timely manner."</li> <li>So you are the collating body in the Trust when it</li> </ul>
2 3 4 5 6 7 8	<ul> <li>through submitting a Datix.</li> <li>Q. Lastly, just briefly, the Risk and Patient</li> <li>Safety Team in which you sat:</li> <li>"Ensure managers are alerted to all significant</li> <li>incidents or trends in their areas in a timely manner."</li> <li>So you are the collating body in the Trust when it</li> <li>comes to incidents?</li> </ul>
2 3 4 5 6 7 8 9	<ul> <li>through submitting a Datix.</li> <li>Q. Lastly, just briefly, the Risk and Patient</li> <li>Safety Team in which you sat: <ul> <li>"Ensure managers are alerted to all significant</li> <li>incidents or trends in their areas in a timely manner."</li> <li>So you are the collating body in the Trust when it</li> </ul> </li> <li>comes to incidents? <ul> <li>A. Yes.</li> </ul> </li> </ul>
2 3 4 5 6 7 8 9 10	<ul> <li>through submitting a Datix.</li> <li>Q. Lastly, just briefly, the Risk and Patient</li> <li>Safety Team in which you sat: <ul> <li>"Ensure managers are alerted to all significant</li> <li>incidents or trends in their areas in a timely manner."</li> <li>So you are the collating body in the Trust when it</li> <li>comes to incidents?</li> <li>A. Yes.</li> <li>Q. You feed back out to those that need to know</li> </ul> </li> </ul>
2 3 4 5 6 7 8 9 10 11	<ul> <li>through submitting a Datix.</li> <li>Q. Lastly, just briefly, the Risk and Patient</li> <li>Safety Team in which you sat: <ul> <li>"Ensure managers are alerted to all significant</li> <li>incidents or trends in their areas in a timely manner."</li> <li>So you are the collating body in the Trust when it</li> </ul> </li> <li>comes to incidents? <ul> <li>A. Yes.</li> <li>Q. You feed back out to those that need to know</li> <li>if you have spotted a trend from their reporting?</li> </ul> </li> </ul>
2 3 4 5 6 7 8 9 10 11 12 13 14	<ul> <li>through submitting a Datix.</li> <li>Q. Lastly, just briefly, the Risk and Patient</li> <li>Safety Team in which you sat: <ul> <li>"Ensure managers are alerted to all significant</li> <li>incidents or trends in their areas in a timely manner."</li> <li>So you are the collating body in the Trust when it</li> </ul> </li> <li>comes to incidents? <ul> <li>A. Yes.</li> <li>Q. You feed back out to those that need to know</li> <li>if you have spotted a trend from their reporting?</li> <li>A. Yes.</li> </ul> </li> </ul>
2 3 4 5 6 7 8 9 10 11 12 13	<ul> <li>through submitting a Datix.</li> <li>Q. Lastly, just briefly, the Risk and Patient</li> <li>Safety Team in which you sat: <ul> <li>"Ensure managers are alerted to all significant</li> <li>incidents or trends in their areas in a timely manner."</li> <li>So you are the collating body in the Trust when it</li> </ul> </li> <li>comes to incidents? <ul> <li>A. Yes.</li> <li>Q. You feed back out to those that need to know</li> <li>if you have spotted a trend from their reporting?</li> <li>A. Yes.</li> <li>Q. The idea being obviously it is a virtuous</li> </ul> </li> </ul>
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	<ul> <li>through submitting a Datix.</li> <li>Q. Lastly, just briefly, the Risk and Patient</li> <li>Safety Team in which you sat: <ul> <li>"Ensure managers are alerted to all significant</li> <li>incidents or trends in their areas in a timely manner."</li> <li>So you are the collating body in the Trust when it</li> <li>comes to incidents?</li> <li>A. Yes.</li> <li>Q. You feed back out to those that need to know</li> <li>if you have spotted a trend from their reporting?</li> <li>A. Yes.</li> <li>Q. The idea being obviously it is a virtuous</li> <li>circle of learning?</li> </ul> </li> </ul>
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2 Q. Okay. So this is a document she has produced? 3 Α. Yes. 4 It's printed on 19 July 2016. Can we infer Q. from that that it was the working document that was in 5 6 post when you were there? 7 Α. I don't know sorry I left at the beginning of 8 16 -- 2016. 9 Q. Okay. Is it really the case that you don't 10 remember this document at all as the policy that governed reporting incidents? 11 Α. I don't. I have had other risk in governance 12 jobs since and I wouldn't remember which policies 13 applied to which hospital at a given time. 14 If we go back to page 2 and have page 2 on the 15 Q. 16 screen, please. Thank you. So this is about the duties 17 on who should report incidents and you can see that there is a section -- there are three sections there, 18 19 "All staff", "Managers" and then the Risk and Patient 20 Safety team. 21 So just to clarify. Was it your understanding that all staff were obliged to report incidents and near 22 23 misses? 24 Α. Yes. 25 Q. Likewise we can see it also says: 89 1 this mean the managers of the two teams, as it were, of 2 healthcare staff, the doctors and the nurses? 3 Α. I think it fell to everyone, to be honest, to 4 promote a good reporting culture. 5 So Steve Brearey, for example, would fall into Q. 6 this category, would he? 7 Α. Certainly, yes. 8 Q. Eirian Powell would fall into that category? 9 Α. Yes. And are you aware of what training staff had 10 Q. on reporting incidents? 11 I don't think they were given any formal 12 Α. training on completing Datix forms and submitting them. 13 14 I think that was done locally. But certainly as a Trust induction they were given a talk on risk and safety and 15 how it's everybody's responsibility to report and not 16 17 assume somebody else had. 18 One of the duties is on managers is to ensure Q. they have proper training, so again that would be 19 20 a question perhaps to ask Dr Brearey in respect of doctors or senior nurses in respect of nurses? 21 22 To be honest I think it was probably Eirian Α. 23 would certainly oversee junior doctors if they were 24 submitting a Datix. As I say, it was done locally,

I first starred.

1

25 probably, you know, as new doctors or new nurses came in

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1 rather than a healthcare professional sentence. 2 Do you know -- would your predecessor -- sorry, 3 your manager have drafted that, or would it have been 4 a lawyer who attempted to draft it? 5 Α. I would imagine it was my line manager. 6 Q. So can we just try and understand what it 7 means: 8 "An event or circumstance which could have resulted 9 or did result in unnecessary damage, loss or harm to 10 patients, staff, visitors or members of the public." So an event or circumstance which could have 11 resulted or did result in unnecessary damage. 12 13 So "event or circumstance" is just something that happened, is it, anything? 14 It's any concern; we encouraged staff to 15 Α. 16 report anything. 17 Q. What does the word "unnecessary" mean? 18 Α. I really don't know. 19 Q. Is there an implication in this that there needs to have been something untoward, something that 20 the staff have done or not done which they should have 21 22 done? 23 Α. Not necessarily. Staff, as I say, could 24 report anything that was of concern to them. I think 25 the unnecessary damage is probably looking at the -- the 93 1 reported as incidents? 2 I can't comment on the rest of the hospital. Α. I didn't see their incidents, sorry, but yes, I would 3 4 imagine that all deaths. 5 All deaths for children as far as you are Q. 6 concerned would be reported? 7 Α. Yes 8 Q. Eirian Powell said that all collapses 9 requiring resuscitation were also or should also have been reported on Datix. Is that your understanding? 10 No, I don't think unless there was something 11 Α. untoward happened during the resuscitation, I don't 12 think they were all reported. 13 14 Q. What's "untoward" mean? 15 So it could be an equipment failure, or Α. a member of staff not following correct procedure. So 16 17 not necessarily resulting in harm, but has caused a concern to somebody. 18 19 So a child can die from nothing untoward and Q. 20 it would have to be reported? 21 Α. Yes 22 Q. But a child could collapse and nearly die but 23 survive from nothing untoward, but that would not be 24 reported insofar as you are concerned?

25 **A.** It's very difficult because if a small ET tube 95

buildings and equipment. 1 2 Q. Well, it's unnecessary damage, loss or harm to patients. I just want to know, what's unnecessary harm 3 4 as opposed to harm? Well, it's unnecessary damage, loss or harm, 5 Α. 6 isn't it? So it's not unnecessary harm. 7 I see. So you think the adjective actually Q. just applies to damage and not just loss or harm? 8 9 I really don't know because this is the first Α. 10 time I have read it so I don't know what it means --11 You are responsible in this department for Q. understanding incidents? 12 13 Α. Yes. 14 I am asking you what your understanding was of Q. 15 what incidents needed to be or were mandated to be 16 reported? 17 Α. So my understanding and certainly what I was 18 encouraging was for any and every harm incident to be 19 reported but also for any concerns whatsoever that they 20 had that they felt that they needed to raise. So it didn't necessarily need to result in harm for them to 21 22 report something. 23 Q. It seems that this consistent reporting of the 24 deaths, so all deaths, would that apply not just to 25 neonates and children across the hospital, would be 94 1 blocks and some of these ET tubes that ventilated patients had a diameter of 2 mm, 2.5 mm, so could easily 2 3 get blocked with secretions, if that happened, the child 4 collapsed as in the saturations dropped and obviously 5 the child couldn't breathe. So that could have necessitated just suction to the 6 7 tube to clear the blockage but quite often it resulted 8 in the tube being changed so that would be classed as a collapse with intervention. 9 10 Q. But if a collapse is unexpected, then isn't it the case that you need to report it to find out what 11 12 caused it? 13 Α. I would argue a lot of collapses can't be 14 expected because you can't anticipate that things like 15 an ET tube is going to block. It happens. 16 Q. Well, the evidence almost uniformly from the 17 Consultants who have given evidence is that the unexpected collapse of a child leading to resuscitation 18 or death is something that needs looking at because by 19 20 definition there isn't an obvious medical cause? 21 Leading to resuscitation and death? Α. 22 Q. Leading to resuscitation which would otherwise 23 have caused death, or leading to death and, I mean, the

24 difference between life and death in those situations

25 can often be very slight?

1	A. Yes, yes.
2	<b>Q.</b> For obvious reasons I am trying to understand
3	why you make a in terms of learning, why there is
4	a differentiation from your perspective?
5	A. As I say, the the I think if there were
6	lessons to be learned then they would be reported. They
7	would be discussed at some level. That's the only
8	answer I can give, I am sorry.
9	<b>Q.</b> Briefly before I move on, and it might be said
10	one of the reasons to report the unexpected collapse
11	where a child has nearly died and required full
12	resuscitation is to set in motion an investigative
13	process which might not otherwise occur and to make sure
14	that patterns a series of children were collapsing
15	requiring full resuscitation is by definition worrying?
16	A. Yes.
17	<b>Q</b> . The whole purpose of your job was to identify
18	such trends so that they could be addressed
19	A. Mm-hm.
20	Q and remedied; do you accept that as
21	logical?
22	A. Yes, yes.
23	<b>Q.</b> In this document I don't think it's fully
24	defined what a Serious Incident is. What's the
25	difference between an incident and a Serious Incident as 97
1	from Ms Brown, that the degree of ambiguity about what
2	should or shouldn't be reported is a problem that needs
3 4	to be thought about? <b>A.</b> It certainly needs thinking about, yes. Yes.
4 5	<ul><li>A. It certainly needs thinking about, yes. Yes.</li><li>Q. Because if Eirian Powell thinks you should</li></ul>
6	report resuscitations that nearly cause death, you don't
7	think you should report them, the wording
8	<b>A.</b> I am not saying we shouldn't report them, no.
9	I am telling you what actually happened. I think
10	I think there should be some sort of local review of
11	collapses to pick up on trends and I'm not sure that
12	that wasn't happening. But they at the time, they
13	weren't reported on Datix unless there was something
14	unusual.
15	<b>Q.</b> Without going into all the details, there does
16	appear to be quite there is an inconsistent pattern
17	between the children, all of whom suffered collapses and
18	many of whom died, in terms of exactly what incidents or
19	what investigations were prompted by the incident

20 reporting?

21

A. (Nods)

22 Q. One of the causes for that may be that there23 was a lack of understanding about what should or

- 24 shouldn't be done in response each time. So should
- 25 a Datix be completed, should a Serious Incident

far as you are concerned? 1 2 Α. So a Serious Incident would usually be resulting in some level of harm. However, it could also 3 be -- a Serious Incident could be a thematic review 4 picking up on trends that could cause potential harm. 5 6 Q. There is a framework, I won't take you to it 7 because it is a national framework? 8 Α. There is, yes. Q. 9 Were you aware of that the NHS framework for 10 serious harm --11 Α. Yes. -- that was in place at the time as a 12 Q. document, publicly available from March 2015? 13 14 Α. Yes Q. Which I think is still in use? Is that the 15 16 document which you would use as the sort of source for 17 what defines a Serious Incident as far as the NHS is concerned nationally? 18 19 Α. Yes, it is. However, that wasn't my decision. 20 So, as I said earlier, we would complete an SBAR, 21 escalate that to the Serious Incident Review Panel and 22 it would be their determination whether something was 23 classed as a Serious Incident. 24 Q. Do you think, stepping back now, and having 25 listened to your own answers, both to my questions and 98 1 investigation be initiated? 2 That clearly needs to be thought about, doesn't it, 3 to make sure that --4 Α. I think so, yes yes. 5 It's important for those on the ground Q. 6 involved in the incident they know exactly, or as close 7 as possible to exactly, what they need to do when 8 a child collapses or dies: I need to do a Datix? 9 Α. Yes. Q. Dr Brearey or his or her equivalent needs to 10 initiate a certain type of investigation? 11 12 Α. Yes. 13 Q. That is clearly important? 14 Α. Mm-hm 15 Can I ask you about Child A. Child A as you Q. know died, he was the first death, as you know he was 16 17 murdered by Lucy Letby. There was a Datix in respect of his death. It is at INQ0000016. 18 Ms Brown has already asked you a bit about this 19 20 I just want to ask you a little bit more. I will try not to cover the same ground. 21 22 So this is the Datix admin form for Child A. 23 Would you expect extra details about a child's

- 24 collapse and death from the medical notes to find their
- 25 way into the Datix?

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Not in detail, no. So this was just an alert 1 Α. 2 to us that there had been a death. And then we would 3 make preliminary enquiries whereas I say Steve Brearey 4 would review the death, the care. And that would be 5 escalated. So no, at this stage, probably not. 6 Q. So it's the responsibility of the clinician 7 who's following up on the initial report to garner the 8 information about the child's death, Steve Brearey in 9 that case? 10 Α. Steve Brearey would do the review of the child's death, yes. 11 Okay. So information -- you may have heard 12 Q. 13 about Child A had a rash as did his sister that was unusual that was spotted at the time. Would you expect 14 during the Datix investigation process or associated 15 16 processes that that kind of information would be 17 captured? Not on Datix no. 18 A. 19 Q. Not in Datix? 20 Α. No. 21 Q. Well, we will come on to the other things that 22 are mentioned within the Datix because Datix, this form 23 at least reports other investigations or considerations, 24 doesn't it, like the Coroners' process, for example? 25 Α. Mmm mm I don't think the Datix forms didn't 101 1 then receiving the usual type of support in keeping with 2 his prematurity, ie on CPAP and receiving IV fluids and antibiotics. Initial PM findings did not give any 3 4 answers, however we are awaiting the results of 5 pathology slide examination. However, if it was due to 6 a cardiac arrhythmia this would not show on this 7 examination." There is a query about the mother's background 8 9 there which we see elsewhere on the form. But the reality is here that there is no explanation for the 10 child's death been found on the PM or identified by 11 whoever has filled this assessment in? 12 13 Α. Mm-hm. 14 Q. You have said repeatedly in your evidence today that you understood that the children had died 15 from natural causes? 16 17 Α. Yes. In respect of Child A that was wrong, wasn't 18 Q. it, there wasn't an identified natural cause for his 19 20 death? 21 This wasn't my SBAR and I -- I don't know, Α. 22 well, it's worded the way it is, obviously. 23 So it's the initial PM findings didn't give any 24 answers and I understand that there wasn't a cause

25 identified in the final report.

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- 1 inform the Coroner referrals.
- 2 Q. You don't think what, sorry?

3 A. The Datix forms didn't inform the Coroner

- referrals as far as I am aware
- **Q.** No, but it is the other way round, I think
- 6 isn't it. This document includes material from the
- 7 Inquest process?
  - A. Not in the initial stages, no. That's only
- 9 added to later on.
- 10 Q. Absolutely.
- 11 **A.** Yes.
  - **Q.** Along the way updates are put in?
  - A. Yes.

14 **Q.** Perhaps we will come on to it and you can

- 15 explain what's happening. Can we go to 5, please. You
- 16 were asked about this previously. So there is the SBAR.
- 17 This is the SBAR section.

18 **A.** Yes.

- 19 **Q.** You can see that there is an assessment bit
- 20 there where the mother's background, ie her medical
- background, is considered, that has been removed forprivacy reasons.
  - It's then in the next paragraph it says:
- 24 "At present there is no explanation for the sudden
- 25 cardiorespiratory collapse. Twin 1 was stable until 102
- 1 Q. No. 2 Α. Sorry, what was the question again? 3 Q. I am trying to understand how you came to the 4 view that this child died of natural causes? 5 I think I am going from what cause of death Α. 6 the doctors proposed and the fact that Steve Brearey 7 didn't find anything to suggest otherwise on his review. 8 But he -- there was some speculation that the Q. mother's condition may have related to the child's but 9 that was never turned into anything positive? 10 11 Α. I don't remember, I am sorry. 12 Q. Can I ask you about just a little bit further on, as we go down on to the SI Panel meeting, this is 13 14 another section. You can see Alison Kelly there, if we go overleaf, please. 15 16 Again, we do see as I mentioned before midway through that first section may be related to maternal 17 disease but again there's nothing in there that actually 18 identifies the cause of the child's death. Can you see 19 20 that? 21 Α. Yes 22 Q. You are listed there I think as investigating 23 officer. So at some point you were aware? 24 Α. No, I was never an investigating officer.
- 25 I don't know why my name has been put under that title.

Q. Well, who will have put you down? 1 2 Α. I really don't know. 3 Q. And M&M is mortality and morbidity? 4 Α. Morbidity, yes. So did you have no role in the type of 5 Q. 6 investigation that went on as the named investigating 7 officer? 8 Α. So if there was a maternal concern then there 9 was an obstetric secondary review and I was part of 10 that. If there was a neonatal concern there was 11 Steve Brearey's review and Eirian, that I was generally 12 included in somewhere along the way. But I wasn't an 13 investigating officer. 14 Q. How -- how do you think it's happened that you 15 16 were? 17 Α. Sorry? Why do you think this is there? 18 Q. 19 Α. I really don't know. Sometimes these titles 20 are preset and people just put names in where they think 21 appropriate. 22 Q. And when it says "level of investigation", can 23 you explain where M&M sits in the hierarchy of 24 seriousness? 25 Α. I can't. I would have thought that it had 105 1 Α. I would have thought it was a Coroner referral 2 in the first instance. 3 Q. It was a Coroner referral --So --4 Α. 5 Q. But does that call off a Serious Incident 6 investigation if that happens? 7 Α. No, but the Coroner could direct us to 8 undertake a Serious Incident Review. And I think on one of the cases I know when we had the neonatal review on 9 8 February, there had been a request come through from 10 the Coroner for us to undertake a Serious Incident 11 Review for long lines and catheter insertion. 12 13 Q. So there is a request in fact for this child 14 repeatedly? 15 Right, so I had seen that, yes. So ... Α. 16 Q. So just to understand. If -- if a Coroner referral is made by someone like in Dr Brearey's 17 position, the Serious Investigation will cease unless 18 the Coroner asks for it to be done? 19 20 Α. I really don't know, to be honest. Quite often the -- they are done in tandem I think 21 22 because quite often the Coroner will ask if there has 23 been a Serious Incident Review. But obviously the 24 Coroner referral timeline-wise is almost immediately after the death. So it could be that we have started 25 107

been discussed at the Morbidity and Mortality Meeting 1 2 and any concerns would have been escalated, which I would have sent forward to the SI Panel on an SBAR. 3 4 O. Okay. The NHS Framework for Serious Incidents 5 requires a root cause analysis to be done. 6 Α. Yes. 7 Q. Does Child A's death qualify as a Serious Incident in the sense that he died unexpectedly without 8 explanation within an NHS setting? 9 10 So as I say the review that Steve Brearey did Α. and I wasn't, I was in America at the time of this 11 death, Steve Brearey's assessment, and obviously we have 12 had an obstetric secondary review here, I would have 13 14 expected them to go to the Serious Incident Review 15 Panel. 16 So I didn't set the level of investigation. It was 17 set at the Serious Incident Review Panel that I was not part of. 18 19 Well, I understand that. What I am trying to Q. 20 ask you is looking at the facts of Child A's death, he is a premature baby, but he's in stable condition, he 21 22 unexpectedly collapses and he dies and there is no 23 medical explanation identified on investigation. On the face of it that looks like a Serious 24 25 Incident? 106 1 a Serious Incident in the meantime but I don't know whether it would actually put the brakes on a Serious 2 3 Incident Review. 4 Q. Difficult to say, certainly in my own 5 experience it can run in parallel and the Coroner asks 6 for the report and it becomes part of the Inquest but 7 that's maybe local practice. 8 Α. Yes. As I say, I don't know what happened in this situation. 9 Q. You I think left in February; is that right? 10 Α. Yes. 11 So the communications that went on with the 12 Q. Coroner are completely outside of your knowledge when it 13 14 comes to February onwards? 15 Α. Yes. Q. The Coroner does appear through their officer 16 to have requested repeatedly for an SI --17 18 Sorry, I can't hear you. Α. The Coroner's officer repeatedly requested for 19 Q. 20 an SI or chased up if one had been done but it was never 21 done? 22 Α. Oh, right. 23 Are you able to explain why that decision Q. 24 might have come about at least in principle? 25 I noticed in my document bundle that there was Α. 108

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an email from the legal department titled "Urgent" 1 2 from -- well, it had obviously come from the Coroners's 3 officer to the legal department asking for that SI to be 4 undertaken. 5 I unfortunately didn't get the email until the day 6 after we had done the neonatal review because it was 7 only sent to me on 8 February, although the initial 8 email was at the end of January, so I don't know. 9 Q. On the 28th. 10 Α. I don't know what that delay was caused by. Obviously I was on the point of leaving, so 11 I escalated that to my line manager, Ruth, and in my 12 response to the legal department in the hospital, 13 I think I had put that Ruth had determined that it 14 wasn't -- I think she -- I can't remember the wording. 15 16 It was to wait and see the outcome of some meeting or 17 review. But obviously that was -- I presume I had left 18 by that stage. 19 Well, I appreciate you are doing the best you Q. 20 can. This may be a question for Ms Millward; is that right? 21 22 Α. Why there was no follow-up, yes, definitely. 23 Q. Okay. When it comes to this Datix document, 24 if I am calling it, is this called a Datix document? 25 Α. It is just called a Datix. 109 1 probably know, the rashes that the children had became 2 a thing of significance because it led Dr Jayaram to 3 think these children may have been injected with air, so 4 there was information within the hospital that could 5 have been captured? There was, yes. 6 Α. 7 Q. By an internal investigation potentially? 8 Α. Yes. 9 Q. I can't say it would have been. 10 Α. And I am surprised it wasn't picked up on Dr Brearey's review, to be -- to be honest, the same 11 with the insulin results that we had. 12 13 Q. Precisely. 14 Α. They seem to have all been overlooked and I think having a Serious Incident Review -- well, we 15 will never know now whether it would have identified 16 17 those at the time. 18 But do you accept in principle the hospital Q. were in possession of some information in respect of 19 20 this child and indeed in other children that could have led internally to the recognition earlier on of an 21 22 untoward event? 23 Α. Potentially. But as I say on those initial 24 results, those things weren't picked up then. 25 On the question of insulin, is overdose of Q. 111

- 1 O. Just called the Datix? 2 Α. Yes 3 Q. It records the Inquest results? 4 Α. Mm-hm. The Inquest result is that the death is 5 Q. 6 unascertained and there the story ends when it comes to 7 investigation of the child's death within the hospital? 8 Α. Mm-hm. 9 Q. Is that an appropriate response, the Coroner's 10 investigation has proceeded, the Coroner's investigation has not found an answer. But the other routes that 11 could find answers, the SI route, et cetera, the 12 root cause analysis, have not been undertaken and never 13 14 do get undertaken? 15 A. I think if we had postmortem results and the 16 Coroner had been involved I'm not sure what else we 17 would find out at a Serious Incident Review. 18 Q. Well, in this case of course you know what you 19 might have found out: the child was murdered. 20 Yes, in retrospect we have that knowledge now Α. 21 but we didn't at the time. There were no suspicions at 22 the time and with this being the first baby death. 23 Again I have to take issue with that to some Q. extent and it may be outside your knowledge but you 24 25 mentioned the rash that the child had had. As you 110 1 insulin or giving children a child insulin that isn't medically required, is that a Never Event, or do 2 3 Never Events -- gross errors of administration? 4 Α. I'm not sure whether it falls within a Never 5 Event. Insulin is mentioned somewhere, but I would have 6 to have the document in front of me, sorry. The list of 7 Never Events. 8 Q. It is mentioned but I think it's probably too 9 much of a digression to take you to the wording of it. 10 Can I just put it this way perhaps: giving a child a large dose of insulin that they don't require lead to 11 go their collapse must be a Serious Incident requiring 12 13 investigation? 14 Α. I don't think anybody would dispute that. 15 Just involvement of the parents. The parents Q. are mentioned a number of times in here being spoken to 16 17 and the Datix documents and there's mention of a duty of 18 candour. 19 To what extent did you feel that there is 20 an obligation to keep the parents updated about the 21 investigation process? 22 Α. I think there is an obligation there to keep 23 them updated, yes. 24 Q. So if, for example, a Serious Incident 25 Investigation proceeds, would you expect the parents to
  - 112

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be notified of that? 1

2 Α. Yes, I would.

3 Q. Who by?

- 4 Α. So that would usually be the clinical staff
- who have been dealing with the parents and explaining 5
- 6 things as they have gone along. I think -- at the time
- 7 I was due to leave I think we were looking at having
- 8 a key person in the risk team to be a point of contact
- 9 for parents. But I -- that's just a vague memory,
- 10 I don't know whether that actually happened.
- 11 Q. The risk team?
- 12 Just having somebody as a point of contact if Α.
- 13 they had any questions, not to actually deliver the duty
- of candour that was felt it should be a clinical person 14
- doing that, so they could explain, you know, the report 15
- 16 what we had looked at, the significance of the findings.
- 17 Q. Would you expect the person contacting the family to not simply try and deal with their questions 18
- 19 and update them about what's going on but trying to see
- 20 if they have any information that may be of value to the
- investigation itself? 21
- 22 Α. Yes, and that's certainly with the
- 23 introduction of the medical examiner service, they put
- the emphasis on the bereaved in that service and they 24
- 25 would particularly ask the bereaved for any information 113
- 1 name is Jamieson.
- 2 Really what I would like, please, is your
- 3 perspective on the importance of critical challenge in
- 4 the management of risk because what you have told us
- 5 about your background is that you had a unique
- 6 perspective to bring through your long experience in the
- 7 NHS and indeed your training as a lawyer.
- 8 My questions are going to centre on that meeting
- 9 when you came back from your annual leave in the July of
- 2015 and the information that was available to that 10
- meeting and the actions that came out of it. 11
- Now, I acknowledge, as you have said, that in 12
- 13 relation to that meeting on 2 July, 2015 you, as you sit
- 14 there, have no memory of that meeting?
- 15 Α. No, sorry.
- 16 Q. But just to put the pieces together as best we
- can, this was a Serious Incident meeting? 17
- 18 My understanding was that it was a Serious Α. Incident Review Panel meeting --19
- 20 Q. Right.
- -- to determine whether it was going to be 21 Α.
- 22 a Serious Incident. I think they determined that they
- 23 wanted the obstetric secondary review and the neonatal
- 24 review brought together on a Level 2 template.
- 25 That is the output of the meeting, isn't it? Q. 115

- that they have that might be relevant. 1
- 2 Q. So in Mother A and B's case, she could have 3 said, for example: I remember this rash on my child, 4 have you investigated it? And that may have fed back
- into the investigation team? 5
- 6 I -- yes, yes. That is as it ought to be. Α.
- 7 MR SKELTON: Thank you. Thank you.
- 8 LADY JUSTICE THIRLWALL: Thank you, Mr Skelton.
- I saw Mr Baker wasn't here, and I'm afraid I inferred 9
- 10 that there were no questions.
- 11 MR JAMIESON: I'm afraid you have the understudy 12 my Lady.
- 13 LADY JUSTICE THIRLWALL: You are very welcome. 14 Questions by MR JAMIESON
- 15 MR JAMIESON: What I was going to do before
- 16 I started was just to remark that this witness had been
- 17 giving evidence for quite a long time but it is also
- 18 quite close to 1 o'clock so through you, my Lady, if
- 19 I may, as to enquire whether she would like to go on
- 20 a bit longer and finish?
- 21 Α. I would sooner finish, if it's okay.
- LADY JUSTICE THIRLWALL: I thought you might but 22
- 23 thank you very much for raising that.
- 24 MR JAMIESON: Thank you, Mrs Peacock, I also ask
- 25 you questions on behalf of the Family groups, okay? My 114
- Α. Yes. 2 Q. We will look at that later.
  - Α. So it wasn't.

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- Q. Really what I am interested in is that type of
- 5 meeting. Was that one that you would typically go to or
- 6 was this unusual for you?
  - Α. It was unusual, yes.
  - Yes, and the attendance at the panel, was that Q.
- also unusual? For your memory, what we have are 9
- Alison Kelly and Sian Williams who were the director and 10
- deputy Director of Nursing. We had got Ruth Millward 11
- who was the head of risk and your boss, and 12
- 13 Julie Fogarty, the Head of Midwifery Stephen Brearey and
- 14 yourself, so a high powered group of individuals, is
- 15 that a fair summary?

#### 16 Α. Yes.

- 17 Q. Yes. Now, your role at that sort of meeting
- I know you have no memory, but would it have been to 18
- ensure that the relevant information was there for 19
- 20 everybody to consider, would that have been part of your 21
- role? 22
  - Α. So I didn't usually attend these meetings.
- 23 Q. No.
- 24 Α. So I didn't know the format of them. I think
- Steve Brearey supplied the information and from 25

a neonatal perspective and Julie Fogarty was the head of 1 2 midwifery provided the obstetric overview. 3 So no, I didn't contribute at that meeting. 4 O. Really what I am particularly interested in respect the Datixes if that is the plural for each of 5 6 these children that we have had a bit of a look at, we 7 will look at again in a moment, were those available at 8 the meeting, had the attendees read them ahead of time, 9 what was your expectation? 10 Α. I don't know, sorry. 11 You don't know? Q. 12 Α. I would presume that they -- they were not 13 available, but I can't be certain about that. 14 Maybe just look at something that may help. Q. 15 It's a document, I am sorry, we have looked at 16 a couple of times, but we will do it quickly. It is 17 Datix for Child A, it is INQ0000016. 18 Now, we have looked at this lots of times. I'm not 19 going to take you through what you have been through already but what we have on this first page are the 20 21 initial details of what is reported. 22 If we go on to page 5, please, we have the SBAR 23 which you have told us is the then subsequent investigation that takes place upon the reporting of 24 a Datix, but then it's really just at the bottom of this 25 117 1 Is this or if I were to suggest to you that this 2 looks like a minute of that meeting, can you help me? 3 Α. As I say, I really don't remember. I don't 4 recall at all. 5 All right. Q. 6 Α. I was used to the Datix forms having the 7 person's name and time if they made an amendment to the 8 form. 9 Q. Sorry, say that --10 Α. I was used to the Datix forms actually logging 11 who had made any amendments. 12 Q. Yes The date and time that those amendments had 13 Α. 14 been made. So without seeing that on here, I wouldn't know whether it was my work or not. 15 16 I think we are going to see an example of that Q. in a moment and you're right that there isn't one here. 17 18 But what I can see, just looking at this page, is that under "SI tracker", somebody called Janet McMahon 19 20 has stated as at 10 August '15 that this report is complete. Can you see that? 21 22 Α. I can, yes. 23 Q. And if we went on to page 8 of this document, 24 thank you very much, we would see right at the bottom

25 the closed date, this document is being marked as

119

1 page. 2 Can you see that this is a section that's headed "SI Panel meeting", it has the date of that meeting that 3 4 took place, 2 July, and then thank you very much, if we could just go on to the next page, so page 6, it is the 5 6 follow-on. That appears to be a minute or a note of 7 what was discussed on that meeting, 2 July. My question is, would that have been one of your 8 tasks, the inputting of this information into this 9 10 document to take away from that meeting? 11 I didn't normally update Datix with -- as Α. I say, I didn't go to the SI Panel meetings. 12 I understand that. But in relation to this 13 Q. meeting --14 15 Α. I really don't know, I'm sorry. 16 In relation to this meeting you are there, you Q. 17 are, and I say this respectfully, but I think you are the most junior --18 19 Α. Yes 20 Q. -- of the attendees? 21 Α. Yes, I accept that. 22 Q. So we can see although you are clear that you 23 would not have been the investigating officer, the name that has been attached to the bottom of this segment is 24 25 yours, Debbie Peacock. 118 1 completed on 10 August 2015. 2 Δ Mm-hm. 3 Q. So it doesn't look like any entries or any 4 substantive entries after that point in time. 5 Now, Mr Skelton has just asked you questions about 6 what you had said about thinking natural causes for the 7 death and in fact when you looked at the contents of 8 what was here, there was nothing that proved natural 9 causes? 10 Α. No, we had no conclusion. 11 O. The question was still open. 12 Α. Mm-hm 13 Q. Right. So with that in mind, can we go all 14 the way back to page 1, please. The risk grading of this form at the point that it is closed looks very much 15 like it is graded as: no harm. Can we see that? 16 17 Α. Yes. 18 Q. The potential for harm is there and it has been properly noted, but the decision at the point of 19 20 closure of this form when the postmortem and the Coroner's investigation is not going to be completed for 21 22 another year, I believe, is that there is no harm and no 23 actual harm. And those gradings really mattered for 24 your system, didn't they? 25 They mattered for pulling the Datix data Α. 120

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all Inquir	y 22 October
1	a further description, but where there is some harm
2 3	there will be a short explanation. So I looked at the low harm incidents. I can see
3 4	three health and safety incidents, two inoculation
5	charts injuries and:
6	"A falling window blind hit a member of staff on
7	the hand and a baby's identification band marked the
8	skin even though it was not tight (skin remained
9	intact)."
10	And then there is a description underneath that of
11	the moderate harm of a member of staff who scalded her
12	hand as a result of not following the standard operating
13	procedure for a particular item.
14	So if I looked at that table and I am on that
15	board, the understanding I am going to take is that
16	there have only been a few incidents that have caused
17	harm and they are of the nature of what I have read
18	about in that text, aren't they? There's not going to
19	be anything in there that tells me about sudden and
20	unexpected baby deaths?
21	A. So if you look at the report that was pulled
22	together on the SI template, I think it was regraded as
23	severe harm.
24	<b>Q</b> . Which one, sorry?
25	A. The the combination of the obstetric
	122
1	two things were put together in that particular case,
2	there was a special report
3	A. Yes.
4	<b>Q</b> which would have highlighted its import?
5	A. Yes.
6	<b>Q</b> . Fine. Thank you. That's important evidence
7	and I am glad you have given it.
8	But just in relation to the point that I am making
9	with you, the grading of the harm in those Datixes
10	really matters because unless there are one of those
11	stage 2 reports, which brings the risk to the attention
12	of the board in another way, all they are going to get
13	is this?
14 15	A. But if it had been deemed that there was no
15 16	harm, there wouldn't be anything to report at that
17	stage Q. Yes.
18	A apart from the rise in mortality.
19	<b>Q.</b> But that's the point I am making
20	A. And the rise in mortality would have come at
20 21	the end of the year in my report then when we had the
21	full year to look at and we could break it down further
22	from there.
23	<b>Q.</b> I think with an eye on the time, I am going to
25	really compress this right down and just look since
	124

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you raised Child D, may we just look at Child D. Could 1 2 we look at the Datix INQ0002658. 3 Now, again we have looked at this one already we 4 are going to look at it in a bit more detail now. This 5 unusually is the Datix, as I understand it, that related 6 to the mother, not the child? 7 Α. Yes. 8 But as we are going to see much of the content Q. 9 of it actually does relate to the child? 10 Α. Mm-hm. But I give that clarification at the start 11 Q. because when we look at risk grading, which is put at 12 actual harm, result: actual harm, actual harm, moderate, 13 potential for harm, low potential harm; in fairness, we 14 should probably be looking at it through the lens of the 15 16 mother rather than the baby. 17 But in relation to the details we have them there. 18 If we could just go on to page 2, please. If we 19 just crop in on the top half of that page. Do you 20 remember that you said to me your experience of this system was that when an amendment was made to it there 21 22 would be a date stamp? 23 Α. Yes. 24 Q. As I look at that first paragraph, there are 25 three date stamps. So I say first paragraph, can you 125 1 Q. So can we take it that from that date and that 2 time, this information was available to anybody who 3 looked at this date Datix? 4 Α. I presume so, yes, who had access to the 5 Datix. 6 Q. Okay. Did you read what you copied and pasted 7 into it? 8 Α. Sorry, I don't remember. 9 What was your practice? Would you have just Q. copied and pasted it without reading it given that your 10 iob --11 12 No, I would usually have read it to see Α. whether there was any pushback that I needed, any 13 14 challenge on what Steve or clarification from what Steve had found. 15 16 Q. Right. So we know that as at 24 June, a week or so before you go to that meeting on 2 July, you have 17 received this email, you have put it into this Datix and 18 we have got the familiar analysis of any common issues 19 20 in that second paragraph underneath. 21 There don't seem to be any common items of 22 infection or equipment or location. But there is 23 a common member of staff. 24 The final document, please, that I would ask us to look at is the Level 2 report, as you have called it, 25

127

- see three entries on the left-hand side? 1
- Α. Yes
- Q. At the bottom of that, as I look over to the
- 4 right-hand side, there are a number of date stamps. The
- earliest in time is 24 June 15 at 10.45 in the morning, 5
- 6 Debbie Peacock. Can you see that?
- 7 Α. Sorry, 24 June?
  - Q. Yes. So of the three Mr Bennett,
- Mr Dean Bennett entries --9
- 10 Α. Yes.

Q. -- the bottom one, if you look over to the big 11

- Registrar on the right --12
- 13 Α. Yes.
- 14 Q. -- there are then three date stamps at the top
- of that paragraph. 15 Α.
- 16 Oh, yes.
- 17 Q. And it's the one that's just been highlighted
- for you. Thank you very much, Mrs Killingback. 18
- 19 24 June 15 at 10.45 "Debbie Peacock" and then what
- 20 follows, as has been discussed is the email from
- Stephen Brearey that has been sent to you? 21
- 22 Α. Yes.

Δ.

23

25

- Q. And you, it looks like, have copied and pasted
- this into this document at this time? 24 Yes
  - 126

1 the which is INQ0014204.

- 2 I think this is the document that you were --3 Α. Yes. 4 Q. -- talking about in answer to my earlier 5 question. If we go to page 2, please. Can you see 6 under "Detection of incident", the fourth paragraph 7 under that looks like a description, a minute of that
- 8 meeting of 2 July 15. Can you see that?
- 9 Α. Yes.
- 10 Q. The incident was escalated to the Medical
- 11 Director. Now, did you write that paragraph?
- 12 Α. I really don't know.
- 13 Q. Okay. Because what it records there is that
- 14 it records the meeting, it tells us that in addition to
- the Director of Nursing and guality, that's 15
- Alison Kelly, this matter had also been escalated to the 16
- 17 Medical Director at that time, but there had been three
- neonatal deaths in a short period of time and the 18
- circumstances were discussed to identify if there was 19
- 20 any commonality which linked the deaths.
- 21 And that section of that email that you had put
- 22 into that Datix were circumstances which potentially
- 23 related to commonality of the deaths, didn't it?
- 24 Α. Yes.

25

Q. Yes. So you have no memory as to what was 128

	1	should be put into this one report."
	2	That's sort of set out even more starkly if we go,
tells us	3	please, to page 8. Care and service delivery problems
being	4	are set out. They are of the nature that they are.
	5	Underneath there: alleged contributory factors:
e babies	6	none. So nothing here that can have contributed to the
ly seen to	7	death. Root causes: no root cause has been identified.
	8	But yet no further analysis is going to be
id the	9	undertaken at this time. That's really what I would
en you	10	like your reflection on, your understanding on.
re was	11	You have The Families would say to you that all
e death:	12	of the information in relation to Child A and Child C
swered.	13	was there to see that these were unexplained deaths at
at the	14	the time.
rcise. I am	15	But putting that to one side, in relation to
going to	16	Child D, that is your conclusion. This is a sudden
	17	death, it's an unexpected death, it's an unexplained
	18	death. Why was there not more consideration?
explained death	19	A. So this wasn't my conclusion. I have
as unknown.	20	obviously I don't know where I have taken this from.
as	21	This report would have been circulated to the staff at
ncerns	22	the meeting before it was finalised. So I don't know
However,	23	whether it's been tweaked along the way. I don't know
stetric	24	where I have got this information. I thought I had
findings	25	copied and pasted it looking at it. I really don't
		130
	1	much, Mr Jamieson and Mr Kennedy.
ir terms,	2	Mrs Peacock, I have just got two brief matters to
at	3	ask you about. I asked you a question earlier, where
rship that	4	I had obviously not listened carefully to what you had
	5	said in the first place. It was in relation to the
were going to	6	chart that we have looked at well, we have, you
sults	7	haven't looked at many times with the name of the
• · · ·	8	various nurses who were on shift when babies collapsed.
further	9	And you said, quite rightly, that what you had said
	10	was that she hadn't been looking after the babies and I
e document.	11	asked you why you were saying that, and you rightly say
ortem	12	it's in the chart which of course we all know well.
٦,	13	I had a note of what you said earlier and I want to
	14	check that I have got this correct and if not, you just
	15	tell me. Much earlier in your evidence, when you were
, thank you very	16	being asked about the fact that it looked as though
the lunch.	17	there was a nurse who was present for number of the
ll. Thank you	18	deaths, I have noted you as saying and I have not
	19	been able to check it on the transcript she wasn't on
other page that	20	duty for other deaths.
	21	Now, did you mean she wasn't on duty for other
rent time.	22	deaths or did you mean she wasn't always the allocated
	23	nurse?
HIRLWALL	24	A. So my understanding was that there were other
ll. Thank you very	25	deaths where they fell, I don't know in the timetable
		132

1 discussed?

2

A. No, sorry.

Q. But this record, close to the time, tells us
 that those are the sort of features that were being
 discussed.
 What it goes on to say is that two of the babies

7 had medical conditions which could be clearly seen to8 have contributed to their deaths.

9 Now, just pausing there. Mr Skelton did the

10 exercise with you with Child A. Actually when you

11 looked at that Datix, it didn't tell you that there was

12 something in the background that caused the death:

13 maybe, maybe not; the question was not answered

14 If we looked, if we had the time to look at the

- 15 Datix for Child C, we would do a similar exercise. I am
- 16 not going to do it with you now but I am just going to17 note it.
- 18 But what it goes on to say is this:
- 19 "The third baby appeared to be an unexplained death

20 and at this time the baby's cause of death was unknown.

- 21 It was agreed that no further investigation was
- 22 warranted at this stage as there were no concerns
- 23 highlighting any obstetric or neonatal views. However,

24 the SI panel were of the opinion that the obstetric

25 secondary review findings and the neonatal findings 129

- 1 know, I'm sorry.
- 2 **Q.** But this, you are telling us in clear terms,
- 3 this is the outcome, this is the decision of that
- 4 meeting of 2 July '15, which had the membership that
- 5 I set out with you at the start.
- A. So my understanding is that they were going to
  7 reconvene when we had the postmortem results --
- 8 Q. Right.
- 9 A. -- to determine then if there were further
- 10 actions required.
- 11 That was my understanding reading the document.
- 12 **Q.** And in fairness to you that postmor
- 13 I think, or at least the Coroner's investigation,
- 14 completed after you had left the Trust?
- 15 **A.** Right.
- 16 **MR JAMIESON:** There it is. My Lady, thank you very
- 17 much. I apologise if I have trespassed into the lunch
- 18 LADY JUSTICE THIRLWALL: Not at all. Thank you19 very much, Mr Jamieson.
- 20 MR JAMIESON: So sorry, there is another page that
- 21 we are asked to put to the witness. (Pause)
- 22 I'm sure we can put that right at a different time.
- 23 Thank you very much.

24

- Questions by LADY JUSTICE THIRLWALL
- 25 LADY JUSTICE THIRLWALL: Very well. Thank you very 131

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# The Thirlwall Inquiry

of, of -- that we have here --1 2 LADY JUSTICE THIRLWALL: So sorry ---- that she wasn't actually present on the 3 Α. 4 unit for. 5 LADY JUSTICE THIRLWALL: Thank you. So my note is correct. She wasn't on duty for other deaths. I don't 6 7 suppose you are able to help us as to which ones? I'm sorry, I wasn't involved in the trial at 8 Α. all, so I don't know the indictment babies or the 9 10 others. 11 LADY JUSTICE THIRLWALL: No, all right. Thank you. Then one last question, if I may. You were asked 12 very early in your evidence about the Clothier report in 13 relation to Beverley Allitt and one of the 14 recommendations being that there needs to be 15 16 a heightened awareness of malevolent intent and you 17 referred us to an algorithm --18 Α. Yes. 19 LADY JUSTICE THIRLWALL: -- which you had. 20 But just so I understand it correctly. The algorithm doesn't anywhere direct you to consider 21 22 malevolent action, does it? 23 Α. Yes, it does. 24 LADY JUSTICE THIRLWALL: Oh, it does? 25 Α. It starts off: did they do it? Was the 133 1 LADY JUSTICE THIRLWALL: Yes, I can see there's 2 someone who knows better than you. 3 MS BROWN: Yes, yes, I am told we will. LADY JUSTICE THIRLWALL: Good. I think Ms McMahon 4 5 has probably been waiting all morning, but I'm afraid we 6 will start again at quarter past 2. 7 (1.12 pm) 8 (The luncheon adjournment) 9 (2.15 pm) LADY JUSTICE THIRLWALL: Mr De La Poer. 10 MR DE LA POER: My Lady, the first of our two 11 witnesses for the afternoon is Janet McMahon and 12 I wonder if she might come forward, please. 13 14 LADY JUSTICE THIRLWALL: Yes, do come forward, 15 Ms McMahon. MRS JANET MCMAHON (Sworn) 16 17 Questions by MR DE LA POER 18 LADY JUSTICE THIRLWALL: Do sit down. Thank you. 19 Α. 20 MR DE LA POER: Please can you state your full name? 21 22 Α. Mrs Janet Lesley McMahon. 23 Mrs McMahon, is it correct that you have Q. 24 provided to the Inquiry two witness statements, one dated 13 June of this year and one dated 3 October of 25 135

1	outcome intended? And it takes you through.
2	But the actions for "yes", if it was intended is
3	consider the police.
4	LADY JUSTICE THIRLWALL: Thank you. But you never
5	referred to it when considering this case because?
6	A. There was no act or omission at that stage for
7	us to consider it in relation to any person.
8	LADY JUSTICE THIRLWALL: Does it mean, therefore,
9	and I just want to understand because you mentioned that
10	you had always at the back of your mind the possibility
11	of malevolent intent, or whatever phrase you might use,
12	but that never came to the front of your mind?
13	A. On the information that I had, no,
14	unfortunately.
15	LADY JUSTICE THIRLWALL: All right. Thank you very
16	much indeed, Mrs Peacock. You are now free to go.
17	A. Thank you.
18	LADY JUSTICE THIRLWALL: Ms Brown, are you able to
19	help about the timetable for the afternoon? I know we
20	have got Ms McMahon.
21	<b>MS BROWN:</b> Yes. We have two witnesses that are
22	being called this afternoon.
23	LADY JUSTICE THIRLWALL: Yes. Are we going to
24	complete their evidence this afternoon?
25	MS BROWN: I'm just looking behind me.
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1	this year?
2	A. That's correct.
3	<b>Q.</b> Are the contents of those two witness
4	statements true to the best of your knowledge and
5	belief?
6	A. Yes, they are.
7	<b>Q.</b> We will begin with your background. Did you
8	qualify as a Registered Nurse in 1985?
9	A. Yes, I did.
10	<b>Q.</b> And then in 1987 as a midwife?
11	A. Yes.
12	Q. At that stage, did you start work at the
13	Countess of Chester Hospital?
14	A. Yes.
15	Q. If we move forward in time to 1998, from that
16	date forward, did you work predominantly as a midwife on
17	the labour suite?
18	A. Yes, I think so, I wouldn't be sure of the
19	dates, but I think so.
20	<b>Q.</b> Around that time at least?
21	A. Uh-huh.
22	Q. Moving forward approximately a decade. You
23	tell us in your witness statement that you became
24	a governance facilitator in 2007
25	A. Yes.
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1	<b>Q.</b> is that right?
2	A. Yes.
3	<b>Q.</b> Which department did the governance
4	facilitator role work from?
5	<b>A.</b> At that time I worked for therapies, pharmacy,
6	radiology.
7	<b>Q.</b> At what stage did you join the Risk and Safety
8	Department?
9	A. That was the Risk and Safety Department. It
10	just we just changed names.
11	<b>Q.</b> So to all intents and purposes it was the same
12	department, just differently branded?
13	A. Yes.
14	<b>Q.</b> In terms of the role of governance facilitator
15 16	as it started out, in summary what were you expected to do?
17	<b>A.</b> Look at incidents daily for the areas that
18	I covered and look into any concerns that were raised.
10	<b>Q.</b> In the early stages in terms of the areas that
20	you covered, did that include obstetrics?
21	A. Not initially.
22	<b>Q.</b> Did there come a point in time where it
23	included obstetrics?
24	A. Yes. So I worked for the therapies division
25	for probably a couple of years and then moved back to
	137
1	2015, Ruth Millward was well-established as the head of
2	that department; is that right?
3	A. Yes.
4	<b>Q.</b> And you, who had been there even longer in
5	
	that department, were also well-established; is that
6	that department, were also well-established; is that fair?
6 7	•
	fair?
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•	
1	Women's and Children's.
2	<b>Q.</b> Once you moved back to Women's and Children's,
3	did your role include looking at neonatal incidents?
4	<b>A.</b> Yes.
5	<b>Q.</b> In terms of your background, experience and
6	training, did you have adequate knowledge as someone who
7	had practiced as a midwife to do your role so far as
8	neonatal medicine was concerned?
9	A. I believe so.
10	<b>Q.</b> What level of knowledge did you need,
11	practically speaking, to be able to do that role when
12	looking at an area that you hadn't practiced in?
13	<b>A.</b> To be aware of potential risks and when to
14	escalate them for other people who had more neonatal
15	
16	<b>Q.</b> Now, we know from Ruth Millward's statement
17	that initially on an interim basis but that was then
18	confirmed into a full role, she was the head of the Risk
19	and Safety Department from about 2013. Does that accord
20	with your recollection?
21	A. Probably. I wouldn't know the dates but
22	l think so, sounds
23	<b>Q</b> . That sounds about right?
24	A. That sounds about right.
25	<b>Q.</b> To put it another way, by the time we get to
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1	<b>Q.</b> At which point her role became effectively
2	vacant. The Inquiry has information to suggest that you
3	may have undertaken her role for a period of time on an
4	interim basis; is that correct?
5	A. Yes, at the same time as doing my other role.
6	So I was covering it was a dual role, but yes, for
7	the three months before Annemarie joined, yes.
8	<b>Q.</b> Absolutely. And when by the time we get to
9	May 2016 Annemarie Lawrence then took over the role that
10	Debbie Peacock had previously undertaken and which you
11	had filled in for?
12	A. Mm-hm.
13	<b>Q.</b> In terms of that period February 2016 to
14	May 2016, you have described it as a dual role.
15	Were you given any more hours or pay or anything to
16	reflect the fact that you were expected to do more than
17	you had previously?
18	A. I don't believe so. I don't recollect.
19	<b>Q.</b> How well placed do you consider you were, at
20	the time, to take over that role from Debbie Peacock for
21	a period of time?
22	<b>A.</b> With my background of midwifery and previously
23	having covered the obstetrics and gynae departments,
24	I felt able to do that, from an experience point of

25 view. But obviously time-wise there was a conflict. 

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Q. So no difficulty in terms of your 1 2 qualification for the role in your mind, tell me about 3 the time conflict as you have characterised it, what do 4 you mean by that? 5 Because if you are doing two people's jobs, Α. 6 you can't do them both the same as if you are only doing 7 your own. 8 Were you effectively performing two full-time Q. 9 roles or did you have any support for the two roles that 10 you were undertaking? I don't really remember. But I imagine that 11 Α. you would prioritise differently given the two 12 13 workloads. Just looking back on it and being as 14 Q. reflective as you can, do you think that you gave 15 16 sufficient time in that conflict situation as you have 17 described it, to the Risk Midwife role or do you think 18 that you were compromised in that? 19 I think I probably did the best I could. It's Α. 20 quite often that situation happens, where a member of staff leaves and they are not replaced for some time 21 22 afterwards so it's a recurrent happening in the NHS that 23 roles aren't covered immediately. So that work has to 24 be covered by somebody else in the interim. 25 The role of Risk Midwife which you were Q. 141 1 Q. What were those main differences, please? 2 So as Project Lead I focused on certain areas, Α. 3 for example I think at the time I was looking at falls 4 in the Trust, it was a high safety incident so we looked 5 at falls and how we could manage them and reduce harm. 6 Q. So we have the period of time when you are 7 doing both the Project Lead role and the Risk Midwife 8 role and I think it was in May of 2016 that you were 9 seconded to the role of Patient Experience Lead; is that right? 10 11 Α. That's correct 12 Was that also within the Risk and Safety Q. 13 Department or was that in a different department? 14 Initially, before I took up the post, it was Α. a different department managed by a different manager 15 and then three teams were merged into one at the same 16 17 time as I took up that post. 18 So was that in May 2016? Q. 19 Yes Α. 20 Q. Who was your line manager once those departments were merged? 21 22 Α. Ruth Millward. 23 Q. So in terms of line management it remained the 24 same, but you were working with what was had previously been a separate part of the hospital? 25

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covering, is that an important role within the hospital, 1 2 do you think? 3 Α. Absolutely. 4 O. Did you receive any additional training for undertaking that role or were you just one minute doing 5 6 one role and presumably after a short period of 7 consultation then doing both? I had done the role before. And I had been in 8 Α. the team guite a number of years by then, so I felt 9 10 qualified and experienced enough. 11 But in terms of things move on in the NHS, Q. computer systems change, practices change, policy 12 changes. Did you feel you needed any additional support 13 to undertake that role? 14 15 I was doing the same role but for different Α. 16 areas. So I was used to the -- the electronic systems, 17 the policies. Q. 18 What had been your role title at the point 19 that you took over the Risk Midwife role on an interim 20 basis as well? 21 Α. Project Lead. 22 Q. Was that the same role, Project Lead, as you 23 had had as a governance facilitator or were there 24 differences to it? 25 Δ. No, there were differences to it. 142 1 Α. Yes. 2 Q. Did you hold that role for 18 months? 3 Α. Just over 18 months. 4 Q. Having undertaken that role for 18 months, did 5 vou then come back to a risk role? 6 Α. Yes 7 Q. You tell us that at that time it was described 8 as the Risk and Safety Lead? 9 Α. Yes. Q. Again the Risk and Safety Lead, is that 10 different from the Project Lead or is it the same job, 11 just differently titled? 12 13 Α. Similar job, I was just aligned to an area 14 rather than focused on different projects. As a Project Lead I didn't have a certain area to work whereas a Risk 15 Lead, you had certain areas. 16 17 Finally to complete your history in the NHS, Q. did you retire in 2020? 18 19 Α. I did. 20 Q. So we are just going to move to consider in a little bit more detail the culture in the Risk and 21 22 Safety Department. 23 Your line manager throughout the period that we are 24 focused upon was Ruth Millward, what was your working

25 relationship with her like?

I had a very good working relationship with 1 Α. 2 Ruth for a long time until the merger of the three 3 services, while I was in secondment post and the 4 relationship deteriorated quite significantly. 5 That was the subject matter of your second Q. 6 witness statement; is that right? 7 Α. (Nods) 8 If we just deal with that in summary. Once Q. 9 you were seconded to that post, did you find yourself in 10 a position where you felt unsupported and effectively out on a limb? 11 12 Α. Yes. 13 Q. And experiencing a very high degree of pressure upon you to deliver? 14 15 Yes. Α. 16 Q. So before May 2016, the Inquiry can proceed on 17 the basis that your working relationship with Ruth Millward was a good one? 18 19 Α. Yes. 20 Q. What was she like as a manager, what was her 21 managerial style? 22 Α. She had an open-door policy, she was usually 23 very supportive and welcomed challenge, escalating and 24 she usually made very good judgments. 25 Q. And in terms of the pressure the department 145 1 Q. And that you can help us by starting by 2 providing a summary with the origin of the Datix system 3 at the Countess of Chester, we don't need precise dates 4 or anything like that, but how it was first adopted and 5 for what purpose and then how it developed over time? 6 Δ So I can't remember the date it started but 7 quite some time before I went into the risk team. It 8 was an electronic system to monitor incident reporting initially, that is all we did on it by way of being able 9 to pull reports, recognise trends, themes, do data 10 analysis. 11 12 Then the system grew to include complaints and claims, the complaints side of things didn't go in there 13 14 until I was actually in the patient experience post, but it was a growing system that was changing very, very 15 frequently. 16 17 Q. In terms of who effectively had ownership of that system within the hospital, did that sit with your 18 19 department? 20 Α. Yes. I can't remember exactly when but we employed a Datix compliance manager and so she initially 21 22 managed the incidents and then later on it was another 23 person who came in and that's when the development 24 started of the programme. 25 But was that person in the Risk and Safety Q.

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was under, let's take it in stages, up until the point 1 2 that you undertook the interim Risk Midwife role, so up to the point of February 2016, what was the working 3 environment in the department like in terms of how busy 4 it was, how much pressure you were under? 5 6 Α. It was always very busy. Always a lot of 7 pressure, it's quite a high pressure job looking at incidents, things that could be going wrong. So it was 8 9 always very busy, quite stressful. 10 Once you were undertaking the dual role that Q. you were for the three-month period before your 11 secondment, obviously you were much busier, as you have 12 told us, but how about the department generally, was it 13 under any greater pressure during that period or was it 14 the same or were you simply focused upon what you were 15 16 trying to achieve? 17 Α. I think the pressure was the same for 18 everybody, it has a knock-on effect because whilst I was 19 covering two roles, some of the work I should have been 20 doing would have gone to somebody else. So the 21 pressures is sort of shared throughout the team. 22 Q. Now, I am given to understand that you have 23 considerable experience of the operation of the Datix 24 system; is that right? 25 A. I do. 146 1 Department? 2 Α. Yes. 3 Q. So they were part of that umbrella? 4 Α. Yes, yes. 5 Q. Therefore if it was effectively owned within 6 the hospital by that department as being the place that 7 people would turn to if they needed help, does that mean 8 that that same department had responsibility for ensuring that everybody else in the hospital understood 9 what their responsibilities were? 10

A. Yes. And we provided training on mandatory
 training days, we did a risk talk which included Datix,

13 Datix reporting and the purpose of the system.

- 14 Q. In terms of the reach of that training, I mean15 in the beginning of 2015, to take a moment in time,
- 16 would it be your expectation that everybody who had
- 17 worked in the hospital over the previous 12 months would
- 18 have all received Datix training in that time?
- A. They should have done and also there wasa statement at the top of the report to say that if you
- 21 required help that you could contact us and we often
- 22 assisted people reporting incidents so the support was
- there but it wasn't always taken but it was always thereon offer.
- 25 **Q**. Of course that offer of support requires 148

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a person to understand that they need the support, they 1 2 need to understand their own deficiency or shortcoming 3 or uncertainty before they can reach out; is that fair? 4 Α. Mm-hm. 5 Q. That is not in any way critical of the offer 6 that's made. The Inquiry has seen an example which we 7 will look at with Ruth Millward about how over time 8 definitions can sometimes change or new national policy 9 comes out that expects a different way of working or an 10 improved way of working, was it your experience within the NHS that there were updates to how people were 11 expected to interact with the Datix system or Serious 12 13 Incident reporting? 14 I'm not sure so much that there were updates. Α. But we had a very, very high reporting culture 15 16 nationally. So that kind of indicates that people did 17 know when and how to report. Any updates to the system might go out to that department that's being updated or 18 19 by a generic email if it affects the whole Trust. 20 So from your point of view, just sitting at Q. 21 the place that people can reach out to, what was your 22 overall impression of -- across the hospital of the 23 understanding of what was expected of every single 24 person in terms of Datix? 25 Α. I believe that most staff knew that they 149 1 before we get to that, can we just stay with how Datix 2 works in principle. 3 Is it right to say that there were effectively 4 three important roles at the early stage of a Datix and 5 I will tell you which roles, I mean, you can comment on 6 them. You have the role of the reporter, the role of 7 the handler and the role of the manager? 8 Α. (Nods) 9 Each an important role. So can you just --Q. I mean, the first one I am sure is perfectly obvious, 10 but let's deal with all three. 11 12 The role of the reporter? 13 Α. So the reporter has identified an incident and 14 they report it on the Datix system. The Datix will then be accessed within the next working day by the handler 15 who will look through the incident and allocate it 16 17 accordingly, so, for example, if it was a neonatal incident, it would have gone to Debbie Peacock, if it 18 was a radiology incident at one time it would have gone 19 20 to me as the manager. 21 So if you just pause there. So the handler is Q. 22 a person within the risk department who has effectively 23 as part of their portfolio the department that it's come 24 from?

25 Α. So they open up Datix in the morning to -- to 151

- should report a Datix incident as soon as possible. 1
- 2 Often you would -- when you would read an incident it
- wouldn't be reported as you would report it because 3
- 4 sometimes it's subjective. For example, when things are
- reported as "no harm" or "severe harm" it might -- be 5
- 6 that is their perception at the time and when we do the
- 7 investigation or review the incident that is when we
- will change to the realistic categories. 8
- 9 Q. But of course the important thing is that
- 10 someone has filled in a Datix so that the incident can
- start its paper life, it enters the process at that 11
- point and then can be reviewed to be improved, updated, 12
- 13 corrected, that sort of thing?
- 14 Mm-hm. Α.
- 15 Q. But the fundamental point is that none of that
- 16 happens unless somebody completes a Datix?
- 17 Α. No, because as part of the process anybody who
- 18 recognises an incident should also phone, alert their
- 19 manager or the risk lead. So completing the Datix is
- 20 not the be-all and end-all, it is important, but the
- 21 important thing is to escalate whatever concern you have
- 22 picked up by either phoning the risk team or your ward 23
- manager wherever the incident happened. 24
  - Q. In just a moment we are going to look
- 25 specifically at your perception of the neonatal unit but 150
- 1 open and approve all the Datix that have been reported
- 2 since the last working day.
- 3 Q. So as far as you were concerned when you took 4 over the Risk Midwife for a period of three months, 5 would you have been the handler for any Datix coming
- 6 from the neonatal unit?
  - Α. I would have been the manager.
- You would be the manager. Now you mentioned 8 Q.
- 9 that Debbie Peacock in the context of being the handler,
- she was the Risk Midwife? 10

7

- 11 Δ. No, the handler is the person who first opens, so the handler every morning will open up the entire 12
- Datix system and access all the Datix reports that have 13
- 14 been made since the last working day and they allocate 15 to the manager.
- 16 Q. They allocate to the manager and so you and 17
  - Debbie Peacock sit at the manager level?
- 18 Α. Yes.
- 19 So the handler, are they somebody junior to Q.
- 20 you or in the roles that you had within the Risk and
- Safety Department? 21
- 22 Α. Junior. When I first started in the role
- 23 we -- the risk leads, then governance facilitators, used
- 24 to open and access the Datix. But as time went on, we
- had administrative staff to do that. 25

All they are doing is really -- I hope I am 1 Q. 2 not underselling it here, saying this has come from, for 3 example, the neonatal unit, Debbie Peacock is the risk 4 lead for the neonatal unit, I need to put it on -across her desk? 5 6 Α. (Nods) 7 Now, we will come back to how the manager can Q. 8 change over time and look at some examples, but was it 9 the manager's role to write what is termed an SBAR? 10 If it was a serious -- if it was a patient Α. safety harm incident and required an SBAR. Not all 11 incidents would require an SBARS, it would only be 12 incidents of concern. 13 14 Q. SBAR of course --Α. Sorry, and yes, the manager would do that, 15 16 would investigate it, get it an initial picture, write 17 the SBAR which would go to the Execs. 18 Situation Background Assessment Recommendation Q. 19 is what that acronym stands for? 20 Α. Yes. 21 Q. Presumably that provides a format for the way 22 in which such a report should be written? 23 Α. That's right, it is a communication tool. 24 Q. And so the manager looks at the Datix, makes 25 a decision about whether or not it justifies an SBAR 153 1 that decided on the levels of investigation. Level 1 is 2 a lower level, less concerns, Level 2 would be more 3 serious where there may have been serious harm, for 4 example, and every event where something shouldn't have 5 happened. And Level 3 is more about deaths but I think 6 it's homicide it's actually classed as in the -- in the 7 literature. 8 Q. In terms of? 9 Sorry, external investigations they are Α. 10 normally, so like the police. 11 Q. External investigations therefore for police. So within the system, had somebody been saying in 12 the clearest possible terms all the way up the chain "We 13 14 think that Letby has killed this baby", quite aside from whether anyone is immediately going to pick up the phone 15 and call the police and whether that should happen, the 16 17 system allows for that position to be arrived at in any 18 event? 19 Α. Yes. 20 Q. What is the level of certainty required in terms of how one classifies Level 1, Level 2 or Level 3 21 22 and by that I mean do you simply have to suspect that it 23 is a homicide to reach Level 3 or do you need to have 24 some form of evidence or more likely than not standards. 25 What's -- at that stage what's the test?

155

1	having made the enquiries they want to. If yes, then
2	the SBAR is created and it's sent on to the Execs?
3	A. It might be discussed with Ruth Millward
4	before an SBAR is undertaken.
5	<b>Q.</b> Once an SBAR is written is the expectation
6	that there will be a Serious Incident Review Panel
7	convened?
8	A. So the Serious Incident Review Panel happened
9	weekly. So any SBARS would go to that panel.
10	<b>Q.</b> So not convened for that SBAR; because it is
11	happening
12	A. Unless it was something of particular concern.
13	Q. Is it at that review panel meeting that
14	a decision is made about whether or not the event is
15	going to be classified as a Serious Incident so far as
16	the NHS England criteria is concerned?
17	A. Yes.
18	Q. Are there two levels of investigation at that
19	stage?
20	A. There is MPSA Level 1, MPSA Level 2 and MPSA
21	Level 3.
22	<b>Q.</b> Three. So tell us please what the difference
23	so far as you can recall between the different levels
24	are and who's deciding that?
25	A. So it was the National Patient Safety Agency
	154
1	A. You would have to have some sort of evidence
2	to warrant that, the same as you would have to have some
3	sort of severity to warrant a Level 2 investigation.
4	<b>Q.</b> Now, Datix was a live system; is that right?
5	A. Yes.
6	<b>Q.</b> By that, so I define what I mean, is that it
7	was constantly running and constantly capable of being
8	accessed and constantly capable of being updated?
9	<b>A.</b> Mm-hm.
10	<b>Q.</b> From an audit perspective, just for the
11	ordinary user screen, was it sometimes difficult to tell
12	when an update was applied?
13	<b>A.</b> No. We usually knew if there had been an
14	update because the system would go down for a period of
15	time, so we would have been informed that that was going
16	to happen.
17	<b>Q.</b> I don't mean software update.
18	A. Sorry.
19	<b>Q.</b> I mean updated information. So if you were
20	going to change a field within the Datix system, to
20	change a name or something like that well, let's have
22	a look at an example, I will make myself clearer I am
23	sure in this way.
24	INQ0040506. This is the Datix form for Child I.

25 We will just pick out one or two details on it. We can 156

see the reported date is 23 October and the submitted	1	previously been you is now identified as Mrs Anne-Marie
time is 9.05?	2	
A. (Nods)	3	A. (Nods)
<b>Q.</b> Although presumably the time this incident is	4	<b>Q.</b> If we go over the page just to satisfy
created on Datix, we don't need to worry about who the	5	ourselves it is the same event, in fact I think we might
handler is, you have told us they have an administrative	6	need to go one more to get to our incident reporter.
function and we can see that at the time that this form	7	Just towards the top we can see Caroline Oakley, so this
was this moment in time whenever that was from this	8	is the same event by the same person, but a field has
form, you are identified as the manager?	9	been updated
A. (Nods) Yes.	10	A. (Nods)
<b>Q.</b> We don't need to worry too much about the	11	<b>Q.</b> to reflect that at the moment in time that
moment of time because we are going to just lay this	12	we are looking at, it's Lawrence who is the manager, not
alongside another form. But if we just go over the	13	you; is that right?
page, just so that we can satisfy ourselves because	14	A. Yes.
obviously multiple people can create Datix entries for	15	Q. My point really about updates and audits was
the same event.	16	that just on the face of this document it isn't
We can see that the reporter at the bottom is	17	immediately apparent when that change was made?
Caroline Oakley?	18	<b>A.</b> No and both the documents are quite different
A. Yes.	19	and the only way to see when the change has been made is
<b>Q.</b> So we know that this is an event in respect of	20	to get the audit trail so you can print out a copy that
Child I reported by Caroline Oakley at 9.05 on	21	shows every date and time a change has been made.
23 October. Let's bring it down and let's please bring	22	<b>Q.</b> Absolutely, I am sure that sits in the
up INQ0000457.	23	background of it but just looking at the form, you
Again we see this is Child I, we see the time is	24	wouldn't actually be able to tell from that. Obviously
9.05 but here we can see that the manager who had 157	25	you can see that if you lay them side by side some will 158
		166
have later dates in time, but that was really the point	1	• I mean the word "review" encours twice in the
have later dates in time, but that was really the point	1	<b>Q.</b> I mean, the word "review" appears twice in the
I was making.	2	preceding entry, one that it's been reviewed internally
I was making. So can we go back to page 2 just to have a look at	2 3	preceding entry, one that it's been reviewed internally and secondly, the thematic review when complete.
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1	at various points people have the opportunity to add	1	or the third on the list. We have:
2	data, and that managers can change as people move roles?	2	"Review and monitor the risk registers, escalate
3	A. Yes.	3	risks to the divisional and organisational risk
4	Q. Thank you very much indeed. We can take that	4	registers."
5	down.	5	Now, we heard from Mrs Murphy about how the risk
6	That's all that I am going to ask you about the	6	interacted at the local level and she described for us
7	theory of Datix. We are going to have a look shortly	7	a situation in which once there is an incident is
8	potentially at another one, but let's see how we get on.	8	identified at a local level, there will be input from
9	I would like to just ask you some questions about	9	the risk department about that and that by the time the
10	the Women's & Children's Care Governance Board. Was	10	incident is escalated to the Women's & Children's Care
11	that a committee that you attended?	11	Governance Board, a decision has already been made
12	A. I believe I attended between Debbie Peacock	12	effectively about the level of risk around this incident
13	leaving and Annemarie starting.	13	and how it should be treated.
14	Q. So do we infer from that that you would have	14	Is that your experience of it or was the risk input
15	attended in your capacity as Risk Midwife?	15	at this board level?
16	A. Yes.	16	A. It depended on the level of risk which risk
17	Q. Well, helpfully we have some Terms of	17	register it sat on, you would have a local risk
18	Reference which are dated the period that you began	18	register, a divisional risk register and a board
19	attending that, so we can see what it says about itself.	19	assurance framework so it would depend on the level of
20	Ms Peacock looked at these earlier in the day but just	20	risk, where it was reviewed and how it was scored. And
21	a couple of things to pick out, INQ0015325.	21	then the scoring would then dictate which level of risk
22	I'm not going to duplicate what Ms Brown asked	22	register it sat on.
23	about this morning, so we can go over to page 2 because	23	<b>Q.</b> Would that all be decided before you get to
24	we have the membership well in mind.	24	the Women's & Children's Care Governance Board?
25	l just wanted to ask you about number 3 on the list 161	25	<ul> <li>A. Yes. Usually, unless it needed discussion by 162</li> </ul>
1	some areas rather than just a single area.	1	doesn't appear to be a similar function in relation to
2	<b>Q</b> . Did this governance board, as far as you	2	paediatrics or neonatology in terms of their staffing
3	understood it, have a function to consider that	3	levels?
4	particular case if somebody wanted to raise something	4	A. (Nods)
5	about it and reflect upon whether it was being managed	5	Q. Now, obviously you only joined this committee
6	correctly?	6	in February on an interim basis but I was just wondering
7	A. Yes.	7	whether you can help us with that, because I'll
8	Q. That is rather what governance means, would	8	explain why. We know that in December of 2015, there
9	you agree?	9	were repeated complaints to the senior management about
10	A. (Nods)	10	the staffing levels within the paediatric department
11	<b>Q.</b> Similarly, if there was a report that looked	11	generally and yet when we get to February and the Terms
12	at a number of events, again would that be something for	12	of Reference appear to be settled once again, we see
13	this board to consider?	13	only a focus upon the obstetrics side.
14	A. (Nods)	14	So can you shed any light on to that?
15	<b>Q</b> . So as to reflect upon whether the conclusions	15	A. Well, first of all it is a draft document, so
16	are right, ask questions, challenge and really just	16	it may have been updated to include neonatal staff.
17	establish whether or not it was being managed properly?	17	<b>Q</b> . Can I just pause you there?
18	A. Yes, usually, yes.	18	A. Yes.
19	<b>Q</b> . The only other question to ask you about is	19	<b>Q.</b> Obviously that is a theoretical possibility.
20	the next one down says:	20	Do you know whether or not that happened or?
21	"Review and monitor staffing levels for obstetrics	21	<b>A.</b> No.
22	and anaesthetists and midwifery staff."	22	<b>Q.</b> You are just allowing for the possibility
23	My question really was this: this is February 2016,	23	because it's got "draft" written on it?
24	which we can see from the next page, but I am sure you	24	A. No, I don't know.

The other thing is it could be that staffing 1 Α. 2 in obstetrics and anaesthetists and midwifery staff 3 might have been highlighted as an issue and if that were the case, it would indicate that the neonatal staffing 4 5 wasn't an issue but I don't know the answer. 6 Q. Well, certainly --7 Α. I don't know why the omission. 8 Q. Well, you can take it from me, although you 9 probably can't comment, that certainly there is very 10 clear evidence that by the end of 2015, from both the nursing and a medical side, the managers were being told 11 there is a problem on the paediatric unit? 12 13 Α. (Nods) 14 There we are, that is all that you can tell us Q. about that. Thank you very much indeed for your help on 15 16 that. 17 I would just like to ask you about the neonatal unit and your experience of the neonatal unit's attitude 18 19 towards the Risk Department, and that is something you 20 mention in your witness statement. 21 I would just like you to just tell us what your 22 perception was? 23 Α. At that time, I didn't actually have -- so from 2015 to 2016 I didn't have any time spent with the 24 unit, the staff. Obviously the short time where I was 25 165 1 for an understanding about when people became aware of 2 particular things. 3 It's now very well-established that there was 4 an increase in the mortality rate on the neonatal unit. 5 When do you think you first became aware of the increase 6 in the mortality rate? 7 Α. I can't recall becoming aware of the increase 8 in the mortality rate. The first time I knew of a link 9 a potential link with Lucy Letby was --10 Q. Can I just, I have --Α. 11 I have gone too far. 12 Q. You have moved to my second question --13 Α. Sorry. 14 Q. -- which will be when a member of staff might be resolved in it. I am just talking about the fact 15 that there were more deaths on the neonatal unit than 16 17 people were expecting. When do you think your first awareness of that was? 18 19 Α. I really couldn't pinpoint when I was -- when I first became aware and I think now my memory is very 20 muddled by things that I have read and heard since, so 21 22 it's hard to pinpoint any particular time. 23 I -- I don't believe I was aware before May 16 that 24 there was. 25 Q. Before May 16. If I can give you some moments 167

covering that role I will have had some interaction with 1 2 them. I didn't pick up anything that concerned me in any behaviours. 3 4 O. You didn't pick up anything. What you suggest in your statement is that -- it's paragraph 5 if you 5 6 want to look at it: 7 "Governance has historically not always been 8 welcomed and/or understood by some clinicians, which at times could lead to difficulty with some professional 9 10 relationships and maintenance of robust governance policies. I would not be able to pinpoint anything in 11 particular between the years 2015 and 2016 or that 12 specifically affected the neonatal department at that 13 14 time." 15 So are we to take it that that comment is across 16 the hospital, rather than intended to be -- because the 17 preceding paragraph is talking about the NNU I was just 18 trying to understand whether or not the clinicians you 19 are referring to were paediatric clinicians who worked 20 on the neonatal department or whether it wasn't 21 specific? 22 Α. It wasn't specific. I think that was a more 23 general observation. 24 Q. The second question about the neonatal unit is 25 to the best that you are able to help us it's important 166 1 in time, we have got the period before February 2016 when you took over the Risk Midwife role, we have got 2 3 the period that that ended May 2016 and we have got the 4 end of June 2016 when Letby was taken off the 5 department, although that wasn't confirmed until her 6 return and there was a period where that was in doubt 7 and then she started in the risk department in July. 8 So thinking about those dates, are you able to help 9 us with when you might become aware of the increase in mortality? 10 11 Δ. I really don't remember any specific dates. I don't know whether it was something I just knew or 12 I don't even know if I was aware of it at the time. 13 14 Bearing in mind your role as risk midwife Q. 15 during the period February to May 2016, was that something that you should have known during that period? 16 17 Α. Yes. 18 Q. Sitting there now, do you think that is something that you did know at the time or that you 19 20 didn't know, or can you not say? 21 I -- I don't think I did know because of what Α. 22 happened next, otherwise I think I would have been a lot 23 more concerned. 24 Q. There are you referring to the discussion you 25 had once Annemarie Lawrence came?

3

1	A. (Nods)	
2		ne to that. How about the second
3		h is: when you first became
4	aware that there was a co	oncern that a particular member
5	of staff may be somehow	connected to the increase in
6	mortality?	
7	A. When did I be	come aware?
8	<b>Q.</b> Yes.	
9	A. In I think late I	May/early June soon after
10	Annemarie had started in	post.
11	<b>Q</b> . Well, we will c	ome to that in a moment.
12	Let's just deal with t	he thematic review that we
13	know took place on 8 Feb	oruary. Debbie Peacock was in
14	the role that you took ove	r at that time, it was right
15	towards the end of her tin	ne and she attended that
16	meeting, we know that fro	om records and you may have
17	heard that this morning?	
18	A. (Nods)	
19	( )	nded over to you, did she tell you
20		that she had been to on the
21	neonatal unit, was there a	
22	A. Not that I reme	•
23		back on things, is that
• 24	something that should ha	0
25	a handover?	·····
		169
1	If we just remember	that date, 2 March 2016 and go
1 2	,	that date, 2 March 2016 and go e go to page 2 and we just slot
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	<ul> <li>back to INQ0000457. We that into the chronology that an update. On the day the was sent at 14:57, so at 1 you received a response paediatrician, the case hat We know that because it at tabletop meeting in AH Just pausing there.</li> <li>3rd 16, that appears to be that be a typo?</li> <li>A. Yes, I would in Q. Because as you be talking about the past, A. Yes.</li> <li>Q. And: "Awaiting PM result of thematic review when a And: "Consultant paediatatatatatatatatatatatatatatatatatatat</li></ul>	e go to page 2 and we just slot hat we looked at earlier. We re, so 1 March, you emailed for hat that email was sent and it 10.09 you made an entry that from the Consultant ad been reviewed internally. was reviewed on 8 February and ICC, 25th of the 3rd 16. In terms of that 25th of the e a date in the future. Might magine so. bu have written it, it appears to doesn't it? s. Will forward draft minutes complete."

Α.	Yes

2 **Q.** Now, I would just like to look a little bit

further at what information you had.

- 4 Please -- you were asked to have a look at an email
- 5 today, something that I asked to have drawn to your
- 6 attention. It's INQ0014226. This is an email dated
- 7 2 March 2016 and it's an email that Dr Brearey sends to
- 8 a number of people and he attaches the final version of
- 9 the neonatal thematic review. And if we look on the cc
- 10 list, both you and Ruth Millward are recorded as
- 11 recipients of that email. There's some text about it in
- 12 the body of the email but it's the report that's
- 13 attached that's important.
- 14 Now, you have told us that you don't have any
- 15 recollection of having seen that report before speaking
- 16 to Ms Lawrence in late May and looking at this email,
- 17 can you see that it appears to have been sent to you?
- 18 **A.** Yes, I can.
- 19 **Q.** And you have told us you were doing two roles
- 20 at that time. But the reason you would be on copy for
- 21 this would be as your as the Risk Midwife role, not as
- 22 your Project Lead role; is that right?
  - A. Yes

from Debbie Peacock.

23

- 24 Q. So this is your second job having taken over
  - 170
- 1 was the person running the thematic review and later that day, about four hours later, it appears that he 2 3 sent you the thematic review, you were on copy together 4 with your boss? 5 Α. Mm-hm. 6 O. We can then see that on 15 April, you have 7 sent an email requesting an update on review. Now, you 8 have told us you are not sure whether that's the Alder Hey review or whether that's the thematic review. 9 But if it was the thematic review you were referring to, 10 it would tend to suggest that you hadn't realised that 11 you had received it by this date, do you agree? 12 Yes. And maybe -- I don't know but maybe the 13 Α. 14 Alder Hey Children's meeting was -- was to be held in the future, the case has been reviewed internally? 15 Q. And at a tabletop meeting in Alder Hey? 16 17 Mm-hm. I mean I have absolutely no Α. recollection. I am sorry. 18 And again you appear to have chased it again 19 Q. 20 whatever it is the feedback from review on 27 April and then we get to the end of your tenure and Ms Lawrence 21 22 takes over? 23 Α. Within Datix all the emails sent and received 24 should be saved, so without looking, if I was able to look at them, I might be able to piece that story 25 172

together but without that, I just can't recall. I'm 1 2 sorry. 3 Absolutely, and I am sure that's something Q. 4 that we can look into. If we just take that down and just look at this from another perspective. The 5 6 thematic review, which I know that you have recently had 7 a chance to see, and which reaches the conclusion that 8 no theme has been identified and that there are sudden 9 and unexpected deteriorations, some of which have no 10 explanation, was that the sort of report that should have been considered by the Women's & Children's Care 11 12 Governance Board? 13 Α. It should have been received there. 14 You as part of your preparation I think have Q. had a chance to consider the board meetings for both 15 16 April and May and we can bring them up if you want, but 17 I am sure you will be able to agree with me that in fact although you are recorded as present at both of those 18 19 meetings, the thematic review was not tabled at either 20 of them? 21 Α. I don't recollect, sorry. 22 Q. Is that something that would help you to have 23 a look at if you don't have it in mind? 24 Yes, please. Each month looks at the month Α. 25 before, so I would have expected if it had been 173 1 So if you take three pieces of information, an 2 interpretation for your comment is that you just didn't 3 notice that you had been put on copy or didn't realise 4 that you had it on 2 May -- 2 March, when of course you 5 were performing those two roles? 6 Α. I really don't remember either. I -- I would 7 be guessing. Well, let's just come then to deal with the 8 Q. 9 events involving your colleague Ms Lawrence. We know that she started at some point in May of 2016, in fact 10 she's recorded as attending the 19 May 11 Women's & Children's Care Governance Board. 12 13 Shortly after she joined your department, I think 14 she came and had a conversation with you? 15 Α. (Nods) 16 Q. And I would just like you, please, to help us 17 with exactly what happened. 18 Annemarie approached me I think one morning Α. quite early and asked me to have a look at this, and it 19 20 was a table of the children -- the babies with Lucy Letby identified as present in some capacity for 21 22 each of the deaths or collapses. 23 If we just bring up what you were looking at Q. 24 so there can be no misunderstanding about this INQ0003251, page 9. You can have a look at this and see 25 175

1 completed, but looking at that the email and the Datix,

2 if I was chasing the incident review in April, then

3 I wouldn't -- I hadn't -- I wouldn't have had it for

4 the May report, if that makes sense.

**Q.** But if you were sent the thematic review on

6 2 March, then as we have seen from the email, then it

7 would be available to you and others to table it from

8 that date?

9 A. I just wonder why I have written that I have10 chased it again in April.

11 Q. One explanation is that you haven't realised

12 it being an email you are copied into that you have it?

A. Have I then written that I have received itlater on? No.

15 Q. No, because in fact as we will get to, it

16 seemed like a fresh document to you when your colleague

17 Annemarie Lawrence showed it to you in May and so I am

18 just -- if we triangulate these pieces of information,

19 we have got the fact you appear on one interpretation to

20 be chasing it, we have got the fact that on the records

21 it hasn't been tabled by you in April or May?

22 **A.** (Nods)

23

25

Q. And we have got the fact that it appeared to

24 you to be a brand new document when your colleague was

showing it to you in May. 174

1 whether I have identified the document that you were talking about. Forgive me, I don't think that is the 2 3 document that I was intending to come up. By all means 4 consider that but I don't think that that meets the 5 description that you have previously given. 6 Just bear with me a moment. INQ0003217. I'm sorry 7 if I read that out incorrectly. This is the appendix 1 Neonatal Mortality 2015 January to 2016. 8 Obviously we have applied some ciphers but we can 9 see the "Staff allocated", "Staff on duty" columns. Is 10 that the document that to the best you can recollect 11 that Ms Lawrence brought to you or was it a different 12 13 one? 14 I can't be 100% certain. I just know that Α. 15 what I looked at had a column where Lucy Letby was identified as being present on duty. 16 17 Well, this is not the best page to start on Q. because the first entry doesn't appear like that, but if 18 we look at Child A we can see in the "staff allocated" 19 20 column, if we go over the page because that first entry is the exception, we can see that for Child C, she is in 21 22 the right-hand column, we can see for Child D, she is in 23 the right-hand column, if we go over the page just to 24 satisfy you, Child E, she is staff allocated, for the

25 next child, she's right-hand column.

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(44) Pages 173 - 176

4	
1 2	Does that help you with the sort of pattern that
2	you were looking at? A. Yes.
4	<b>Q.</b> So Ms Lawrence came to see you, brought
5	a document and what did she say to you?
6	<b>A.</b> She just asked me to look at it and what did
7	I think the fact that Lucy Letby was on duty when each
8	baby either died or collapsed?
9	<b>Q.</b> Did you do that?
10	A. Yes.
11	<b>Q.</b> And what did you say once you had had a chance
12	to consider the document?
13	A. I said that Annemarie needed to go and speak
14	to Ruth about it.
15	<b>Q.</b> Why did you say that?
16	<b>A.</b> Because it is quite a significant trend to
17	have the same member of duty on staff for all those
18	occurrences.
19	<b>Q.</b> Now, other witnesses have drawn a distinction
20	between the staff allocated and the staff on duty and
21	have used the fact that Letby is not always staff
22	allocated as being somehow significant in the
23	interpretation of this chart.
24	That doesn't seem to have been the approach that
25	you were taking; is that fair?
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1	a significant finding and should be discussed with
1 2	a significant finding and should be discussed with Ruth Millward?
2	Ruth Millward?
2 3	Ruth Millward? A. (Nods)
2 3 4	Ruth Millward? A. (Nods) Q. So did Annemarie Lawrence tell you that is
2 3 4 5	Ruth Millward? A. (Nods) Q. So did Annemarie Lawrence tell you that is what she was going to do?
2 3 4 5 6	<ul> <li>Ruth Millward?</li> <li>A. (Nods)</li> <li>Q. So did Annemarie Lawrence tell you that is what she was going to do?</li> <li>A. I know that she went and did it virtually</li> </ul>
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2 3 4 5 6 7 8	Ruth Millward?         A. (Nods)         Q. So did Annemarie Lawrence tell you that is         what she was going to do?         A. I know that she went and did it virtually         straight away.         Q. Yes, and did she speak to you immediately         afterwards or after some time?         A. Fairly soon afterwards, I don't know exactly.
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quir	У	22 October 202
1	Α.	Sorry, can you say that again?
2	Q.	Absolutely. Was it sufficient for you that
2		either on duty or allocated to the baby?
4	A.	Yes, because just because she wasn't allocated
4 5		doesn't mean she wouldn't have been involved
6		are, if she was covering a meal break. It's
7		<b>U</b>
		d that she's on duty all of those times but
8		e for any other number of reasons and it
9 10	Q.	nore investigation.
10	Ruth Milly	So you told Ms Lawrence to go and see
12	<b>A</b> .	Mmm mm.
12	Q.	At the time did either of you describe your
13		about this trend in terms of its potential
14	0	ce or otherwise?
15 16	signincan <b>A</b> .	I don't think so, not that I recollect.
17	Q.	What was your view about the potential
18		ce of the trend that had been shown to you and
19	0	ad seen for yourself?
20	unat you i <b>A</b> .	I didn't really have a view, it was just one
20		nformation that was quite a significant trend.
22	•	e for a number of reasons so I didn't know any
22		kground information.
23	Q.	And you tell us in your witness statement that
25		that the theme identified could be
		178
1	А.	It would be more of a competency issue would
2	be your fi	rst thoughts if something recurrent is
3	happenin	g with a member of staff.
4	Q.	But then Anne-Marie Lawrence comes back to
5	you, says	that her concern has been dismissed and then
6	is talking	in terms that you understood might imply that
7	deliberate	e harm had been caused or was being alleged?
8	Α.	Sorry, say that again?
9	Q.	Not at all. When Annemarie Lawrence came back
10	to you an	d said that she shouldn't be implying things
11	like that,	correct me if I am wrong, but it sounds like
12	the idea o	or the implication that deliberate harm might
13	be being	caused was suddenly in the room, so to speak?
14	Α.	Yes, yes.
15	Q.	When that was said to you, what were your
16	thoughts	about whether that was at least a possibility
17	based up	on what you had seen?
18	Α.	I agreed with what Ruth alleged to have said
19	in that it r	eeded more investigation.
20	Q.	But Ruth
21	Α.	Ruth had said to Annemarie.
22	Q.	That it needed more investigation?

- Α. Yes.
- Q. I thought you had said that her concern had
- been dismissed and that she shouldn't be implying things

like that? 1 1 2 Α. But also that it needed more investigation. 2 3 Q. Who did you understand from Ms Lawrence was 3 4 4 going to carry out that investigation? 5 Well, Annemarie and Ruth, that side of the Α. 5 6 team. 6 7 Q. So did you think that it was staying with 7 8 Ms Lawrence to investigate? 8 9 9 Α. Yes. But also it could have been if -- if 10 there were any concerns about a certain member of staff 10 it would often be a HR investigation rather than 11 11 a clinical incident investigation and I think this 12 12 probably crossed borders. 13 13 What about it being a safeguarding issue? 14 14 Q. 15 Yes. But that would come as part of an Α. 15 16 incident investigation that would be included in that. 16 17 Q. Obviously investigations take time. If there 17 is a safeguarding issue, do you agree that it needs to 18 18 19 be acted upon immediately? 19 20 Α. Mm-hm. 20 21 Q. So did you have any discussion with 21 Ms Lawrence about whether or not immediate action needed 22 22 23 to be taken to safeguard babies? 23 24 Α. No. 24 25 Q. Looking back on it, why do you think that you 25 181 1 be harming patients? 1 2 Not deliberately, no. Α. 2 3 Q. So this is the one and only time in your 3 4 experience that that has been, as I have previously 4 5 termed it and you have agreed in the room, so inherent 5 6 in the conversation that you are having? 6 7 Α. (Nods) 7 8 Q. Looking back on it, do you think you should 8 9 have done more to make sure that the matter was being 9 progressed or do you think your response was reasonable? 10 10 11 Α. If I had had any more concerns, I would have 11 escalated them but I didn't have any concerns and 12 12 I didn't -- with the knowledge I had it wasn't enough to 13 13 14 escalate any further with that one piece of information 14 I had and so I am sure in that situation again, without 15 15 the benefit of hindsight, I would probably do the same 16 16 17 again. 17 you. 18 It wasn't my role to undertake any investigation 18 and I knew that there were people who did have that 19 19 20 responsibility and trusted them to be doing that and 20 I had no reason to think that they weren't. 21 21 22 Q. The last thing I would like to ask you about 22 23 is a reflection that you added at the end of your 23 24 witness statement. I will just read out to you what you 24 25 said: 25

didn't raise that or discuss that in those terms? Α. Because I didn't think of any -- any thing that deliberate. O. Well, you have told us that the idea that it might be deliberate harm was inherent in what was being fed back to you. Α. It's a bit difficult really to remember exactly with the length of time. I just knew it needed more investigation to -- to find out exactly what was happening. Did you ever follow up with Ms Lawrence or Q. Ms Millward about whether or not that investigation was progressing? No. I had my own role to do and I trusted Α. both Ruth and Annemarie to undertake those further investigations and nothing ever came to me afterwards to suggest that that wasn't happening. Q. But if nothing was happening, then nothing would necessarily come back to you? But I didn't become aware of any other Α. concerns from anyone. Q. In your role over the years in the Risk and Patient Safety Department, I am not asking you to name the incident, but had you ever come across a situation where it was even suggested that a member of staff might 182 "I think that the concerns raised by the paediatricians were not acknowledged or taken seriously enough or soon enough by the Executive team." I just wanted you to help us with whether that was as a result of things that you saw happening or whether that is an impression that you formed having read newspapers and so on. So if you just help us with what that reflection is based upon? Α. So it is the latter, it's what -- what I now know following the investigations and the criminal trial. Because I wasn't aware at the time what was happening. MR DE LA POER: Mrs McMahon, thank you very much indeed Α. Thank you. MR DE LA POER: I don't have any more questions for There are no Rule 10s. LADY JUSTICE THIRLWALL: Thank you very much indeed, Ms McMahon I have got no questions for you. Α. Thank you. LADY JUSTICE THIRLWALL: Thank you for waiting all morning and you are free to go now. MR DE LA POER: My Lady if I just check, no thank you very much indeed, it occurred to me I needed to make

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1	a check I have now made that check, I'm sorry to
2	interrupt.
3	LADY JUSTICE THIRLWALL: That is all right. Are we
4	ready for the next witness?
5	MR DE LA POER: Yes, we are.
6	Annemarie Lawrence, please.
7	MRS ANNEMARIE LAWRENCE (sworn)
8	Questions by MR DE LA POER
9	LADY JUSTICE THIRLWALL: Do sit down.
10	Mr De La Poer.
11	<b>MR DE LA POER:</b> Please can you give us your full
12	name?
13	A. Mrs Annemarie Lawrence.
14	<b>Q.</b> Mrs Lawrence, is it correct that on 8 July of
15	this year you provided to the Inquiry a witness
16	statement?
17	A. I did, yes.
18	<b>Q.</b> And are the contents of that witness statement
19	true to the best of your knowledge and belief?
20	A. They are.
21	<b>Q.</b> Did you qualify as a midwife in 2006?
22	A. I did.
23	Q. And did you start in the role of midwife at
24	the Countess of Chester in 2014?
25	A. I did, yes. 185
1	that right?
2	A. That's correct.
3	Q. You were then appointed to the role
4	effectively taking over from Debbie Peacock as the
5	full-time occupant but in practice taking over from
6	Janet McMahon who had been doing the job for about three
7	months?
8	A. That's correct.
9	<b>Q.</b> What you tell us that the role that you
10	undertook required well, let's put it a different
11	way: tell us what you thought the role of Risk Midwife
12	involved?
13	A. I thought the role would be regarding the
14	day-to-day incident management, the governance
15	facilitation guidelines, governance board, et cetera.
16	It was very multi-faceted but it would be involving
17	midwifery and the neonates as well.
18	Q. So on the subject of neonates, you were,
19	before this role, a midwife by background and training?
20	A. That's right.
21	Q. Did you consider that you were able to provide
22	a risk role for a department that you weren't trained to
23	work in?
24	A. Yes, because we don't undertake this role as
25	sole practitioners. We work as part of
20	187

Q. And in May of 2016, as we have just heard from 1 Ms McMahon, did you take up the role of Risk Midwife? 2 I did. 3 Α. 4 O. And just to summarise how you came by that role, did you see the job advertised within the hospital 5 6 buildings? 7 Α. Yes, it was on one of the staff forums within the central labour suite as expressions of interest to 8 apply via the NHS Jobs Trac system. 9 10 I am terribly sorry and I don't mean this in Q. any way critically, can I just ask you to keep your 11 voice up a little or move slightly closer to the 12 microphones. That would be really kind. Thank you very 13 14 much? 15 Α. Yes, of course. 16 So you saw the job advertised and did you Q. 17 consider that you meat the criteria that they were identifying? 18 19 Α. I did, I went to speak to my current manager, 20 after she had asked me to have a look at it on the board, she felt I had the necessary knowledge and skills 21 and she thought I would be a good fit for the role. So 22 23 I did apply. 24 Q. The application involved you making a presentation to Ruth Millward and Julie Fogarty; is 25 186 1 a multi-disciplinary team, so I would always be within the capacity of neonatal nurses, neonatologists or 2 3 paediatricians. 4 So if there was anything that needed any expert 5 guidance per se, I would go to the expert for that 6 relevant area. 7 Q. And bearing in mind your role involved looking 8 after the neonatal department, or unit, as much as it did the midwifery obstetric side of things, did you ever 9 ask why you were the Risk Midwife as opposed to 10 a broader term that included neonates? 11 I think certainly over the last few years 12 Α. leading up to maybe 2018, when there was some national 13 14 guidance published, neonates was very much added on to maternity as an addition. 15 16 Maternity is very much nationally driven by various drivers, et cetera, and the workload and incidences is 17 significant compared to other areas and therefore it 18 takes up a large proportion of time that isn't the same 19 20 within neonates, gynaecology or children's and that has changed over the last few years with the publication of 21 22 MBRRACE and PMRT, et cetera. 23 But certainly at that time, it wouldn't have

- 24 warranted a full time officer for that area.
- 25 **Q.** In terms of where your focus lay, and the 188

hours that you were spending, do we infer from your 1 2 previous answer that most of your work was involved 3 looking at the midwifery obstetrics side? 4 Α. It was, yes. 5 Q. Just to complete the picture as far as you are 6 concerned, in 2019 did you take up the role of 7 governance matron for the Women and Children's Hospital 8 at the Wirral University Teaching Hospital? 9 Α. I did, yes. 10 And in 2020, were you promoted to clinical Q. service lead for obstetrics and gynaecology? 11 12 Α. I was. 13 When you were training as a midwife, and then Q. practising, did you receive any safeguarding training 14 specifically in relation to what you should do in the 15 16 event that you were concerned that a member of staff was 17 harming patients? 18 Α. Not specifically for a member of staff, no. 19 I think that is a gap within our national safeguarding 20 training because certainly, when I have looked back 21 retrospectively at the various trusts I have worked in 22 across the north-west and when I did my training, it 23 wasn't covered in any shape or form within safeguarding training specifically for harm by a staff member or 24 25 service user. 189 1 you joined the Risk Department in terms of how its other 2 functions worked and what your role was with that? 3 Α. Yes. We had a Datix compliance manager, or 4 administrator he was at the time, who talked me through 5 the back office functions, and then we also had the 6 office PA, Joanna Donnelly, who filled in for the Datix 7 administrator who knew the system inside and out. So if 8 there was anything you needed and you were unsure of, 9 she would always be on hand to help navigate or help a workaround if you were unsure. 10 11 Q. Now, we are just going to spend a short period 12 of time looking at when a Datix is required. Was it an important part of your role as Risk Midwife to have 13 14 a clear understanding of when a Datix was required? Absolutely. Because a large part of my role 15 Α. was as educator as well, with some of the visits to the 16 17 clinical areas, sometimes you hear things, sometimes you see things, and sometimes you know when -- once 18 something should be submitted. So it's really important 19 20 to have a very clear understanding of both the obstetric and the neonatal pick list, as we called it, which is 21 a selection of incidents that would automatically 22 23 normally generate a Datix being submitted. 24 It's important to have that knowledge on the tip of your tongue so that you can use it in action when you 25

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1 Q. Now, you have described it as a gap. 2 Obviously we are going to get to a moment in time where 3 you were presented with some particular information and 4 you reached some particular conclusions about it. Would 5 it have helped you navigate that situation if you had 6 had such training? 7 Α. I think so and that is an area of reflection 8 for myself over several years now. If I'd have had the knowledge I have now back in 2016, then I do believe 9 10 I would have escalated further. It may be that I would have continued not to be listened to, but at least 11 I would have tried harder than I did at the time. 12 13 Now, you went from practical on-the-ward Q. 14 midwifery into a non-patient-facing role in the Risk Department as Risk Midwife? 15 16 Α. Yes. 17 Q. Did that involve you having a greater degree of interaction with the Datix system? 18 19 Α. Yes. I worked significantly with the Datix 20 system. 21 Q. So presumably you had some prior knowledge of 22 it from the point of view of a clinician on the ward 23 putting -- making entries? 24 Α. Yes. 25 Q. Did you receive any additional training when 190 1 are in those clinical areas. 2 And of course you have this unique Q. 3 perspective, as far as Inquiry witnesses are concerned, 4 is that you practised on the labour suite, no doubt --5 Α. Yes. 6 Q. -- filling in Datixes yourself up until May of 7 2016, at which point you effectively went behind the 8 curtain and were able to see what happened to them after 9 that. 10 Did your knowledge and understanding about the importance of ward level Datix completion change once 11 you joined the Risk Department? 12 13 Α. It really did, yes. It was very much an 14 eye-opener because I got to see -- if you don't fill in a certain box, I got to see the ramifications of that in 15 the background. So how difficult it is if you don't 16 17 include the staff involved in an incident at the time you submit the Datix. It can be really difficult to go 18 back at a moment in time, especially if the incident 19 20 reporter is on annual leave for a period of two weeks.

Sometimes you are coming back several weeks later and 21

22 people's memories are not what they were, and you may

23 miss an opportunity to identify somebody or something

24 that was important at the time.

25 Q. Now, we are going to look at some policies in 192

a moment, and this isn't by any means a test or vie of 1 2 you but we have heard the term "Serious Incident" and we 3 have heard the term "Incident" and you use both in your 4 witness statement. 5 Α. Yes 6 Q. Can you just help us to understand what you 7 understand to be the difference between an Incident and 8 a Serious Incident? 9 Α. Yes, absolutely. So an Incident is --10 certainly in midwifery and more so in neonatology over the last few years, we submit an incident report for 11 things where there is a deviation from normal. And, as 12 I say, in midwifery certainly we use an incident Datix 13 submission for a data collection as well. So we will 14 collect data on the number of third degree tears whether 15 16 or not they have been caused or have occurred, and 17 I will give an example of that, if I may. 18 So a lady could deliver at home without a midwife 19 present and could experience a third degree tear. We 20 wouldn't have caused that harm by an individual but it 21 is still a harm that has occurred to the woman and 22 should be reported. So that would be an incident. And 23 then a Serious Incident is where there has been an act or omission of care that has contributed, or may have 24 25 contributed, and, as we move into PSIRF, which is the 193 1 So, in other words, it would appear that a policy 2 change has resulted in how events should be categorised. 3 You don't need to comment upon that, particularly 4 if you don't know about it, but was it your experience 5 that there were evolutions in what was expected and how 6 things were defined over time? 7 Α. Yes, I think -- and in some ways it shows 8 a good link to the latest available evidence. It's 9 really important as clinicians we stay as current as we can and we maintain our clinical credibility. 10 So being aware of the latest guidance and updates 11 I think is really important. I won't share my personal 12 view on that if you don't mind but ... 13 14 Now, you comment in your witness statement Q. about the approach, as you perceived it to be, to Datix 15 on the neonatal unit. I will just read out what you 16 17 have said: 18 "The neonatal unit staff did not approach the Datix reporting system in an open and transparent way. They 19 20 would often only report an incident if they felt it was 21 avoidable or there had been an obvious omission in 22 care." 23 Α. Okav. 24 Q. So a number of things to ask about that. 25 Α. Yes. 195

- 1 Patient Safety Incident Response Framework, which it
- 2 wasn't in place in 2016 so I don't want it blur lines or
- 3 things, but it's really important that we look at harm
- 4 suffered versus harm caused as well because the
- 5 experience of a neonatal death, for example, in an
- 6 expected death -- so a baby that is incompatible with
- 7 life -- we used to grade those incidents as "no harm"
- 8 because there is no act or omission that would have
- 9 prevented that baby's demise.
- 10 However, when you look at it from the point of view
- 11 of the patient and the family, for them to see that
- 12 incident graded as "no harm" was quite detrimental to
- 13 them because it's not no harm to them, which is why we
- 14 have moved over into the Patient Safety Incident
- 15 Response Framework so we are a bit more empathetic as to
- 16 the impact on our women and families.
- 17 **Q.** Now, we are going to look at this in more
- 18 detail with Ruth Millward but it may have been on your
- 19 radar at the time, it may not. But we know that in June
- 20 of 2015, so whilst you were still working as a midwife,
- 21 she sent an email which was to this effect: that child
- 22 death, so outside your area of work, is no longer
- 23 included as a Serious Incident by definition in the SI
- 24 framework or on STEIS. However, it may be reported as
- 25 a Serious Incident under another category. 194

1	Q. The first thing is when you say "neonatal unit
2	staff", are you talking all staff or are you talking
3	doctors or nurses or Consultants, or managers? Who do
4	you mean by "staff" when you say that?
5	A. I mean every person who works within the
6	neonatal unit umbrella.
7	<b>Q.</b> Obviously you frame that in the past tense
8	"did not". So we just need to understand what our date
9	parameters are. Presumably, that wasn't an opinion you
10	held before May 2016 because you didn't have access?
11	A. Absolutely.
12	Q. So this is from May 2016?
13	A. Yes.
14	Q. And over what period from May 2016 did that
15	apply?
16	A. I am not certain of the dates with any
17	certainty. But there was a change in leadership from
18	the Neonatal Risk Department and there was
19	a Dr Dangerfield who was appointed into that risk role.
20	And after her appointment, the department seemed to
21	be much more amenable because she she got risk. Tha
22	is the only way I can describe it really. She
23	understood the impact of acts or omissions and that risk

- 24 isn't just about managing the incident that's happened,
- 25 it's about learning from acts or omissions and
  - it's about learning from acts or omissions and 196

1 preventing the next incident from happening. 2 There was certainly an improvement after the Royal 3 College review as well. So kind of after the Royal 4 College, maybe September, October time, and then up until the point where Jo Dangerfield, Dr Dangerfield, 5 6 took over things definitely improved after then. 7 Q. Can you give us a year when Dr Dangerfield 8 took over? 9 Α. '18. Definitely she was there by '18. 10 Now, you have chosen to use the words "Did not Q. approach Datix reporting system in an open and 11 transparent way". And what you go on to say might be 12 thought to imply that you thought that this was 13 deliberate or in some way calculated as opposed to born 14 of confusion or ignorance. 15 16 Can you help us with whether that is what you are 17 implying, that you thought that they knew they had to fill out Datixes for certain situations and they made 18 19 a cynical decision not to fill them in, or whether it 20 might be a misunderstanding, a cultural 21 misunderstanding, about the importance? So can you help 22 us with what you are meaning to imply? 23 Α. I think there is an element of both situations 24 that you have described. I think there were definitely 25 some staff who, certainly new to department, may not 197 1 the Consultant body, was this a concern that you had about the Consultants, that they were cynically and 2 3 deliberately not filling in Datix until effectively they 4 had no choice? 5 Yes. Α. 6 Q. And which Consultants are you referring to? 7 Α. I think I would say the body of Consultants. 8 What you -- what I found certainly in neonatology was 9 they all stuck together. If -- they wouldn't go against one another. So even if they thought somebody had made 10 a clinical omission, rather than report it, they would 11 have a conversation first Consultant to Consultant. 12 13 So I would say, yes. 14 Q. Just to understand that. I am not here as an apologist for the Consultants but, based on what you 15 have just described, a reason for doing that may be to 16 17 check that in fact an error has been made by getting a second opinion by someone who's perhaps a bit more 18 objective about it, a little less introspective about 19 20 it. I mean, is that a legitimate way to approach the Datix system or the moment you think you may have 21 22 created -- done something in error, are you obligated to 23 immediately declare it without further reflection? 24 Α. Well, it has to be safe to undertake that submission. So if you are involved in a clinical 25 199

have understood the need to report certain things. 1 2 But there was also a number of other staff who 3 I would say purposely didn't report things until they 4 had discussed it with managers or Consultants to agree that something should be reported. They certainly 5 6 didn't do it freely in the same way that the maternity 7 service did 8 Well, I'm afraid can you help us please with Q. 9 who? 10 Band 5 nurses, Band 6 nurses, some shift Α. leaders, although I wasn't sure of their grading at the 11 time, and certainly some managers as well. 12 13 And is that all on the nursing side or are Q. 14 there any doctors involved in this? 15 I suppose there would be some Registrars Α. 16 involved in that, although I definitely couldn't give 17 you names of that. But what they would do is they would come along to the Incident Review Group or they would 18 19 come along to an MDT forum, they would discuss it and 20 they would be in agreement in that meeting that, yes, it should be reported and then an incident form would be 21 22 submitted. 23 Q. And from the point of view of your impression 24 of the Consultants in this issue -- and we will get to 25 your relationship with Dr Brearey -- but speaking about 198 1 incident and you are still working clinically, it wouldn't be appropriate to step away to submit an 2 3 incident form. But any individual can submit an 4 incident form. Even if you don't have time on your 5 clinical shift, you can hand it over to the next person. 6 But generally we say as close to the time of the event 7 as possible, that is when you should submit the form 8 because your memory is as fresh as it can be. Q. And it's not appropriate to take a colleague 9 10 aside before you do that and say, "Look, this has just happened. I just want to check whether you think 11 12 anything has gone wrong here"? 13 Α. I wouldn't say that it's not appropriate 14 because there may be situations where the situation, for example, that conversation has just manifested itself, 15 there was an opportunity and the clinician has taken it. 16 17 So I wouldn't say there were times when it was never 18 appropriate to do that.

- 19 But Datix should be used to learn lessons. It is
- an open and transparent way of improving the safety and 20
- culture of a hospital. So my view to it is that it 21
- 22 should be done regardless.
- 23 One of the matters of interest to the Inquiry, Q.
- 24 and which is commented upon in relation to the immediate
- internal investigation of July 2016, was whether or not 25 200

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there was adequate completion of Datix and, from the 1 2 Inquiry's point of view, children who suddenly and 3 unexpectedly collapsed but who did not die is an area of 4 interest. 5 So I just want to gauge your opinion as someone who 6 worked in the Risk Department. If a baby suddenly and 7 unexpectedly deteriorated but was successfully 8 resuscitated and no error in care was identified at that 9 time, was a Datix form required in 2015/2016? 10 So are you asking me whether I think it was Α. required? 11 12 Q. Yes, as in mandated by the hospital policy at 13 the time. I don't think it was mandated per se because 14 Α. I don't think it was in the pick list at the time and 15 16 that was some of the challenges that I faced as 17 a newcomer to the organisation, that the Risk Department was that, trying to amend the pick list to have the 18 19 appropriate things in there. 20 There were some things that were out of date and 21 some things that, on the information that I had been 22 researching, I felt should be included but wasn't and 23 when put that to the clinicians the view wasn't 24 reciprocated. 25 So if you wanted to add something like that, 201 1 confidentiality, consent." 2 So a list of examples. 3 Now, Ms Peacock read the word "unnecessary" as only 4 applying to damage as opposed to applying to loss or 5 harm. What was your understanding at the time as to 6 whether or not unnecessary applied or was this not 7 a close reading that you gave it at the time? 8 Α. I think I had a different view to earlier. 9 So you think that "unnecessary" applies to Q. loss and to harm as well? So it needs to be unnecessary 10 harm to focus on what we are concerned with? 11 12 Α. I think it's unnecessary damage, unnecessary 13 loss or unnecessary harm, yes. 14 So for harm, which is what we are focused Q. 15 upon --16 Α. Yes. -- that is the most important thing because 17 Q.

18 that is talking about patients as opposed to property?

19 A. Absolutely.

25

- 20 Q. Unnecessary harm. And, of course, if we think
- 21 about how harm was being interpreted at that time, you
- 22 have told us about how there's been a change in
- understanding and a more empathetic approach to harm --A. Yes.
  - Q. -- in fact, "harm" was meaning harm that the 203

- 1 there'd have to be a consensus and an agreement among
- 2 the clinicians to have the pick list changed. It was
- 3 quite difficult to do.
- 4 Q. Well, let's just have a look at the policy and
- 5 it was put on screen earlier today. INQ0010022. Were
- 6 you sitting in when your former colleague Ms Peacock was
- 7 being asked questions about this?
- 8 A. I was, yes.
- 9 **Q.** Well, that is extremely helpful to know. If
- 10 we go over the page, we can see there the duties applied
- 11 to all staff and there is a sort of reflection expected
- 12 of the Risk and Patient Safety team which is once it
- 13 comes to them, they should be making sure it goes back
- 14 to the local level so that managers are aware. So we
- have seen all of that. We don't need to go over thatagain. Let's look at that definition that was
- 17 considered this morning, page 3:
- 18 "What should be reported? An event or circumstance
- 19 which could have resulted or did result in unnecessary
- 20 damage, loss or harm to patients, staff, visitors or
- 21 members of the public."
- 22 And then some examples are given:
- 23 "Clinical affecting a patient eg, investigation,
- 24 diagnosis, treatment, medical equipment malfunction,
- 25 misuse, decontamination issues, medicine management, 202
- 1 NHS has or may have caused; is that right?
  - A. That is how I understand it.
  - Q. When we talk about harm, perhaps the most
- 4 striking example of that, if we look at INQ0000111 --
- 5 this is the Datix for Child C's death -- we can see that
- 6 this is right in the middle "subcategory
- 7 expected/unexpected death." So the report is not about8 some aspect of care but about the death itself. That is
- 9 the pick list item?

2

3

- 10 **A.** Yes.
- 11 **Q.** And then result: "no harm."
- 12 A. (Nods).

Q. And that's perhaps the most striking

14 illustration --

- 15 **A.** It is.
- 16 **Q.** -- because the notion that death isn't a harm
- 17 to the patient is plainly ludicrous in an ordinary
- 18 understanding, but in the way that the word "harm" was
- 19 being used for Datix it means harm that we, the NHS
- 20 staff, have or may have caused?
- A. That's the way they were interpreting it backin 2015, yes.
- 23 **Q.** Absolutely. And when you combine it with the
- 24 word "unnecessary" -- and we must be careful not to be
- 25 too lawyerly about this -- but "unnecessary" means 204

It's a difficult one, isn't it, because the something that could have been prevented? Α. 1 1 2 Α. Absolutely. Avoidable. 2 communication that went out said these didn't need to be 3 Q. And so that really adds to the understanding reported and yet they sit there on the pick list as you 3 4 about harm and the approach you should take. Now, should report it. 4 obviously we have got an exception to that because an Certainly --5 5 6 unexpected death, or an expected death for that matter, 6 Q. Forgive me, I'm sorry to interrupt you, but 7 is in fact required to be reported by Datix. But that 7 the pick list for death, yes. I am talking about 8 doesn't actually fit within the hospital policy at the a collapse that is successfully resuscitated. 8 9 time, does it? 9 Oh, sorry, of course. Α. 10 Α. 10 So no death; so we can't use this category. No Q. 11 I mean, it's plainly an exception. It may not We have got a sudden unexpected collapse, resuscitation, Q. 11 be if the death, whether expected or unexpected, has a very high level of intervention is required, everybody 12 12 been caused by some NHS staff action, but whether or not is standing around immediately afterwards saying, 13 13 that happens -- and Child C and the Datix is a clear "I have no idea why that happened but I can't see that 14 14 example of how that was being thought about at the we did anything wrong", no Datix would be required under 15 15 16 time -- the mere fact of death is reportable? 16 the policy. Do you agree with that? 17 Α. Absolutely. 17 Α. No, I don't agree with it. I think that is 18 what happened, but I don't agree with it because how do Q. So when we think about the position of the 18 19 staff on the neonatal unit and how they should be 19 we learn from these events if we don't report them? 20 approaching a sudden, unexpected collapse that they have 20 Q. My question was framed by reference to the 21 no explanation for at the time, so no malpractice is 21 policy. 22 suspected, no deficiency in care has been identified as 22 Α. Okay, sorry. 23 being potentially causative of that, we know why those 23 Q. Did the policy, bearing in mind what we have looked at in terms of unnecessary harm, did that require collapses were caused now, under the policy, would the 24 24 25 staff have been expected to fill in a Datix? 25 a Datix to be completed? 205 1 Α. I think it is unnecessary harm though, isn't 1 Q. But judging people by the policy at the time, 2 it? If you can't understand it and you can't explain 2 can you criticise those clinicians, as opposed to the 3 it, then it should be reported because it fits into that 3 policy and other aspects of the hospital practice, for 4 category of "unnecessary". 4 not having filled in the form for those collapses? 5 But then what would you fill in about what the 5 I suppose it would be difficult. It would be Q. Α. 6 potential cause of it was under the policy? 6 difficult to criticise when the policy doesn't support 7 7 Α. Under the pick list or under the policy? it. 8 Q. Well, both. This is the --8 However, me being me, I would find it really 9 Α. Because the policy -- we really look at the 9 difficult not to report it because it's the right thing policy when it comes to applying here and I don't think to do and how do we learn from things we don't 10 10 understand if we don't investigate? And I think that's there would be anybody in the risk team who would hold 11 11 you against the policy for reporting a collapse. They some of the challenges that I experienced. 12 12 wouldn't report you for a deviation of the policy for MR DE LA POER: Well, I am sure we can come to 13 13 14 reporting a collapse on Datix. 14 that. My Lady, I wonder if that is a convenient moment 15 Absolutely. But I suppose what I'm really 15 Q. to break trying to get under the skin of is whether you can 16 LADY JUSTICE THIRLWALL: Certainly. For how long, 16 17 criticise somebody for not reporting something which the 17 Mr De La Poer? policy doesn't appear to mandate and it's just --18 MR DE LA POER: If we could take a slightly shorter 18 19 I suppose -than normal break, I am sure everybody would appreciate Α. 19 20 Q. -- you've described the best practice? 20 that, but I look to the shorthand writer who is very 21 Α. 21 happy to continue. So I am very grateful for that. Yes 22 So if we could reconvene perhaps just after or on 22 Q. But what we are trying to do is -- and there 23 is a different question about whether the policy should 23 4 o'clock just so everybody can stretch their legs. 24 have been different. 24 LADY JUSTICE THIRLWALL: Shall we say five past. 25 Α. Yes. 25 MR DE LA POER: Five past, thank you.

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1	(3.54 pm)	1
2	(A short break)	2
3	(4.05 pm)	3
4	LADY JUSTICE THIRLWALL: Yes.	4
5	MR DE LA POER: I am going to look briefly now at	5
6	an NHS England document relevant to this issue and in	6
7	particular Serious Incident and what that means.	7
8	INQ0009236. We see this is the Serious Incident	8
9	Framework document I hope you have some familiarity	9
10	with?	10
11	<b>A.</b> I do.	11
12	<b>Q.</b> Page 12, please. Forgive me, it will be	12
13	page 12 internally so that will be 13, thank you, my	13
14	mistake.	14
15	Ms Lawrence, here we are not talking about	15
16	incidents, which is what one needs for a Datix, here we	16
17	are talking about serious incidents which is what one	17
18	needs to have in order to report upwards to NHS England;	18
19	Is that right?	19
20	A. Yes.	20
21	<b>Q.</b> We have got a broad definition there:	21
22	"Serious Incidents are events in healthcare where	22
23	the potential for learning is so great."	23
24	That is very much your point, isn't it?	24
25	A. Yes.	25
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1	<b>Q.</b> I just want you to just help us with how that	1
2	<b>Q.</b> I just want you to just help us with how that interacts with the fact that in order to create a Datix,	2
3	you are looking at a pick list?	3
4	<b>A.</b> I suppose that the Datix system and the	4
5	incident trigger lists are for specific everyday	5
6	incidents from low to no to moderate to severe or death	6
7	associated with the incident or not.	7
8	When it comes to a Serious Incident, you can send	8
9	even no harm incidents, no harm incidents so an	9
10	incident graded as no harm by the reporter could still	10
11	be undergo an SBAR if felt significant enough by	13
12	either the local manager, so the manager at ward level	12
13	or the Risk and Patient Safety Lead.	13
14	Sometimes there was occasions where there would be	14
15	a cluster of no harms but, for example, there may be	15
16	complaints and so you might undertake an SBAR and send	16
17	that to the Serious Incident Panel for the Executives to	17
18	consider a cluster of low harm incidents which may as it	18
19	talks about here, impact an organisation's ability to	19
20	deliver ongoing healthcare, ie it may affect the	20
21	reputation of the organisation.	21
22	So in terms of Serious Incidents like this, if it	22
23	was serious enough to warrant attention or it developed	23
24	the Risk and Patient Safety Lead's interest enough, it	24
25	would go to the Serious Incident Panel but it would be	25
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<b>Q.</b> That when it comes to a Serious Incident,
there might be a substantial opportunity for learning:
" or the consequences to patients, families and
careers, staff or organisations are so significant they
warrant using additional resources to mount a prehensive
response."
A. (Nods)
Q. And:
" they can extend beyond incidents which affect
patients directly and include incidents which may
indirectly impact patient safety or an organisation's
ability to deliver ongoing healthcare."
Then we have this: there is no definitive list of
events, this is the next but one paragraph:
"Incidents that constitute a Serious Incident."
And:
"Lists should not be created locally as this can
lead to inconsistent or inappropriate management of
incidents."
Now, we need to hold two things in our heads here.
We have got what an incident is and that is Datix, and
then what a Serious Incident is. The advice here is
that for a Serious Incident you shouldn't have
a preprepared list that you are working against?
A. (Nods)
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those Executives who decided in that panel as to whether
it was reported or not.
<b>Q.</b> The only way it would in those cluster of
individual incidents that in themselves are not
sufficiently serious the only way that they will get to
the Executives is if a Datix has been filled out for
each of them?
A. Absolutely.
<b>Q.</b> So Datix is the gatekeeper?
A. It is.
<b>Q.</b> For whether or not something could be
considered to be a Serious Incident, whether a cumulative cluster or an individual event and bearing
0
in mind that Datix operates on a pick list, so you can't
get near a decision about a Serious Incident whether it
is a Serious Incident or not. I am just wondering how
that fits with the NHS England guidance which says you
shouldn't operate pick lists?

- **A.** That is for Serious Incidents, though, so the Datix trigger list, there is an option of "other". So
- 21 somebody could report things under "other" and quite
- 22 often we found that.
- 23 So when you made reference to the handler earlier,
- 24 the handler would go into what we call a pool where all
- 25 of the Datixes that come in for the organisation are 212

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1 located in a virtual pool and in a holding bay and it's

- 2 for them to work through the incidents but sometimes
- 3 they couldn't allocate it to a certain area because
- 4 somebody had selected "other" and that's where the risk
- 5 in patient safety leads or the Datix administrator would
- 6 need to navigate that system to try and think of the
- 7 best appropriate place for that to go.
- 8 So I wouldn't want you to think you couldn't report
- 9 something if you really wanted to because there wasn't
- 10 a pick list for it because there would be a way to
- 11 navigate that somewhere.
- 12 **Q.** So in other words the pick list wasn't
- 13 a closed list, there was an option for more of a free
- 14 text incident specific description?
- 15 **A.** Yes, it was closed in the sense that I wanted
- 16 to add things to it and you had to go through various
- 17 different hoops to get the pick list altered, so that
- 18 you could pull data from it because you will have to
- 19 appreciate when you are pulling data from another field,
- 20 it's meaningless because you pool that much there. So
- 21 in order to be able to inform future healthcare
- 22 provision or quality improvement initiatives you have to
- 23 have that narrowed down in that pick list. So getting
- 24 that changed was very, very difficult to do but you

could get things added through "other".

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1 Executives to decide and I think it was Ruth Millward

2 who decided ultimately within your department whether

- 3 something went to a Serious Incident Review Panel; is4 that right?
- 5 **A.** Yes, at the SBAR we completed -- the
- 6 "Recommendation" section of that SBAR would be to
- 7 forward on to the review panel to determine whether any
- 8 further investigation was required and I believe that
- 9 was an instruction from the Executives because they
- 10 wanted to be the people to make that decision as to
- 11 whether something was STEIS reported or not.
- 12 **Q.** Let's leave Serious Incidents and look at the
- 13 culture and atmosphere on the NNU and again we need to
- 14 remember that over our relevant period you wore
- 15 different hats?

25

- 16 **A.** Yes.
- 17 **Q.** You were a midwife, interacting with the
- 18 neonatal unit in that capacity and then latterly you
- 19 were in the Risk Midwife role where you had oversight
- 20 from a risk perspective of the neonatal unit.
- Now, you say this in your witness statement of theneonatal unit:
- 23 "I would describe the relationship between
- 24 clinicians and managers as far from equal. Nurse
- 25 managers rarely challenged the medical team even when

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- **Q.** So a person could have if they had wanted to,
- 2 thought: this is a unexpected very serious collapse, we3 can't identify any failing in care that's responsible,
- 3 can't identify any failing in care that's responsible,4 but it's the third one that I've seen in recent weeks?
- 5 **A**. Correct
- **A.** Correct.
- 6 Q. Go on to Datix, access "other" if you can't
  - find that adequately described?
- 8 **A.** Yes.
  - **Q.** And then put in a commentary?
- 10 A. And often we would see: I have not found this
- 11 pick list here so but this is the third case I've seen
- 12 this week and so I am reporting it, file it under
- 13 whichever various field you feel necessary. And
- 14 sometimes they would record things under a relevant
- 15 Planned Care or Urgent Care, so you wouldn't see it
- 16 straight away but the beauty about that pool I mentioned
- 17 earlier, so that where all the Datixes come into is the
- 18 experienced person working through that Datix trigger
- 19 list would realise actually that's not paediatrics, that
- 20 is obstetrics and they would allocate it then to the
- 21 relevant person and it reduced that risk of error that
- 22 incidents would be reported but go unseen.
- 23 **Q.** Thank you, we can take that down and we are
- 24 going to move away from Serious Incidents because
- 25 obviously that, as you have told us, is for the 214
- 1 they knew they were deviating from process or guidance.
- 2 They would just go along with what they were told to3 do."
- 4 Which of the two job roles that you held have you 5 derived that impression from?
- 6 **A.** So that is from the Risk and Patient Safety 7 aspect.
- 8 **Q.** So post May 2016?
  - A. Yes.

9

- 10 **Q.** How would you know if you are based in the
- 11 Risk Department that nurse managers are just going along12 and not challenging the clinicians?
- 13 A. Because my role was very much an active role
- 14 in that when there was Neonatal Incident Review Meeting
- 15 or a Term Admission Review Meeting, which is every baby
- 16 who was born over the gestation of 37 completed weeks,
- 17 we would -- if they were admitted to the neonatal unit,
- 18 we would review their care completely to determine
- 19 whether there was any ways of avoiding that mother and
- 20 baby separation. It was something that we were looking
- 21 at quite closely in 2016 nationally not just in the
- 22 Countess of Chester.
- 23 And I had lots of meetings with both the managers
- 24 and the Consultants, sometimes with senior nurses and
- 25 junior nurses present, but there would be some
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discussions and I would prompt the discussion or lead 1 2 the discussion and I would be hoping for support from 3 the ward managers for that area or the senior nurses. 4 And I don't feel they had the autonomy or the 5 confidence to -- to challenge the Consultants and 6 certainly it frustrated me at times because -- because 7 I wanted them to -- to feel autonomous to say: actually 8 from a nursing perspective, that's not appropriate or: 9 we are not going to do that. Or: if we could just pause 10 there and find out some more information. They were very much led by the doctors. 11 12 Q. Did you witness for yourself any overbearing 13 authoritative dictatorial behaviour from the doctors that might have created that or was the extent of your 14 observation the fact that the nurses weren't speaking up 15 16 when you had hoped that they might? 17 Α. I think a bit of both. Sometimes we would be in meetings where discussions were a bit fractious and 18 19 you could see tensions rise in that meeting and you 20 could -- I don't quite know how to describe it really 21 other than the Consultants would become just that little 22 bit louder and the nurse voice would become that little 23 bit lower and they would contribute less into that meeting and then I would always follow up the meeting 24 25 with a discussion to see if everybody was okay, to see 217 1 relationship that she was used to. 2 You say there was definitely a hierarchy and Q. 3 some Consultants were more respectful than others --4 I will read that to you again: 5 "There was a definite hierarchy and some Consultants were more respectful than others"? 6 7 Α. Yes 8 Q. That is what you put your witness statement. 9 So which were the respectful Consultants and which were the non-respectful or less respectful Consultants? 10 11 Α. Do you really want me to answer that? 12 Q. I wouldn't have asked it if I didn't. 13 Α. Okay so some of our more respectful 14 Consultants were our female Consultants, Mr John Gibbs. 15 Sorry -- just so you are aware there is Q. a cipher list if you are going to mention female 16 17 Consultants? 18 Α. Sorry, okay. 19 So do consult it. It is on the desk in front Q. 20 of you. You said Dr Gibbs, does he fall in the 21 respectful category? 22 Α. Respectful, absolutely and all of the female 23 Consultants. 24 Q. So Dr Newby initially, although I don't think

- 1 if they felt their voices were heard, because sometimes
- 2 it would be they would say: I don't feel -- I feel we
- 3 need to reconvene and I feel I can challenge it more now
- 4 I have taken a pause, et cetera. And it would just be
- 5 decisions around clinical practice or next steps in
- 6 terms of managing nurses who may or may not have made
- 7 a -- an error or judgment. For example, in a term
- 8 admission review or somebody might have contributed
- 9 something, the clinicians would often influence -- we
- 10 need to have a conversation with this nurse or we need
- 11 to do this or we need to do that and it wasn't the same
- 12 when it came to the doctors either.
- 13 Q. In these catch-ups that you had afterwards to
- 14 check that everybody felt their voice had been heard did
- 15 anybody ever say to you: look, we just can't speak up at
- 16 those meetings because what the doctors want, they get,
- 17 or was it never voiced as a concern like that to you?
- 18 **A.** Only from Eirian Powell who was the ward
- 19 manager. But at the same time, when she had said things
- 20 like that she would say they have always been the same
- 21 and: he will calm down, you know, in a few hours and he
- 22 will come and see me and he will come and apologise and
- 23 it will be okay. So she would ask for it not to be
- 24 escalated further and she would say she would deal with
- 25 it and it was as though it was a long-standing 218
- 1 2016, Dr ZA and Dr V?
- 2 A. That's correct, yes.
  - Q. Yes.

3

- A. Some of our less respectful Consultants were
   5 definitely Mr Brearey and Mr Jayaram, although less
- 6 often with Mr Jayaram.

7 Q. We are going to come and look at an email that8 Dr Brearey sent in a moment. What was your relationship9 Dr Brearey?

- 10 A. It was a difficult relationship. I will be
- 11 honest. Every communication I had with him felt it was
- 12 more difficult than it needed to be and I didn't
- 13 understand why at the time. I have a little bit more
- 14 detail, having been prepared for the Inquiry, I've seen
- 15 some emails one I think that you are going to show me
- 16 today which references him not being included in the
- 17 decision to appoint a midwife to the role, I think that
- 18 may have been why he was very offhand with me when
- 19 I started in the role.
- 20 It certainly -- I understand now as to why he felt
- 21 the way he did at the time and I think that was how our
- 22 relationship started off on the wrong foot. I very much
- 23 have an appreciative enquiry, I will press and press and
- 24~ press. It's just in my nature and I don't think it was
- 25 welcomed or appreciated by Mr Brearey.

she overlapped with you, Dr Holt who joined in March of

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Q. We will come to that email in due course. 1 2 Just concluding your reflections upon how you perceived 3 that department, you say this in your witness statement. 4 "The neonatal team considered incident reporting to be 5 punitive"? 6 Α. (Nods) 7 Q. Was that something that you inferred from 8 their behaviour or was that something that they said out 9 loud? 10 That was something that was said out loud, Α. certainly on several occasions by Mr Brearey to begin 11 with especially in the very first few weeks of me 12 starting because I was trying to get them to understand 13 the importance of incident reporting and things that did 14 not impact on the outcome and that was a real culture 15 16 shift around that time in incident reporting and risk 17 and governance because prior to that and around that time certainly in the neonatal units they were reporting 18 19 things that were related to the outcome, so something 20 that may or may not have played a direct part or process in what happened to the individual whereas within 21 22 obstetrics we had moved away from that and started to 23 pre-empt improvements in care so we were looking at incidents whereby something had happened related to 24 25 something totally different but you found it as an 221 1 Dr Brearey and Dr Jayaram and you are describing them as "Mr" which is the first time I have heard them described 2 3 as "Mr". Which is correct? 4 Α. We normally call junior doctors "Dr" and the 5 Consultants are normally referred to as "Mr" in my 6 experience in the NHS. 7 LADY JUSTICE THIRLWALL: I think "Mr" is usually 8 a surgeon, isn't that it? 9 I am just thinking of all the other doctors, all the female doctors are "Dr" and they are all 10 Consultants. 11 12 The women would be. Α. LADY JUSTICE THIRLWALL: Yes. 13 14 Α. You wouldn't call a female Consultant "Mrs"; you would always call her "Dr". That is just the way 15 I have been raised within the NHS. 16 17 LADY JUSTICE THIRLWALL: I see just that everyone else has referred to them as "Dr" that we have heard it 18 may be entirely irrelevant. 19 20 Α. Thank you.

21 LADY JUSTICE THIRLWALL: Sorry to take time about22 that, Mr De La Poer.

- 23 MR DE LA POER: Sure.
- 24 We have seen the minutes of a meeting on 19 May of
- 25 the Women's & Children's Care Governance Board which

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- 1 incidental finding.
- 2 So in reviewing the care of a woman who may have
- 3 experienced a stillbirth you look at the care from the
- 4 moment she booked and you look at the care right the way
- 5 through until she is discharged from your care and the
- 6 incident you are looking at might be a post partum
- 7 haemorrhage at delivery. But if you found something in
- 8 the antenatal period which didn't impact on the outcome
- 9 but was an opportunity for learning, we would report
- 10 that as an incident because otherwise we don't learn
- 11 those lessons from it.
- 12 And it's that that I am talking about we were
- 13 trying to apply to neonates and they perceived that
- 14 culture change as our clinicians will see that as
- 15 punitive, they won't understand that it's around the
- 16 next lessons learned. So ensuring the next individual
- 17 does not experience that same incident. And it was
- 18 really, really difficult.
- 19 Q. So we are going to move to the --
- 20 LADY JUSTICE THIRLWALL: You said you had started
- 21 that in the first few weeks when you arrived, you wanted
- 22 to introduce that shift?
- 23 **A.** Yes.
- 24 LADY JUSTICE THIRLWALL: Thank you. Can I just ask
- 25 something else. Everyone else has referred to
  - 222
- 1 record you as being present in the role of Risk Midwife.
- 2 I give you that date to try and help you understand when
- 3 you started the role.
  - A. Yes.

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- **Q.** Does that sound about right?
- A. Yes, that was my first governance board.
- **Q.** That was your first governance board.
- 8 Had you had any handover from Mrs McMahon?
- 9 A. Yes -- yes, and no. I think to be fair to
- 10 Mrs McMahon, she was doing two roles at the time and
- 11 there was a -- I suppose an overview given of certain
- 12 things that needed to be completed at that time but some
- 13 things that she was close to completion with she kept
- 14 hold of and we kind of drew a line in the sand, if you
- 15 like, for any incidents, new incidents or things
- 16 happening after I had been in post I think for two weeks
- 17 I would deal with and she would keep everything up until
- 18 that point.
- 19 Just for consistency and certainly from a patient
- 20 experience perspective because we link in with our women
- 21 and families very closely, it made sense for her to
- 22 conclude those elements of it before.
- 23 **Q.** Now, we know the thematic review of neonatal
- 24 mortality meeting took place an 8 February, we know that
- 25 the finalised report was completed and dated 2 March? 224

1	A. Yes.	1	that both had at the time.
2	<b>Q</b> . You were in the hearing room this afternoon	2	It's not it's not an excuse for them. But they
3	when I showed Mrs McMahon an email which she and	3	should have opened it and it should have been known whe
4	Ruth Millward were copied into receiving that report on	4	I took over in post and I was incredibly disappointed
5	the 2 March. Did Mrs McMahon speak to you about that	5	seeing that email come up because what happened and th
6	report as part of the handover or when you took over	6	challenges I had upon trying to get hold of that report
7	that role?	7	and subsequently escalating that report should never
8	<b>A.</b> No.	8	have happened and I can see that now by seeing that
9	<b>Q</b> . Did Ruth Millward speak to you about that	9	email dated 2 March.
10	report?	10	<b>Q.</b> We will come to the conversation which you
11	<b>A.</b> No.	11	found out about it but let's just talk about how you
12	<b>Q.</b> You have since learned the content of the	12	have characterised it.
13	report?	13	A. Yes.
14	A. (Nods)	14	<b>Q.</b> Without any knowledge of that email, and the
15	<b>Q.</b> Bearing in mind that we know that it arrived	15	fact that Dr Brearey had sent to two members of the Risk
16	in both of their inboxes on 2 March, should they have	16	Department that report, you characterise the
17	spoken to you or either of them spoken to you about that	17	conversation that you overheard and the reaction to your
18	report when you took up your role?	18	request as being a bit secretive?
19	A. I think there is two things there, yes	19	A. Yes.
20	absolutely, they should have spoken to me. I think in	20	<b>Q.</b> Exchanging looks, reticence on their part that
21	hindsight, today is the first time I've seen that and	21	you perceived to providing you with that report. I just
22	I think looking back into 2016, I doubt very much that	22	want to give you an opportunity to reflect upon that in
23	either of them opened the attachment to that email and	23	light of what you now know and whether you think that is
24	as you pointed out in your questioning of Mrs McMahon,	24	a reliable impression or not.
25	that is likely it's likely due to the volume of work 225	25	I am not for a moment suggesting you are right or 226
1	wrong about it but you now know that in fact it was	1	now that they can't have intended that, certainly not on
2 3	shared with the Risk Department	2	2 March 2016? A. Well, I don't think in that email I saw there
	A. It was shared previously.	3	
4	<b>Q.</b> on the day that it was published and not	4	was any mention of it going to be the governance board.
5 6	just with one person but with the head of risk as well.	5 6	<ul><li>Q. No.</li><li>A. So that conversation might have come up if</li></ul>
7	So just to give you an opportunity to reflect upon your characterisation and impression of those two as	7	<b>c</b> .
' 8	they spoke, and whether you think that that is	8	they would have known or seen it was tabled as an agenda item, so we may have come to that conclusion further
9	a reliable impression that you formed?	9	down the line, or we may not of. But certainly, my
10		j 10	impression was they didn't want me to see it, hence me
11		10	now reflecting and thinking although I must be not
		12	mistaken because I am very vivid of the body language
	been an opportunity to take that report to governance	12	mistaken because i am very vivid of the body language
12	both in the March given that it was shared on 2 March	13	I saw I saw from their body language there was
12 13	<b>G</b>	13 14	I saw, I saw from their body language there was
12 13 14	the March, the April and the May.	14	something in that report that they did not want me to
12 13 14 15	the March, the April and the May. So the fact that I got it and then took it in the	14 15	something in that report that they did not want me to see and that's why, when I went to it, I was looking for
12 13 14 15 16	the March, the April and the May. So the fact that I got it and then took it in the June is irrelevant because it was shared openly and	14 15 16	something in that report that they did not want me to see and that's why, when I went to it, I was looking for something specifically which is what I found, I didn't
12 13 14 15 16 17	the March, the April and the May. So the fact that I got it and then took it in the June is irrelevant because it was shared openly and transparently with the governance team at the time.	14 15 16 17	something in that report that they did not want me to see and that's why, when I went to it, I was looking for something specifically which is what I found, I didn't look at the content of the mortality reviews in any
12 13 14 15 16 17 18	<ul> <li>the March, the April and the May.</li> <li>So the fact that I got it and then took it in the</li> <li>June is irrelevant because it was shared openly and</li> <li>transparently with the governance team at the time.</li> <li>Q. Reading between the lines, tell me if I am</li> </ul>	14 15 16 17 18	something in that report that they did not want me to see and that's why, when I went to it, I was looking for something specifically which is what I found, I didn't look at the content of the mortality reviews in any detail per se because there was nothing jumping out at
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12 13 14 15 16 17 18 19 20	<ul> <li>the March, the April and the May.</li> <li>So the fact that I got it and then took it in the</li> <li>June is irrelevant because it was shared openly and</li> <li>transparently with the governance team at the time.</li> <li>Q. Reading between the lines, tell me if I am</li> <li>wrong about this, but you seem to be suggesting that you</li> <li>got the impression that they did not want it to go to</li> </ul>	14 15 16 17 18 19 20	something in that report that they did not want me to see and that's why, when I went to it, I was looking for something specifically which is what I found, I didn't look at the content of the mortality reviews in any detail per se because there was nothing jumping out at me which is why I continued to look through the whole of the report because I was looking for something that
12 13 14 15 16 17 18 19 20 21	<ul> <li>the March, the April and the May.</li> <li>So the fact that I got it and then took it in the</li> <li>June is irrelevant because it was shared openly and</li> <li>transparently with the governance team at the time.</li> <li>Q. Reading between the lines, tell me if I am</li> <li>wrong about this, but you seem to be suggesting that you</li> <li>got the impression that they did not want it to go to</li> <li>the Women's &amp; Children's Care Governance Board?</li> </ul>	14 15 16 17 18 19 20 21	something in that report that they did not want me to see and that's why, when I went to it, I was looking for something specifically which is what I found, I didn't look at the content of the mortality reviews in any detail per se because there was nothing jumping out at me which is why I continued to look through the whole of the report because I was looking for something that would tell me why they were why their body language
12 13 14 15 16 17 18 19 20 21 22	<ul> <li>the March, the April and the May.</li> <li>So the fact that I got it and then took it in the</li> <li>June is irrelevant because it was shared openly and</li> <li>transparently with the governance team at the time.</li> <li>Q. Reading between the lines, tell me if I am</li> <li>wrong about this, but you seem to be suggesting that you</li> <li>got the impression that they did not want it to go to</li> <li>the Women's &amp; Children's Care Governance Board?</li> <li>A. I did.</li> </ul>	14 15 16 17 18 19 20 21 22	something in that report that they did not want me to see and that's why, when I went to it, I was looking for something specifically which is what I found, I didn't look at the content of the mortality reviews in any detail per se because there was nothing jumping out at me which is why I continued to look through the whole of the report because I was looking for something that would tell me why they were why their body language showed the body language in the way that it did and
12 13 14 15 16 17 18 19 20 21	<ul> <li>the March, the April and the May.</li> <li>So the fact that I got it and then took it in the</li> <li>June is irrelevant because it was shared openly and</li> <li>transparently with the governance team at the time.</li> <li>Q. Reading between the lines, tell me if I am</li> <li>wrong about this, but you seem to be suggesting that you</li> <li>got the impression that they did not want it to go to</li> <li>the Women's &amp; Children's Care Governance Board?</li> <li>A. I did.</li> <li>Q. That was your take-away from looking at their</li> </ul>	14 15 16 17 18 19 20 21 22 23	something in that report that they did not want me to see and that's why, when I went to it, I was looking for something specifically which is what I found, I didn't look at the content of the mortality reviews in any detail per se because there was nothing jumping out at me which is why I continued to look through the whole of the report because I was looking for something that would tell me why they were why their body language showed the body language in the way that it did and eventually I found it.
12 13 14 15 16 17 18 19 20 21 22 23	<ul> <li>the March, the April and the May.</li> <li>So the fact that I got it and then took it in the</li> <li>June is irrelevant because it was shared openly and</li> <li>transparently with the governance team at the time.</li> <li>Q. Reading between the lines, tell me if I am</li> <li>wrong about this, but you seem to be suggesting that you</li> <li>got the impression that they did not want it to go to</li> <li>the Women's &amp; Children's Care Governance Board?</li> <li>A. I did.</li> <li>Q. That was your take-away from looking at their</li> <li>body language and the looks they exchanged and the words</li> </ul>	14 15 16 17 18 19 20 21 22	something in that report that they did not want me to see and that's why, when I went to it, I was looking for something specifically which is what I found, I didn't look at the content of the mortality reviews in any detail per se because there was nothing jumping out at me which is why I continued to look through the whole of the report because I was looking for something that would tell me why they were why their body language showed the body language in the way that it did and

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impression of the unsaid things. But you tell us you 1 2 overheard the two of them speaking? 3 Α. Mm-hm. 4 Q. Did they mention expressly in that 5 conversation the thematic review or what was it that 6 caught your ear? Α. 7 It was mortality review that -- that I meant 8 that I heard and like I say, the appreciative enquiry of 9 me is: can I have a look at it? And they advised 10 that -- sorry, Mr Brearey advised that it wasn't for sharing and obviously I continued to press and I had 11 asked: when has it been to governance board because 12 I knew I could go back and access the agenda and papers, 13 I felt it was important if there was a mortality review 14 for an area that I was holding risk responsibility for 15 16 that I needed to see it and I needed to be aware of the 17 content. And so I was a little bit like a dog with a bone at that point, because I thought there was 18 19 information there which was important to my role and 20 I needed to see it. 21 Q. If we go to the end, how did you end up 22 receiving that? 23 Α. Mr Brearey I think emailed it to me. 24 So whatever had taken place in the Q. 25 conversation there came a point when he said he would 229 1 governance board for the June. So it would have had to 2 have been at least the 30th, 31st or 1 or 2 June to have 3 made that agenda. But yes, close towards the end 4 of May. 5 You read it as you have told us very carefully Q. 6 looking for something that might have caused you to form 7 the impression that you had? 8 Α. Yes. 9 Q. What was it that you identified? 10 It was in the appendix section, there was Α. a grid which you have shown during today's proceedings 11 which identified a number of babies who had been 12 subjected to a unexplained or unexpected death and there 13 14 was a staff present and staff on duty grid and apart from the first column on the page, every other column 15 had a nurse's name identified in each of them and I got 16 17 a highlighter out and I went through it with a highlighter and I think once I had highlighted through 18 it, it kind of jumped off the page a little bit more 19 20 obvious to me. 21 Now, you heard me asks Mrs McMahon this Q. 22 question. Other witnesses have placed particular store 23 by the fact that Letby's name wasn't in the nurse 24 allocated to the child column. What was your view of the relevance of that to the significance of what you 25

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1 give it to you and then he did?

2 Α. He did, yes. 3 Had it occurred to you in that conversation to Q. 4 go back to the Risk Department and ask whether there was already a copy on file or did the conversation just 5 6 evolve organically and there wasn't that opportunity? 7 No, I did go back and I checked the -- we had Α. what was called an S drive at the time, I checked the 8 folders and looked myself to see whether there was 9 10 anything that would fit that description and obviously 11 there wasn't. 12 Q. So again, knowing how the Risk Department works and drawing an inference it would appear that 13 neither Ruth Millward nor Janet McMahon had taken it 14 from the attachment --15 16 Α. Yes. 17 Q. -- and put it in the S drive folder? Α. Yes, they definitely hadn't otherwise it would 18 19 have been in there. 20 So you read the report when you received it Q. 21 and can you give us an approximate date, was it before 22 the end of May? 23 Α. It was before the end of May, yes, I think it 24 was very close to the end of May because I am just thinking how I managed to get it on the agenda for 25 230 1 were looking at? 2 A. I -- I did think about that at the time but 3 I look at it to my clinical practice and often we are 4 not caring for individual women but we are involved in 5 their care, so we might respond to a normal buzzer, 6 a care buzzer, an emergency buzzer and that would apply 7 in the role of neonates as well. 8 You know, nurses have breaks usually, when we have got staffing levels we relieve our -- our colleagues for 9 breaks and just because she wasn't allocated that baby 10 does not mean she wouldn't have had access and for me it 11 12 was something so obvious it just jumped off the page to 13 me. 14 When you read the balance of the report, did Q. 15 you see any indication that what you were seeing had been identified or discussed? 16 17 No. so I read it in more detail afterwards. Α. after I went into Ruth and Ruth said what she had 18 around, you know --19 20 Q. We will come to that in a moment but tell us 21 when you read it in more detail? 22 Α. I went back to it and read it and I thought 23 I must have been wrong because when I had looked through 24 each of the case reviews there was nothing that

25 anybody -- they just hadn't highlighted it as a common 232

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1	denominator, there wasn't there wasn't the
2	recognition, I think, it wasn't obvious. So when I took
3	it to governance board there wasn't that same discussion
4	and I certainly didn't mention it again after the
5	conversation with Ruth.
6	<b>Q.</b> We will come to that governance board. So
7	having read it, we will go back in time a little bit?
8	A. Yes.
9	Q. Having read it, having applied your
10	highlighter, having decided that you need to do
11	something, did you go and speak to Janet McMahon?
12	A. I did, yes.
13	<b>Q</b> . Just tell us briefly what did you say to her
14	and what did she say to you?
15	A. I went to Jan and I said: Jan, can you have
16	a look at something for me? Obviously I am brand new to
17	role, I am clinically very experienced as a senior
18	midwife but this governance role was I was only maybe
19	two, three weeks in post at that point and I didn't know
20	whether I was seeing something that was really obvious
21	or whether I was barking up the wrong tree. And Jan's
22	response was, she looked at it, she looked at what I had
23	highlighted and she said: we need to go and see Ruth.
24	<b>Q.</b> Up until that moment, whether said out loud
25	between the two of you or simply in your head at some 233
1	barking up the wrong tree?
2	A. Yes.
3	<b>Q.</b> Did you go immediately to see Ruth Millward?
4	A. I did. I went straight to see Ruth, she did
5	have a really open-door policy, she was very amenable,
6	I had only been there a couple of weeks at that point
7	but that open-door policy continued even after that,
8	that incident.

- 9 So I went to see Ruth and I explained to her first
- 10 of all the challenges I had getting hold of the report
- 11 because I felt like that was -- that was important.
- 12 Without that background information, I don't think it
- 13 gave the -- I don't think it gave the same narrative.
- 14 You know, I watched the non-verbals between two
- 15 people who it felt at the time did not want me have to
- 16 have that report and I have since reflected this
- 17 afternoon. It may be because there is a link then to
- 18 the information being shared within the maternity
- 19 department, I don't know whether they trusted me
- 20 professionally at that point because they had only just
- 21 started to work with, me whether confidentiality would
- 22 be maintained and maybe that's what the non-verbal
- 23 conversation was. I don't know.
- 24 But I explained to her the challenges I had in
- 25 putting my fingers on the report and the non-verbals and 235

- point, had you considered the possibility of deliberate
   harm?
- 3 A. I think that's what was jumping out the -- was
- 4 jumping out the page to me because having a cluster of
- 5 deaths, it can be unusual it cannot be unusual. It's
- 6 not unusual in maternity to have a cluster of
- 7 stillbirths if we have, for example, a community
- 8 acquired infection, parvovirus, for example, sometimes
- 9 we can have clusters which wouldn't be unusual, but over
- 10 the year they would balance out. We don't normally have
- 11 real spikes in stillbirths.
- 12 But because I was new to the neonatology world and
- 13 new to risk it looked really, really obvious that there
- 14 was a real anomaly here that needed further
- 15 investigation. But then I -- when I escalated it and
- 16 I felt that I went to somebody more experienced to say:
- 17 is this something I have found when I got the response
- 18 I got, I thought maybe I had misunderstood and I didn't
- 19 challenge that because I didn't have the experience.
- 20 Q. There I think you are talking about the
- 21 conversation you had with Mrs Millward?
- 22 **A.** Yes.

- Q. So you have spoken to Janet McMahon?
- 24 **A.** Yes.
- 25 Q. She has told you that her view is you are not 234
- 1 the reluctance to share it with me.
- 2 I had shared that with Julie Fogarty as the Head of
- 3 Midwifery before that in our one-to-one, I had some very
- 4 regular one-to-ones to begin with and she had said:
- 5 Mr Brearey is really amenable, go back to him, ask him
- 6 for it again, et cetera. So I shared with Ruth the
- 7 challenges that had led up to me getting hold of it and
- 8 that I had gone there specifically looking for something9 to leap off the page and it did.
- And I showed her -- I had the report out to showher but she didn't want to look at it.
- 12 **Q**. Can I just pause you there for a moment. Did
- 13 she at any point in your conversation say: I don't know
- 14 why you had such difficulty getting it, you could have
- 15 asked me, I had a copy --
- 16 **A.** No.

- Q. -- emailed to me?
- 18 **A.** No.
- 19 Q. Did she give you any indication at all that
- 20 she had seen that before?
- 21 A. No, never. She didn't say she hadn't and she
- 22 didn't say she had. She didn't look like she didn't
- 23 need to see it because she had already seen it before.
- 24 She just didn't -- she didn't look.
- 25 **Q**. I should have asked this at the time, but in 236

terms of Mrs McMahon, again did she appear to you to 1 2 have seen that document before or did it appear to be 3 new to her? 4 Δ It appeared to be new to her. When I showed it to her she actually looked, she went through because 5 6 I don't know whether you could tell on the digital 7 display but you had to turn over several pages to look 8 at all of the names because there were that many and she 9 paid attention to the document, she looked at it, looked 10 at the highlighted and then there was again an unspoken verbals between us and she said; you need to go to Ruth. 11 12 Q. I have gone back in time. Let's go back to 13 Ruth Millward. Just tell us as close as you can remember it what did Ruth Millward say to you after you 14 talked her through the difficulty you had, what you had 15 16 found and the highlights you had applied? 17 Α. As I have said in my statement the exact words 18 I -- I couldn't tell you with 100% certainty. But it 19 was something along the lines of, you know, you need to 20 be really careful, Annemarie, you can't come in here and just start throwing accusations around about an 21 22 individual nurse being present for all of these deaths, 23 you need to have -- you need to have evidence, you need to -- just because she's present and on duty doesn't 24 25 mean that there is a link and I know you are new to Risk 237 1 boss. So I came away and I looked at the mortality 2 review in detail and I went through all of the 3 information in the pack and -- and I thought: okay, 4 I must be barking up the wrong tree because there is no 5 mention of this commonality in any of the -- the 6 information within the pack and I will just chalk that 7 up to experience and I am new to role, so that was that. 8 Q. Did you think that the idea that you had had, 9 namely that it required investigation, effectively ended at that point or did you think that anything more would 10 be done? 11 I thought it had ended at that point. 12 Α. I suppose in hindsight I kind of hoped something might 13 14 have happened afterwards. But obviously the next thing that happened was we had two further deaths and ... 15 There is one event that we need to look at 16 Q. between the conversation and that is we will just 17 briefly bring it up on screen INQ0003212, this is the 18 19 Women's & Children's Care Governance Board meeting. 20 We can see it is on 16 June, we can see that you attended, you are listed under the Planned Care 21 22 department as Risk Midwife although in fact your role 23 was across both divisions, wasn't it?

24 Α. Yes.

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Q. If we go to page 5, we can see that it was 239

- and Patient Safety, you know, but you need to be really, 1
- 2 really careful and mindful and you need to have
- something proper to be able to go and like raise alarms. 4 Q. Did you form any impression about whether what
- 5 you were suggesting namely that a particular nurse was
- 6 associated with an increase in mortality was something
- 7 that Ruth Millward already knew or whether she was
- 8 talking in general terms about what you should and 9 shouldn't do?
- 10 A. I thought she was talking in general terms. I don't -- I didn't get the impression at all she was 11
- aware of anything and I didn't know that until I got the 12
- information from the pack that that was I believe the 13
- only -- the first time she did know anything according 14 to -- to her information. 15
- 16 O. So she's warned you effectively not to make 17 allegations like that, was there any more discussion
- between you or was that the end of the conversation? 18
- 19 No, she just said you need to be really Α.
- 20 careful and really mindful that, you know, you have got
- 21 evidence to support what you are saying, you can't just
- 22 go round saying these things. And I left her office and
- 23 I will be honest, I am under oath, I felt embarrassed.
- 24 I felt extremely embarrassed, I was new in post and
- 25 I felt like I had embarrassed myself in front of my 238
- 1 tabled at that meeting and who was responsible for that. 2 So the agenda is prepared under -- with Α. 3 discussion of the chair, whether that is Mr McCormack or 4 Mrs Fogarty in his -- if he is on annual leave. So 5 if -- if it was Mr McCormack or Mrs Fogarty I would need 6 to see the front page again but generally the agenda is 7 prepared for under the instruction of the chair. 8 Q. But was this a spontaneous decision by the 9 chair or did you have anything to do with? 10 Oh no, I had asked for it -- I had asked for Α. 11 it to be put on the agenda having got hold of it at the 12 end of the month. 13 Q. We can see wording which is largely taken from 14 the report --15 Α. Yes. 16 Q. -- concludes with: 17 "There was no common theme identified in all 18 cases." 19 Α. Mm-hm 20 Q. That's what the minutes show to anybody reading them. In fact, you had identified a common 21 22 theme, hadn't you? 23 Α. I had, yes.
- 24 Q. So just talk us through your reasoning as to
- 25 why, unless these minutes are wrong, why that theme 240

wasn't articulated and why instead the official record 1 2 is no common theme? 3 I think at the time the report was tabled as Α. 4 an agenda item, we were looking at the content of the 5 report and what the report had found, that's generally 6 how reports are received and noted and minuted in 7 governance. 8 My theme that I had identified was outside of that 9 report process so this is -- is a snapshot of what the 10 report had found rather than what me as an individual had had seen outside of that fact. 11 12 Q. This being a governance meeting, attendees are 13 entitled to scrutinise what is put in front of them, it is not a rubber-stamping exercise. 14 15 They are yes. Α. 16 Q. So the person you are now, attending a meeting 17 like that, would that meeting have been an appropriate forum to say: well, I have had a look at this report but 18 19 I've seen a theme? 20 The person I am now would absolutely have had Α. that discussion in that -- in that forum at that time 21 22 and -- and looking back I think because nobody else had 23 raised the issues that I raised, I think it kind of confirmed to me that when Ruth had said; you need to be 24 25 really careful about this, nobody else had picked up on 241 1 something that I thought wasn't -- wasn't it was just 2 something that was in my mind and nobody else's. 3 Q. So we will just move forward to the deaths of Child O and Child P here really just to illustrate 4 5 a point. You didn't find out about those deaths until 6 Monday, 27 June; is that right? 7 Α. That's correct. 8 Q. They having occurred on 23rd and 24th? 9 Α. Yes. 10 Q. If we just run through your experience. You were on the central labour suite when you overheard 11 discussion about it and that led you to go into the 12 13 neonatal unit; is that right? 14 Α. The Practice Development Midwife Lorraine Millward, when I came on to the central labour 15 suite, seeing me and said: oh my goodness, Annemarie, 16 have you heard that one of the triplets have died? And 17 I was shocked. I said: I haven't heard anything, 18 nothing has been reported and she said: none of us can 19 20 believe it but one of the triplets have died. 21 So I said: I am going to go there now, the delivery 22 suite was connected to the neonatal unit and so I made 23 my way straight there and there was a lot of people on 24 the neonatal unit, several more bodies than I would ever

normally see, and they prevented me from entering

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it and I think it kind of cemented that I had obviously 1 2 got the wrong impression and that wasn't what I should have done, you know, I -- I didn't escalate beyond Ruth. 3 4 That was my route of escalation and if that was to happen now and I was still in a junior role, I would --5 6 I would absolutely have learned from what happened in 7 2016 and I would have continued to escalate. 8 Me being in the role I am in currently today 9 I would be the person who was raising that in that forum 10 and then if it wasn't being escalated up through the Planned Care governance board I would have been going 11 directly to the Executives myself to say you need to do 12 something about this and if I wasn't satisfied I would 13 14 continue to escalate. 15 Q. Did you think of the issue that you had 16 identified as a safeguarding issue? Did you think of it 17 in those terms? 18 Α. It would have been a safeguarding issue 19 absolutely. You know, a member of staff doing what 20 happened, in this example is -- is a safeguarding 21 concern. Absolutely. 22 And your next question will be why did I not 23 escalate it to safeguarding? 24 Q. Exactly so. 25 Δ. Because I -- I was embarrassed about finding 242 1 further into the neonates. Obviously they knew who I was, some people might not have done because I was 2 3 only in post a short time by that point. 4 But those who didn't, I said I am the Risk Lead, 5 I have come here, I have heard one of the triplets have 6 died and it's not been reported and one of the staff 7 nurses -- one of them got tearful and upset and the 8 other -- another staff nurse said: two of the triplets 9 have died, not one. 10 Q. Who wouldn't let you on to the unit? So there was a number of staff there, they had 11 Δ. 12 said that there was a meeting taking place in the office and they were not to be disturbed. I asked them to 13 14 disturb them and said: I am the Risk Lead and I need to be involved, I need to know what's going on. But they 15 wouldn't let me in. 16 17 Q. Just again, who? 18 The -- the staff members present I didn't, Α. 19 l didn't --20 Q. Were they doctors or nurses? They were -- there were no doctors outside, 21 Α. 22 they were nurses. 23 So you asked to join the meeting that you were Q.

- told was going on and you were told by them that youcouldn't?
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1	A. Yes.	1	everywhere with I think Joanna Donnelly and nothing had
2	<b>Q.</b> Did they go and make an enquiry?	2	been reported.
3	A. They did, I asked them to go, knock on the	3	<b>Q.</b> That was because we now know there was nothin
4	door and tell them who I was and what my role was and	4	to be found because the Datix
5	they would let me in, and she did that and came back and	5	A. Yes.
6	said: they said they are not to be disturbed.	6	<b>Q.</b> for Child A and P are both dated the 29th,
7	<b>Q.</b> We don't need to bring these up but did you go	7	aren't they?
8	back to the Risk Department to check on the Datix system	8	A. Yes.
9	to see if you had overlooked	9	Q. Now, we just need to deal briefly with
10	A. I did.	10	a number of events and I will try to be as efficient as
11	Q the filing of Datix?	11	l can about this, but you shouldn't feel inhibited by
12	A. I checked to make sure I had overlooked it but	12	that.
13	my normal practice is every morning when I used to come	13	A. Okay.
14	in, we all did this as risk leads. Some of us like me	14	<b>Q.</b> You participated in a mortality review on
15	actually did it the night before we came in just to see	15	5 July of 2016, is that right, in relation to Child O
16	what plan our workload and if things had happened the	16	and Child P? Were you aware of that taking place?
17	night before sometimes I might go to you know,	17	A. I would need to
18	straight to to the labour suite because the building	18	<b>Q.</b> Well, I think in the circumstances I don't
19	we were in is not was not connected to the hospital.	19	have any particular questions about the detail of that
20	So sometimes I would look the night before to see	20	so we will leave that one for now.
21	but I did go back to the office and I checked the the	21	Can we turn to the extraordinary meeting, as you
22	pool that I talked about, the holding bay, and I also	22	describe it, in July of 2016?
23	checked under the relevant searches. So, for example,	23	<b>A.</b> Yes.
24	somebody might have put it in and put it under	24	<b>Q.</b> This was a meeting which was attended by
25	"paediatrics" for example. But I double-checked	25	Sian Williams and Julie Fogarty; is that right?
	245		246
4	A Mar	4	
1	A. Yes.	1	were doing was concerned, did you perceive one or was it
	Alicen Kelly you tell up was also present?	2	just as for as you were concerned a good faith search
2	<ul><li>Q. Alison Kelly you tell us was also present?</li><li>A. You</li></ul>	2	just as far as you were concerned a good faith search
3	A. Yes.	3	for through the records?
3 4	<ul><li>A. Yes.</li><li>Q. So far as you can recall, was anybody else</li></ul>	3 4	for through the records? A. No, I knew they were, when I say I know,
3 4 5	<ul><li>A. Yes.</li><li>Q. So far as you can recall, was anybody else present?</li></ul>	3 4 5	for through the records? A. No, I knew they were, when I say I know, I didn't know, nobody came right out and said
3 4 5 6	<ul> <li>A. Yes.</li> <li>Q. So far as you can recall, was anybody else present?</li> <li>A. There was lots of people there. Some I know</li> </ul>	3 4 5 6	for through the records? <b>A.</b> No, I knew they were, when I say I know, I didn't know, nobody came right out and said specifically. But I I knew based on what they were
3 4 5 6 7	<ul> <li>A. Yes.</li> <li>Q. So far as you can recall, was anybody else present?</li> <li>A. There was lots of people there. Some I know to be Executives because I was around that time I was</li> </ul>	3 4 5 6 7	for through the records? <b>A.</b> No, I knew they were, when I say I know, I didn't know, nobody came right out and said specifically. But I I knew based on what they were asking me to do that they were looking for other cases
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couple of days, maybe a week or so and the folder just 1 2 no longer existed. 3 Did you ever speak to anybody about or get to Q. 4 the bottom of why that was? 5 I did ask Ruth where the folder had gone and Α. 6 she told me not to concern myself with it. So I just 7 thought it -- access has been restricted from me or that 8 it had been moved to for example one of the other 9 department S drives or Y drives that clinicians such as 10 me wouldn't have had access to. 11 I do need to ask you about an email that you Q. have since seen sent by Dr Brearey. I will just ask for 12 it to come up on screen, please, INQ0006769. You have 13 seen this email before. It is dated 15 July? 14 15 Α. I have, I saw it on Friday. 16 Q. On Friday. So if we just scroll down to get 17 the context so everybody can follow, your boss Ruth Millward had made a request of Dr Brearey in terms 18 19 of some information to support the RCPCH review, we can 20 see that down there? 21 Α. Yes 22 Q. We don't need to go to the detail of that. 23 What we are going to be look at is what Dr Brearey says about you so that you have an opportunity to comment 24

25 upon it.

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1 offered to meet to discuss with you term admissions

2 reporting and yet I am yet to receive a reply. I've not

3 seen Annemarie for over a month. In addition ..."

- And he goes on to talk about the fact that he'sheard that there is criticism of the Datix reporting and
- 6 he makes the point about the fact that it's consistent7 with other neonatal units on the network.
- 8 So really this is just to give you an opportunity,
- 9 Ms Lawrence, to comment upon this and whether it is
- 10 right to your recollection that Dr Brearey hadn't seen
- 11 you, that you hadn't turned up for meetings without
- 12 giving your apologies, and that you were not supporting13 him and his colleagues adequately.
- 14 A. Like I say, I saw this on Friday. I was

15 disappointed when I read it. It felt an unfair

- 16 representation. I had been in post at that point --
- 17 I had started in May. This was the 14 July, I think.
- 18 There was an awful lot that had happened between
- 19 starting in post. Some mandatory training -- obviously
- 20 I had done some training in relation to risk and
- 21 incident management and the NHS England framework, so
- 22 I have to have time to undertake mandatory training --
- and I was also working clinically at the time as well.
- 24 So clinical credibility was extremely important to
- $25 \quad \mbox{me}$  when I started in the role. And there was some

- So if we just go back to the preceding page, we can
- 2 see that Dr Brearey begins by making some observations
- 3 about the timing of providing the material. He then
- 4 goes on to say that he's completely underwhelmed by the5 support:
- 6 "... your department [that is the same as your
- 7 department] has provided this year. Concerns are shared
- 8 by colleagues, nursing staff on paediatrics, neonatology
- 9 and obstetrics."
- 10 Then we can see:
- 11 "To think the role of Risk Midwife was created
- 12 without any discussion with paediatricians or
- 13 consideration that she would have to cover neonatology
- 14 is quite concerning. I also have concerns about
- 15 Annemarie's competence. Both Eirian and myself sat down
- 16 with her at the beginning of her job to explain her role
- 17 and our expectations, the most significant [we will need
- 18 to go over the page] of which was to arrange a monthly
- 19 incident review meeting. Seemingly forgotten. We are
- 20 now at a point where I will be meeting to go through
- 21 three months' worth of incidents. I value her
- 22 contribution to the weekly term admissions audit. There
- 23 have been times when busy on-call Consultants have come
- 24 to review cases at agreed times and she's not been
- 25 present and not given her apologies. I have also 250
- 1 staffing challenges, so I also worked as a Band 7 labour
- 2 ward co-ordinator, as well as my role, at the time for
- 3 probably the first six months but only maybe one long
- 4 day every week or every fortnight.
- 5 I think the first sentence where he says he's been
- 6 underwhelmed by the support from the Risk Department for
- 7 the most part of that year, I think he's got a fair
- 8 point because when Debbie left in February to ask
- 9 another Risk Leader with a whole other service to cover
- 10 two -- what would be two whole time equivalent jobs is11 no small task.
- 12 So I think you can't continue to run a really good
- 13 service with less people, otherwise we wouldn't employ
- 14 these people to do the jobs and certainly in Risk and
- 15 Patient Safety at the Countess, it's got to be one of
- 16 the most under-resourced Risk and Patient Safety teams
- 17 I have ever known in my experience in maternity,
- 18 maternity departments per se, and I have worked in a few
- 19 units in my career across the north-west and including
- 20 the Midlands. So it would be fair to say he's got
- 21 a point because there were some absolute gaps in the22 service.
- 23 I am disappointed that he says he's not seen me for
- 24 a month and he has issues around my competence because
- 25 if he hasn't seen me for a month at that point, and 252

I have only been in post about nine weeks at that point, then he's made a summative assessment in a very short space of time and I would have liked an opportunity to have commented at the time he sent that, rather than left it unchallenged and unsaid. Q. I have got two more topics to ask you about. Α. Okav. Q. Both of them, I hope, brief. Take that email down, thank you. We know that Letby was moved to your department. In summary, what was your view about whether it was appropriate for her to work in your department? I don't think it was appropriate and Α. I certainly voiced that in the beginning and the response was that she was working in the Complaints Team and wouldn't be working in the Risk and Patient Safety Team. But what I think my Lady is not aware of is the Risk and Patient Safety team and the Complaints Team are on the same floor. So we have only got a door that separates the two of us with a very, very small corridor, not as long as where you are away from me. So although she was working in the Complaints Team initially, she was working -- she was making tea and coffee in our office because that is where the tea 253 benefit of my Lady, if she's not sure of the incident I am referring to, on coming to work one morning, as I came up the stairs, Lucy came out of the office, out of her office on that corridor, to greet me and she was very distressed. She almost jumped down my throat really with a "there's been a collapse and a baby's been transferred out and does that mean somebody else is going to be under investigation and I can go back to work". And she bombarded me with a lot of questions and I didn't know what she was talking about because I wasn't aware of a collapse because, as you know, at the time there was some challenges around whether we were reporting them or not. But she knew this information and it hadn't reached me. It wasn't in the Datix system. It wasn't emailed to me. And so I emailed Karen Rees to say,

- 17 "I am concerned that Lucy has had access to information
- 18 that she shouldn't have. I wonder whether there is
- 19 something in the neonatal unit who was feeding her
- 20 information". But it concerns me that she knows
- 21 something clinically that I don't know as the Risk Lead.
- 22 Q. Was that an email that you sent?
- 23 A. It was, yes.

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- 24 Q. Can you give us an approximate date so that we
- 25 can see if we can find that?

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- 1 machine was and I didn't think it was appropriate.
- **Q.** Who did you say that to?
- 3 A. Ruth and I had a conversation initially and
- 4 Ruth's response was that -- I think she said she had
- 5 asked for her in the beginning. She was concerned about
- 6 how she would how it would be handled with her and she
- 7 felt like she wanted to give her a constant whilst the
- 8 review process was going on.

9 Q. So far as you were aware, did Letby have
10 access to patient notes or reports such as the thematic
11 review had she wished to look at them?

- 12 A. I think if she wanted to look at them, she
- 13 absolutely could have because she had access to the Risk
- 14 and Patient Safety team S drive. Now, I don't know
- 15 whether her access was limited in terms of what folder
- 16 she could or couldn't access. But you will notice from
- 17 my statement I talk about her having information that
- 18 I didn't have at that point and so I think it's fair to
- 19 say that if you were to ask me would she be able to
- 20 access these things, I would say that would be21 a possibility, yes.
- 22 **Q.** Did you think it was appropriate for her to
- 23 have access to things that you didn't have access to?
- 24 **A.** Absolutely not, and I did report that to --
- 25 that incident to Karen Rees at the time. Just for the
- 254
- 1 **A.** Oh, gosh. I would say it would definitely be 2 the autumn of 2016.
- 3 **Q.** Thank you very much indeed.
  - Finally on this topic before we just deal briefly
- 5 with root cause analysis, what you say in your statement6 is:

7 "We were made to believe that she was being made
8 a scapegoat for poor medical care and a lack of team
9 working which then conflicted me further."

- 10 So there are two parts.
  - A. Yes.

4

11 12

- Q. We will get to the conflict in a moment.
- 13 A. Yes.
  - Q. But who was making you believe, or seeking to
- 15 make you believe, that she had been their scapegoat?
- 16 A. So that was conversations, lots of
- 17 conversations were had, not directly with me because
- 18 I was a Band 7 Risk Midwife at that point, but
- 19 conversations were had without I think any thought to
- 20 confidentiality. Lucy would have conversations with her
- 21 Union rep and also some of the managers and things would
- 22 be overheard, they would walk along the corridors and
- 23 there would be conversations that would be happening and
- 24 if you were walking behind them closely enough or if you
- 25 happened to be walking the other way, there was 256

information that you were able to, able to glean from 1 2 their discussions or if you entered into a room it would 3 take a minute or so before those discussions quietened 4 down. 5 Q. Who was saying that she was being made 6 a scapegoat, was she saying that or were the people she 7 was speaking to telling her that? 8 Both, really. She had I think -- I think from Α. 9 the information I had available to me at the time, and like I say it wasn't a conversation I was included in 10 but it was something I was listening to on a regular 11 basis, they were conversations between Hayley Cooper who 12 was her Union representative, Lucy, Karen Rees, some 13 discussions with Ruth but then Ruth left and then those 14 discussions took place with Julie Fogarty -- sorry 15 16 Julie Fogarty after, after Ruth left so there was often 17 things that you could hear. 18 And were the people you have identified Q. 19 Hayley Cooper, Karen Rees, Ruth Millward, Julie Fogarty, 20 were they simply offering a listening ear or were they 21 contributing, making comment themselves about whether it 22 was true that she was being made a scapegoat? 23 Α. I think at the time that's what they truly 24 believed. I don't think they were intentionally being 25 unprofessional in terms of knowingly having 257

1 have prevented the deaths of two of those babies and

- 2 I didn't. And then I had to work with her alongside her
- 3 listen to conversations that perhaps she might have been
- 4 innocent and it was really difficult. And having heard
- 5 some of the things I have heard today and seen some of
- 6 the evidence, a lot of that was avoidable, certainly
- 7 a lot of the deaths were avoidable and a lot of the
- 8 difficulties we faced as clinicians working in that9 department was avoidable.
- 10 **Q.** The final topic is the root cause analysis.

11 You tell us that in April of 2017 you were asked to

- 12 conduct a root cause analysis in relation to Child O and
- 13 Child P and that you looked at Dr Hawdon's report and
- 14 the RCPCH and generated those reports based upon that15 content.
- 16 **A.** Yes.
- 17 Q. You reached three conclusions, three identical18 conclusions in each case and we will just have a look at
- 19 it. INQ0018008 and we will look at the conclusions that
- 20 you reached in the root cause analysis. We will go,
- 21 please, straight to pages 9 and 10. So this is for
- 22 Child O but the text is exactly the same, I am sure you 23 can confirm for Child P?
- 24 **A.** It is, yes, just very different timelines but
- 25 the text is --

- 1 a conversation that would be overheard. But where they
- 2 had those conversations and often with doors that
- 3 weren't closed it was -- it was obvious to anybody who
- 4 was working nearby and many times we have -- I say "we"
- 5 me and other members of the team, who haven't been as
- 6 close to the door have got up and closed the door
- 7 because you can hear things coming into the office and
- 8 when you are trying to concentrate and trying to write
- 9 things and there is a group of people having
- 10 a conversation outside it can be very districting.
- 11 **Q.** The second part of what you said "then
- 12 conflicted me further", I just want to give you a brief
- 13 opportunity to just comment upon how you were feeling
- 14 about this whole situation bearing in mind what had
- 15 happened in May?
- 16 A. I was hoping you wouldn't ask me that
- 17 question, if I am honest. It's something I have
- 18 reflected on for many, many years. It was a very, very
- 19 difficult time. I was working alongside somebody who
- 20 initially I had thought had done some terrible, terrible
- 21 crimes and then I -- I can't say I was made to feel
- 22 because nobody can make me feel anything but I felt
- 23 ashamed for raising them.
- 24 And then I spent some time thinking if I had have
- 25 just raised them a little bit louder potentially I could 258
- 1 Q. The Coroner's cause of death instance was 2 given as complications associated with prematurity and 3 in addition this investigation has identified no one 4 singular root cause but several that may well haven --5 Α. Contributed to the outcome. 6 O. Which include, if we go over the page: we can 7 see that at the top there is that hanging paragraph in 8 italics in the centre: significant sub optimal care that is possibly relevant to the outcome, failures in care to 9 recognise problems and a failure to act appropriately? 10 11 Α. Yes. 12 So the conclusions that you are reaching in Q. 13 this root cause analysis are pointing towards NHS staff 14 failings in care quality. 15 I mean, firstly is that a fair summary of the conclusions that you are raising as possible? 16 17 I think that is a fair representation of the Α. conclusions but I must say I --when I -- when I was 18 asked to put the findings of the various reviews into 19 20 a report, I must say this is what I have taken out of those and I have put together in a way that it can be 21 22 read by the CCG.
- 23 So the majority of it is not my words or my
- 24 thoughts. It's me concluding the documents that are
- 25 available to me.

5

Did you know that in late March of 2017, the 1 Q. 2 Executives were discussing putting together a bundle of 3 documentation for the Cheshire Police? 4 Α. No 5 We know it wasn't until the end of April that Q. 6 the Cheshire Police were contacted through the CDOP. 7 But was it appropriate taking into account that fact 8 that you were writing a root cause analysis in relation 9 to the deaths of Child O and Child P where you were 10 specifically drawing attention to failures in care as being a potential explanation for those two deaths, 11 whilst it was in active contemplation that those deaths 12 13 may have been murders because the police were required? 14 It was not appropriate, no and that Α. information wasn't shared with me. Through 15 16 Ruth Millward, Ruth asked me to -- that she said that 17 Alison Kelly had asked her to ask me to put this 18 information which was a number of documents could 19 I culminate it into a template that could be submitted 20 to the CCG. And so when I sat there and it took me a significant amount of time to put these documents 21 22 together because I had to go from one piece to the next 23 piece to the next piece and so forth, it was on the understanding that the CCG were looking for an 24 25 MPSA Level 2 report that they could receive in the 261

1 Alison Kelly wanted these reports created in April of 2 2017 because of course we know the deaths occurred back 3 in June, we know that the reports came in from the RCPCH 4 and Dr Hawdon. But why April? Were you given any 5 information at all about that? 6 Δ No. The only thing that Ruth had said was 7 that they had been requested, they needed to be -- they 8 needed to be received at the CCG Serious Incident Review Group and I know from previous where they have -- they perhaps have sent separate documents, the CCG have asked for them to be collated into a thematic review or something that they can receive in their entirety. So I suppose at the time, given my junior position I didn't really question it or question the integrity of the ask, because it wouldn't have been unusual for the CCG to ask for a few things to be brought together under one document if indeed that was the ask from the CCG based on what you have just said. MR DE LA POER: Mrs Lawrence, thank you very much indeed for answering my questions and can I particularly acknowledge the time, and I take full responsibility for that, there is no reflection on you. My Lady, I am told that although permission has been granted for Rule 10 it would appear that I have covered the issues that needed to be covered and so there are no further questions from 263

- Serious Incident Review Group. 1
- 2 Q. From the point of view of official paperwork
- 3 a root cause analysis is a very well identified way of capturing potential learning within the NHS, isn't it?

  - Α. It is, yes.
- 6 Q. And it is the sort of document that might be
- 7 shown to board members in the case that they have an
- 8 enquiry or to, as we know, the commissioning group and
- I just would like to just tease out, if I may, your 9
- 10 reflections, given particularly you hold a senior role
- 11 now, about the risk of creating a root cause analysis
- such as you did, in circumstances where other people 12
- might read it and get the wrong idea that everything had 13
- been adequately investigated and ascribed to 14
- a particular cause, can you just from your experience 15
- 16 help us understand that, please?
- 17 Α. Absolutely. So at the time, I was given
- an instruction and I acted on it. But in a senior role 18
- 19 now I can see that the submission of this Level 2 was an
- 20 attempt to move from reassurance to assurance. So we
- 21 are telling you we are looking into it but here is of
- 22 proof of such in a Level 2 document. I can see how that
- 23 could mislead many people in the organisation and also
- 24 outside agencies as well.

2

25 Q. Were you given any reason as to why 262

- 1 the advocates for Mrs Lawrence.
  - Questions by LADY JUSTICE THIRLWALL
- LADY JUSTICE THIRLWALL: Thank you. Mrs Lawrence, 3
- 4 I have just got a couple of questions myself.
- 5 When you went to see Ruth Millward, you say you 6 gave her the or showed her the report and she didn't
  - want to look at it. But what did you say to her?
- 8 A. I -- I went in the room and I said: I wonder
- if you have got a minute which is how I normally would 9
- start those conversations and then I had the report in 10
- 11 my hands and it was open and I said: I need to tell you
- about this report I have got here and the challenges 12
- 13 I have faced in getting it, because I need you to
- 14 understand what it is I have found. And I proceeded to
- 15 tell her about the discussion that I witnessed between
- Stephen Brearey and Eirian Powell and the non-verbals 16
- 17 which I felt were particularly important and that I sat
- with that document purposely looking for something based 18
- on the non-verbals and the reluctance that I had felt 19
- 20 when I had asked for a copy.
- 21 And she did -- when I say she didn't look at the
- 22 report, what she did was she said she didn't want to see
- 23 it but she did acknowledge that yes, okay, so you have
- 24 got somebody present for all of these deaths but, you
- 25 know, what does that mean?

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LADY JUSTICE THIRLWALL: Had you pointed out that 1 2 that was what the report showed that there was someone present for all, did you say that to her? 3 4 Α. Yes, absolutely, I had highlighted -- each section on that table I had highlighted with a yellow 5 6 highlighter so it kind of made it a bit more real and it 7 came off the page a bit more. 8 LADY JUSTICE THIRLWALL: Then that was when she 9 said: you have got to be very careful, or whatever it is 10 she said, we have a note of that? 11 A. Yes. LADY JUSTICE THIRLWALL: You don't need to repeat 12 that. I just wanted to know what you had said from your 13 perspective after setting the scene. 14 Then just one last thing. You sent an email to 15 16 Karen Rees about Lucy Letby's conversation with you 17 which you have described? 18 A. Yes. 19 LADY JUSTICE THIRLWALL: Did you get a reply from 20 Karen Rees? I did. So I do believe there is access to my 21 Α. 22 email, so it should be in my "Sent" if not in Karen's 23 "Received" and Karen then went on and sent a -- she sent a circulatory email through herself and Eirian to say 24 25 something around being mindful of professional 265 1 efforts are Herculean and, as far as I know, 2 uncomplaining. I am very grateful to her. 3 We will rise now. 4 (5.20 pm) 5 (The Inquiry adjourned until 10.00 am 6 on Monday, 4 November 2024) 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21

21 22 23 24 25 2 work. So I think they address addressed it generically

3 rather than specifically is my understanding but that

4 information will be in the NHS mailbox.

5 LADY JUSTICE THIRLWALL: All right. Thank you very6 much.

A. Thank you.

8 LADY JUSTICE THIRLWALL: Yes, those are all my

9 questions, thank you very much for staying so late --

10 A. Thank you.

11 LADY JUSTICE THIRLWALL: -- and being so

12 comprehensive and helpful in all your replies. Thank

- 13 you for coming. You are free to go now, please don't
- 14 wait for me to leave.

15 **A.** Thank you.

16 LADY JUSTICE THIRLWALL: Now, Mr De La Poer we17 start again on?

18 MR DE LA POER: Monday, 4 November, we will begin

19 with Karen Townsend and then Ruth Millward will be heard

20 later that day.

LADY JUSTICE THIRLWALL: Thank you very much. So
 we will rise now until 4 November but I hope that --

- 23 I won't say anyone will have a rest because I know you
- 24 won't, but thanks for all the hard work to date.
- 25 May I especially mention the shorthand writer whose 266
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166/14         157/12         157/14         145/16         100/17         11/11           158/14         160/12         160/17         11/11         11/16		before May 2016 [1]	135/2	bombarded [1]	81/17 84/24 105/12
1594         160/9         160/17         161/12         21/2         22/2				255/10	106/12 107/17 111/11
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224/20       226/5       227/11       79/11       87/16       90/2       90/14 <t< td=""><td></td><td></td><td></td><td></td><td></td></t<>					
227/16       228/12       228/18       90/12       90/12       90/12       11/21       11/22       11/21					
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265/24	253/3 253/4 254/25	153/23	211/5 212/20 214/18	256/10 259/1 261/11
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(104) though... - two

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105/5 116/4         102/2 116/2 100/1         2/12 210/2 1172 2020         upset [1] 24/2 100/3           102/1 116/2 100/1         2005 200/2 200/8         2005 200/2 200/8         200/8         200/8           10         11/1         2005 200/2 200/8         200/8 <td></td> <td></td> <td></td> <td></td> <td>I I</td>					I I
Spically [1]         1165         191/14 191/20 192/10         366 Ad/23 4//3 49/3         11/7/18         Uppert [3/17/15         2005/3 201/22 426/3           Vipo [1]         17/1/13         2005/3 201/22 426/3         80/21 87/1 90/23         until October 23 [1]         uppert [8/21 31/5]           Uh [1]         136/21         61/10 72/11 103/15         155/6 153/3 153/4         until October 23 [1]         17/2 109/21 4//15           Uh [1]         136/21         66/21 68/21 189/1         166/22 467/4 187/16         156/21 66/11 66/22         157/2 109/21 4//15           Utimately [2]         90/25         130/4 189/21         195/6 189/16         until 7/17 101/2 0//17         101/2 101/2 107/7 107/11           Umbroing [2]         114/31         166/21 68/1 189/11 180/					
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1480         1480         1637         1667         16624         1674         1677         1672         1772         17777         17777         17777					
Initiality [2] 36/23         180/6 196/23 198/1         189/21 188/8 195/16         Ionusual [21] 29/2         101/2 107/7 107/11           195/6         Initial (21) 148/3         Initial (21) 148/3         101/2 107/7 107/11         107/7 107/11         107/7 107/11         107/7 107/11         107/7 107/11         107/7 107/11         107/8 107/11 142/14         205/19 216/18 216/20         56/6 56/9 66/29 86/4         115/4 117/7 13/37 13/37           Incelar [1] 92/24         110/6 107/11 142/14         243/22 243/24 24/4/4         101/4 11/66 116/7         146/13 147/1 148/21           253/5         Incelar [1] 92/24         Indirative [10] 109/4         Inits [7] 82/2 91/2					I I
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unascertained [1]         undertake [10]         66/7         215/22         216/12         243/13         86/6 86/25 99/14         131/2         131/2         133/17           110/6         uncertainty [1]         149/3         180/7         233/7         131/2         133/7         131/2         133/7         131/2         133/7         131/2         133/7         131/2         133/7         131/2         133/7         131/2         133/7         131/2         133/7         131/2         133/7         131/2         133/7         131/2         133/7         131/2         133/7         131/2         133/7         131/2         133/7         131/2         133/7         131/2         133/7         131/7         131/2         133/7         131/			205/19 215/18 215/20	59/5 59/6 62/9 86/4	127/24 128/14 129/3
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33/25       33/25       33/25       33/25       23/25       23/25       23/25       22/27       23/25       22/27       23/25       22/27       23/25       22/27       23/25       22/27       23/25       22/27       23/25       22/27       23/25       22/27       23/25       22/27       23/25       22/27       23/25       22/27       23/27 <td< td=""><td></td><td></td><td></td><td></td><td></td></td<>					
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146/5 146/14 159/5       undue [1] 78/14       unlikely [3] 51/19       73/20 85/4 88/8       237/11 237/13 240/24         194/25 205/24 206/15       unexpected [36]       51/20 62/2       88/24 98/5 99/11       24/31/9 245/14 247/2         207/16 217/2 07/7       19/4 28/17 28/18       unnatural [1] 64/22       101/7 108/20 109/22       247/16 248/9 248/12         207/16 212/21 214/12       30/21 32/21 32/21       33/15       12/19       111/10 111/24 123/18       253/21 255/24 259/1         240/2 2407 7 245/23       33/19 34/21 37/9       unnecessary [22]       146/2 150/22 151/25       use [10] 24/11 45/8         240/2 2407 245/3       38/23 39/19 42/21       93/9 93/12 93/17       152/12 155/13 155/15       98/15 98/16 134/11         263/16       underessary [22]       146/2 150/22 151/25       use [10] 24/11 45/8         263/16       65/21 65/23 70/11       93/25 94/2 94/3 94/5       157/23 166/1       19/10 206/10         underego [1] 211/11       130/17 173/9 204/7       203/22 203/12 203/12       188/13 188/19 189/6       43/26 45/7 56/10         underestand [41]       20/6/12 205/20       20/2/24 201/12 207/14       217/24 218/15 224/17       152/23 17/21 194/7         133/10 72/15 73/7       19/4 23/17 33/23       19/4 23/17 23/23 30/1       255/12       205/12 205/2       29/19 204/19 204/1       150/17 150/2					
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1210/19130/13130/17       129/19130/13130/17       unsuccessful [1]       118/11156/12156/14       usual [1]       103/1         93/6 97/2 103/24       106/19 107/16       113/11111156/12156/14       118/11156/12156/14       usual [1]       103/1         104/3 106/19 107/16       unfair [1] 251/15       unfortunate [2]       71/13       42/24       156/17159/12159/22       usual [1]       103/1         118/11156/12156/14       unsupported [1]       118/11156/12156/14       usual [1]       103/1         118/11156/12156/14       unsupported [1]       118/11156/12150/12       usual [1]       103/1         118/11156/12156/14       unsupported [1]       118/11156/12150/12       usual [1]       103/1         118/11156/19/158/9       unfair [1] 251/15       unsupported [1]       116/21171/5       12/1016/122/227/15         119/10       unsupported [1]       unsurprising [1]       116/21171/5       145/24       163/18223/7232/8         199/14       uniformly [1] 96/16       unsupported [1]       158/15195/11       145/24       163/18223/7232/8         199/12       257/13       unthinkable [1]       26/10       updating [1]       19/10       145/24         119/25       12/27/13       undit [25]       3/3 7/18       142/25       113/20       142/24 </td <td></td> <td></td> <td></td> <td></td> <td></td>					
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